

# Issuing Diplomas to Eligible Students Placed in a Residential Facility

May 26, 2022

# Background and History



# Definitions

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- Residential facility (the facility)
  - a facility operated or administered by a state department or agency or political subdivision of the state pursuant to [Education Law 112](#) or a correctional facility as defined in [Correction Law 2\(4\)](#) which provides educational programming to youth aged 21 or younger who have not received a high school diploma and who are placed, committed, supervised, detained or confined at the facility
- District of location
  - the school district in which the facility where the youth is placed, committed, supervised, detained or confined is located
- Credit granting school district
  - the school district or local education agency providing an educational program and granting diploma credits to students placed in a residential facility
- Diploma issuing district
  - the school district that is determined to be responsible for the issuance of the diploma

# Determination of Diploma Issuing District

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Student's educational program is provided by and completed at the residential **facility**

District of location issues the diploma

A **school or district** other than the district of location is providing credit bearing coursework to the student

Credit-granting school district issues the diploma

# Residential Facility Responsibility

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Once the student meets the diploma requirements, the facility must notify the diploma issuing district to confer the student's diploma. This notification must be in writing and shall include:

Student records  
(credits earned and  
assessment  
requirements met)  
from previous  
schools



Documentation of  
credits attested to  
by current or  
previous residential  
facilities per [8 CRR-  
NY 100.5\(d\)\(5\)\(2\)](#)



Summary of all  
academic credits  
earned and  
assessment  
requirements met



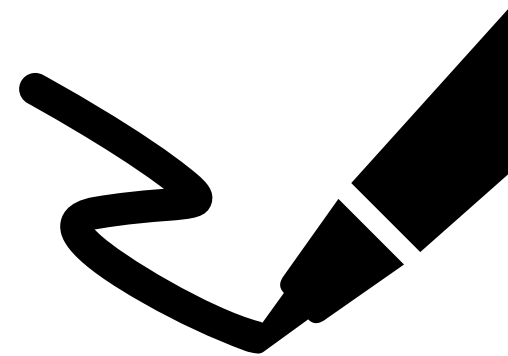
# Credit Attestation

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The chief administrator of the residential facility attests the student:

- successfully completed a unit of study; and/or
- met the requirements for the award of:
  - credit by exam; and/or
  - make-up credit; and/or
  - credit for independent study; and/or
  - credit for online and/or blended courses

- was provided instruction by a certified teacher



# Summary Form

**Request to Issue a Diploma for a Student in a Residential Facility**

Student Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ NYSSIS ID: \_\_\_\_\_

Student has:  a current Individualized Education Program (IEP)  safety net eligibility

Current Residential Facility: \_\_\_\_\_

Former Schools/Facilities: \_\_\_\_\_

As the chief educational officer of this facility, I attest this student met the New York State diploma requirements. The following attachments are included:

Student records indicating credit and assessment requirements earned at New York State registered high school schools

Documentation of credit attested to by current or previous residential facilities as allowed by [8 CRR-NY 100.5\(d\)\(5\)\(2\)](#).

Chief Educational Officer (Name): \_\_\_\_\_ Phone: \_\_\_\_\_

Chief Educational Officer (Signature): \_\_\_\_\_ Date: \_\_\_\_\_

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As evidenced in the attached student records, the following requirements have been met.

