



THE STATE EDUCATION DEPARTMENT / THE UNIVERSITY OF THE STATE OF NEW YORK

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Commissioner of Education  
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April 26, 2018

**Revised**

Daniel White, Interim Superintendent  
Wayne-Finger Lakes BOCES  
131 Drumlin Court  
Newark, NY 14513

Dear Superintendent White:

Congratulations. I am pleased to inform you that your Annual Professional Performance Review (APPR) plan meets the criteria outlined in Education Law §3012-d and Subpart 30-3 of the Commissioner's Regulations and has been approved. As a reminder, we are relying on the information you provided on your APPR form, including the certifications and assurances that are part of your approved APPR plan. If any material changes are made to your approved plan, your district/BOCES must submit such material changes to us for approval. Please see the attached notes for further information.

Please be advised that, pursuant to Education Law §3012-d, the Department will be analyzing data supplied by districts, BOCES, and/or schools and may order a corrective action plan if there are unacceptably low correlation results between the Student Performance category and the Teacher Observation or Principal School Visits category, and/or if the teachers' or principals' overall ratings and subcomponent scores show little differentiation across educators and/or the lack of differentiation is not justified by equivalently consistent student achievement results, and/or if schools or districts show a pattern of anomalous results in the Student Performance category and/or the Observation/School Visits category.

The New York State Education Department and I look forward to continuing our work together, with the goal of ensuring that every school has world-class educators in the classroom, every teacher has a world-class principal to support his or her professional growth, and every student achieves college and career readiness.

Thank you again for your hard work.

Sincerely,

MaryEllen Elia  
Commissioner

Attachment

**NOTE:**

Pursuant to sections 30-2.14 and 30-3.17 of the Rules of the Board of Regents, during the 2015-16 through 2018-19 school years, your district/BOCES must calculate transition scores and ratings for teachers and principals that exclude the results of grades 3-8 ELA and math State assessments and any State-provided growth scores. For the 2016-17 through 2018-19 school years, your district/BOCES must establish alternate SLOs for affected teachers and principals who, as a result of the above exclusions, have no remaining measures in the Student Performance Category.

Only documents that are incorporated by reference in your APPR have been reviewed and are considered as part of your APPR; therefore, any supplemental documents such as memorandums of agreement or understanding that were uploaded with your APPR but are not incorporated by reference in your APPR have not been reviewed. However, the Department reserves the right to review the uploaded attachments at any time for consistency with your APPR plan and/or to ensure compliance with applicable laws and regulations; and as a result of such review, the Department may reject your APPR plan and/or require corrective action.

**Annual Professional Performance Review - Education Law §3012-d**Task 1. General Information - Tasks 1.1, 1.2

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**Task 1) Disclaimers**

For guidance related to Annual Professional Performance Review plans, see NYSED APPR Guidance.

The Department will review the contents of each school district's/BOCES' Annual Professional Performance Review (APPR) plan as submitted using this online form, including required attachments, to determine if the plan rigorously complies with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. Department approval does not imply endorsement of specific educational approaches in a district's/BOCES' plan.

The Department reserves the right to request further information from a district/BOCES to monitor compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. As such, each district/BOCES is required to keep detailed records on file for each section of the currently implemented APPR plan. Such detailed records must be provided to the Department upon request. The Department reserves the right to disapprove or require modification of a district's/BOCES' plan that does not rigorously adhere to the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

The Department will not review any attachments other than those required in the online form. Any additional attachments supplied by the school district/BOCES are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional attachments have not been approved and/or endorsed by the Department. However, the Department considers void any other signed agreements between and among parties in any form that prevent, conflict, or interfere with full implementation of the APPR plan approved by the Department. The Department also reserves the right to request further information from the school district/BOCES, as necessary, as part of its review of this plan.

If the Department reasonably believes through investigation, or otherwise, that statements made in this APPR plan are not true or accurate, it reserves the right to reject this plan at any time and/or to request additional information to determine the truth and/or accuracy of such statements.

**1.1) Assurances****Please check all of the boxes below**

- Assure that the content of this form represents the district's/BOCES' entire APPR plan and that the APPR plan is in compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that a detailed version of the district's/BOCES' entire APPR plan is kept on file and that a copy of such plan will be provided to the Department upon request for review of compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that this APPR plan will be posted on the district/BOCES website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall later occur.
- Assure that it is understood that this district's/BOCES' APPR plan will be posted in its entirety on the NYSED website following approval.

