



SINGLE AUDIT REPORT EXEMPTION FORM (Part 1 of 2)

This form must be completed and submitted if your agency is not required to have a Single Audit or Program-Specific Audit conducted in accordance with Uniform Guidance 2 CFR Part 200.501. If the form is not submitted upon request, your agency may be subject to withholding of funding for federal programs.

Agency Information:

<u>Agency Name and Address</u>		<u>FEIN(s)</u>	<u>Fiscal Year End Date</u>
<u>Agency Representative</u>		<u>Title</u>	
<u>Telephone</u>	<u>Fax</u>	<u>Email</u>	

Certification:

For the fiscal year indicated above, the agency did not incur expenditures of \$750,000 or more for all federal programs and is not required to have an audit of federal programs in accordance with Uniform Guidance 2 CFR Part 200.501.

<u>Agency Representative's Signature</u>	<u>Date</u>
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Independent Auditor Information:

<u>Firm Name and Address</u>		
<u>CPA Name</u>	<u>New York State License Number</u>	
<u>Telephone</u>	<u>Fax</u>	<u>Email</u>

The agency must fill in the information above and sign the certification. Exemption forms that do not have a signature and are not submitted for the appropriate fiscal year (e.g., year-end 2023 is uploaded in 2023 cycle) will not be accepted. For School Districts and BOCES, the form should be uploaded in Survey 4 in the NYSED Application Business Portal. Charter Schools, not-for-profits, and other entities should e-mail the form to FSandSingleAudit@nysed.gov.



SINGLE AUDIT REPORT EXEMPTION FORM (Part 2 of 2)

If your agency expended between \$550,000 and \$750,000 for all federal programs, please complete the following table for all federal programs where expenditures were incurred:

Sample entry:

Federal Agency	Pass Through Entity (if applicable)	Pass Through Entity Identifying Number	Assistance Listings/CFDA #	Total Expenditures for Fiscal Year *
United States Department of Education	New York State Education Department	0000-05-0000	84.010A	\$153,000

Agency Name: _____

Federal Agency	Pass Through Entity (if applicable)	Pass Through Entity Identifying Number	Assistance Listings/CFDA #	Total Expenditures for Fiscal Year*

Total expenditures for all federal awards _____

* Include the value of federal awards expended in the form of non-cash assistance, the amount of insurance in effect during year, and loans or loan guarantees outstanding at year-end.