Credit Requirements	Assessment Requirements																														
<b>English (4)</b> _____ _____ _____ <b>Social Studies (4)</b> Global I _____ Global II _____ US _____ Economics (.5) _____ Part. in Gov't (.5) _____ <b>Science (3)</b> Life (1) _____ Physical (1) _____ _____ <b>Mathematics (3)</b> _____ _____ _____ <b>World Languages (1)</b> _____ <b>Arts (1)</b> _____ <b>Physical Education (varies, .25 credits for each semester completed in a registered NY 8 high school per 8 CRR-NY 100.6(a)(4)(ii))</b> Sem. 1 (.25 credits) <input type="checkbox"/> Sem. 5 (.25 credits) <input type="checkbox"/> Sem. 2 (.25 credits) <input type="checkbox"/> Sem. 6 (.25 credits) <input type="checkbox"/> Sem. 3 (.25 credits) <input type="checkbox"/> Sem. 7 (.25 credits) <input type="checkbox"/> Sem. 4 (.25 credits) <input type="checkbox"/> Sem. 8 (.25 credits) <input type="checkbox"/> <b>Health (.5)</b> _____ <b>Electives (3.5)</b> _____ _____ _____ _____	<b>Local/Regents Diploma</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Discipline</th> <th>Exam Name</th> <th>Score(s)</th> </tr> </thead> <tbody> <tr> <td>English</td> <td></td> <td></td> </tr> <tr> <td>Social Studies</td> <td></td> <td></td> </tr> <tr> <td>Science</td> <td></td> <td></td> </tr> <tr> <td>Mathematics</td> <td></td> <td></td> </tr> <tr> <td>Pathway</td> <td></td> <td></td> </tr> </tbody> </table> <b>Advanced Designation</b> These additional exams are only required for advanced designation. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Discipline</th> <th>Exam Name</th> <th>Score(s)</th> </tr> </thead> <tbody> <tr> <td>Mathematics</td> <td></td> <td></td> </tr> <tr> <td>Mathematics</td> <td></td> <td></td> </tr> <tr> <td>Science *</td> <td></td> <td></td> </tr> </tbody> </table> <p><small>*Note: One assessment must be life sciences and one must be physical science.</small></p> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <b>Sequence for Advanced Designation:</b>  <small>Note: Students choose one of the three options. Credits included in sequences may also be used to meet the 22 units of credits.</small> </div> <p><input type="checkbox"/> Arts (5 credits)    <input type="checkbox"/> CTE (5 credits)    <input type="checkbox"/> World Languages (3 credits and Checkpoint B)</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p style="text-align: right;">Checkpoint B (enter score below)</p> <p>_____</p> <p><small>NOTE: Students confined to residential facilities are not required to meet local diploma requirements that exceed the <a href="#">New York State Diploma Requirements</a>.</small></p> <p style="text-align: right;">2</p>	Discipline	Exam Name	Score(s)	English			Social Studies			Science			Mathematics			Pathway			Discipline	Exam Name	Score(s)	Mathematics			Mathematics			Science *		
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# Diploma Issuing District Responsibility Review and Notify

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Upon receipt of written notification and student records:

Conduct a review of the documentation provided

Make a determination of the student's eligibility to receive a diploma

Notify the facility within 10 business days\* of:

- Receipt of documentation
- Document review findings
- Determination of student's eligibility to receive a diploma

\* Such notification may be submitted after 10 business days where the facility and diploma issuing district mutually agree to extend such timeline.



# Diploma Issuing District Responsibility

## Clarify the District's Determination

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Student **has not** met the diploma requirements

Notification includes a clear description of any requirements not met

Student **has** met the diploma requirements

Notification indicates the diploma will be conferred no later than the next regular diploma issuance date (January, June or August)

Note: Such students are not required to meet local diploma requirements that exceed the NYS diploma requirements. Facilities may provide additional records, if available, to address deficiencies.

# Response Templates

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## *Diploma Requirements Have Been Met*

Insert school district letterhead.

Date

Re: Request to Issue a Diploma to a Student in a Residential Facility

Dear (Enter chief educational officer's name.),

This notification confirms our district's receipt of your request to issue a diploma to (Enter student's name.). Upon review of the documentation provided, our district determined the student **has met** the New York State diploma requirements. The diploma will be conferred on (Enter month (January, June, or August) and year.).

Sincerely,  
(Enter signature)  
(Enter name)

## *Diploma Requirements Have Not Been Met*

Insert school district letterhead.

Date

Re: Request to Issue a Diploma to a Student in a Residential Facility

Dear (Enter chief educational officer's name.),

This notification confirms our district's receipt of your request to issue a diploma to (Enter student's name.). Upon review of the documentation provided, our district determined the student **has not met** the New York State diploma requirements. The following requirements were not yet met. (Enter clear description of any requirements not yet satisfied (Note: Such students are not required to meet local diploma requirements that exceed the NYS diploma requirements. Facilities may provide additional records, if available, to address deficiencies.)).

Sincerely,  
(Enter signature)  
(Enter name)



# Diploma Issuing District Responsibility

## Enroll and Issue

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Enroll the student,  
create a transcript,  
and retain student  
records



Forward the student's  
diploma and  
transcript by  
registered mail to the  
facility no later than  
the next regular  
diploma issuance  
date (January, June,  
August )



Report the student as  
a graduate in the  
Student Information  
Reporting System  
(SIRS)



# Accountability Impact

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## Issuing the diploma **will....**

- Positively impact the 4-year, 5-year, and/or 6-year Graduation Rate for districts only

## Issuing the diploma **will not...**

- Impact the following indicators at the school or district level:
  - Composite;
  - English Language Proficiency;
  - Progress;
  - Chronic Absenteeism; and
  - College, Career, & Civic Readiness

Questions about issuing diplomas to students confined in a residential facility may be directed to:

New York State Education Dept.  
Office of Standards & Instruction  
(518) 474-5922  
[P12StandardsInstruction@nysed.gov](mailto:P12StandardsInstruction@nysed.gov)  
[www.nysed.gov/standards-instruction](http://www.nysed.gov/standards-instruction)

**Marybeth Casey**  
*Assistant Commissioner  
Standards and Instructional Programs*

**Kathleen DeCataldo, Esq.** *Assistant  
Commissioner  
Office of Student Support Services*