**1.2) Submission Status****Is this a first-time submission under Education Law §3012-d or the submission of material changes to an APPR plan approved pursuant to Education Law §3012-d?**

First-time submission under Education Law §3012-d

Annual Professional Performance Review - Education Law §3012-d

Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.1 (Assurances), 2.2 (4-8 ELA/Math)

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**Task 2) Original Required Student Performance Subcomponent**

For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance.

*100% of the Student Performance category if only the Required subcomponent is used or at least 50% when used with the Optional subcomponent.*

**(A) For a teacher whose course ends in a State-created or administered test for which there is a State-provided growth model and at least 50% of a teacher’s students are covered under the State-provided growth measure, such teacher shall have a State-provided growth score based on such model.**

**(B) For a teacher whose course does not end in a State-created or administered test or where less than 50% of the teacher’s students are covered by a State-provided growth measure, such teacher shall have a Student Learning Objective (SLO) developed and approved by his/her superintendent or another trained administrator, using a form prescribed by the Commissioner, consistent with the SLO process determined or developed by the Commissioner, that results in a student growth score; provided that, for any teacher whose course ends in a State-created or administered assessment for which there is no State-provided growth model, such assessment must be used as the underlying assessment for such SLO.**

**2.1) Assurances**

*Please note: NYS Grades 3-8 ELA/Math Assessments and State-provided growth scores cannot be used for the purposes of providing transition scores and ratings during the 2015-16 through 2018-19 school years, and should be used for advisory purposes only until the 2019-20 school year. Alternate SLOs to be used during the 2016-17 through 2018-19 transition period should be entered in Task 2 (Transition).*

**Please check the boxes below.**

- Assure that the growth score provided by NYSED will be used, where required.
- Assure that, starting in the 2019-20 school year, back-up SLOs will be set by the superintendent or another trained administrator for all 4-8 ELA and Math teachers in the event that a State-provided growth score cannot be generated for that teacher.
- For the 2019-20 school year and thereafter, for any grade/subject that requires a back-up SLO, but for which there are not enough students, not enough scores, or data issues that prevent a teacher-specific SLO from being created, the superintendent or another trained administrator shall develop a school-wide back-up SLO using available State/Regents assessments.
- Assure that, during the 2015-16 through 2018-19 school years, the results of the NYS Grades 3-8 ELA/Math assessments and State-provided growth scores will continue to be used to calculate an original score and rating for advisory purposes only.

**2.2) Grades 4-8 ELA and Math: Assessments (Original)**

**STATE-PROVIDED MEASURES OF STUDENT GROWTH**

For teachers in grades 4 - 8 Common Branch, ELA, and Math, NYSED will provide a growth score and rating. That rating will incorporate students' academic history compared to similarly academically achieving students and takes into consideration students with disabilities, English language learners, students in poverty, and, in the future, any other student-, classroom-, and school-level characteristics approved by the Board of Regents. While most teachers of 4-8 Common Branch, ELA and Math will have State-provided scores and ratings, some may teach other courses where there is no State-provided growth measure. Teachers with 50 – 100% of students covered by State-provided growth measures will receive a growth score and rating from the State for the full Student Performance category of their evaluation. Teachers with 0 – 49% of students covered by State-provided growth measures must have SLOs for the Student Performance category of their evaluation and one SLO must use the State-provided measure if applicable for any courses. (See APPR Guidance and SLO Guidance for more detail on teachers with State-provided growth measures and SLOs.)

For the 2019-20 school year and thereafter, for those teachers who would typically receive a State-provided growth score, the district/BOCES must also include a back-up SLO in the event that there are not enough students, not enough scores, or data issues that prevent a State-provided growth score from being calculated for that teacher.

**Using the drop-down boxes below, please select the assessment(s) that will be used for the back-up SLOs for the grade/subject listed beginning in the 2019-20 school year.**

|                  | Grade 4 ELA | Grade 4 Math |
|------------------|-------------|--------------|
| State Assessment | Grade 4 ELA | Grade 4 Math |

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Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.1 (Assurances), 2.2 (4-8 ELA/Math)

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|                  | <b>Grade 5 ELA</b> | <b>Grade 5 Math</b> |
|------------------|--------------------|---------------------|
| State Assessment | Grade 5 ELA        | Grade 5 Math        |

|                  | <b>Grade 6 ELA</b> | <b>Grade 6 Math</b> |
|------------------|--------------------|---------------------|
| State Assessment | Grade 6 ELA        | Grade 6 Math        |

|                                | <b>Grade 7 ELA</b> | <b>Grade 7 Math</b> |
|--------------------------------|--------------------|---------------------|
| State or Regents Assessment(s) | Grade 7 ELA        | Grade 7 Math        |

|                                | <b>Grade 8 ELA</b> | <b>Grade 8 Math</b> |
|--------------------------------|--------------------|---------------------|
| State or Regents Assessment(s) | Grade 8 ELA        | Grade 8 Math        |

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**Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.3 (3 ELA/Math), 2.4 (4/8 SCI)**

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**2.3) Grade 3 ELA and Math: Assessments (Original)**

**STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:**

- State assessments (or Regents or Regent equivalents), *required if one exists*

**Using the drop-down boxes below, please select the assessment that will be used for the SLOs for the grade/subject listed.**

|                  | Grade 3 ELA | Grade 3 Math |
|------------------|-------------|--------------|
| State Assessment | Grade 3 ELA | Grade 3 Math |

**2.4) Grades 4 and 8 Science: Assessments (Original)**

**STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:**

- State assessments (or Regents or Regent equivalents), *required if one exists*

**Using the drop-down boxes below, please select the assessment(s) that will be used for the SLOs for the grade/subject listed.**

|                                | Grade 4 Science | Grade 8 Science |
|--------------------------------|-----------------|-----------------|
| State or Regents Assessment(s) | Common branch   | Common branch   |

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Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.5, 2.6 (High School Courses)

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**2.5) High School Courses Ending in a Regents Exam: Assessments (Original)**

Note: Additional high school courses may be included in the "All Other Courses" section of this form (Task 2.10).

**STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For grade 3 ELA and math; grades 4 and 8 science; high school math, science, and social studies associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:**

- State assessments (or Regents or Regent equivalents), *required if one exists*

**Using the drop-down boxes below, please select the assessment(s) that will be used for the SLOs for the grade/subject listed.**

|                    |                  |                    |
|--------------------|------------------|--------------------|
|                    | <b>Global 2</b>  | <b>US History</b>  |
| Regents Assessment | Global 2 Regents | US History Regents |

|                    |                            |                       |                   |                 |
|--------------------|----------------------------|-----------------------|-------------------|-----------------|
|                    | <b>Living Environment</b>  | <b>Earth Science</b>  | Chemistry         | Physics         |
| Regents Assessment | Living Environment Regents | Earth Science Regents | Chemistry Regents | Physics Regents |

|                       |                   |                  |                                |
|-----------------------|-------------------|------------------|--------------------------------|
|                       | <b>Algebra I</b>  | <b>Geometry</b>  | <b>Algebra II/Trigonometry</b> |
| Regents Assessment(s) | Algebra I Regents | Geometry Regents | Algebra II Regents             |

**2.6) High School English Language Arts Courses: Measures and Assessments (Original)**

Note: Additional high school English Language Arts courses may be included in the "All Other Courses" section of this form (Task 2.10).

**STUDENT LEARNING OBJECTIVES: High School English Language Arts**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For high school English Language Arts, the following must be used as the evidence of student learning within the SLO:**

- The New York State ELA Regents assessment is required in at least one year of high school English Language Arts with a teacher-specific measure in the grade-level ELA course that ends in the ELA Regents assessment.

*For grade levels where the Regents exam is not administered:*

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- School- or program-wide, group, team, or linked results; or district- or BOCES-wide results with:
- The New York State ELA Regents assessment;
- Any other State assessment(s);
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- Teacher-specific results with assessment(s) approved for the specific course and grade level that are:
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments.

**Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed. Please do not select "All Regents given in the building/district" in addition to individual Regents exams.**

|        | Measure   | State or Regents Assessment(s)                  | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s) |
|--------|---|---|---|---------------------------|
| 9 ELA  | School- or program-wide group, team or linked results |   | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 10 ELA | School- or program-wide group, team or linked results |   | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 11 ELA | Teacher-specific results                              | <input checked="" type="checkbox"/> ELA Regents |   |                           |
| 12 ELA | School- or program-wide group, team or linked results |   | <input checked="" type="checkbox"/> WFL BOCES   |                           |

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Task 2. Original Student Performance - Required (Teachers) - Original Task 2.7 (K-2 ELA/Math)

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**2.7)Grades K-2 ELA and Math: Measures and Assessments (Original)**

**STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For grades K-2 ELA/math, district-determined assessments from options below may be used as evidence of student learning within the SLO:**

- School- or program-wide, group, team, or linked results; or district- or BOCES-wide results with:
- State assessment(s);
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- Teacher-specific results with assessment(s) approved for the specific course and grade level that are:
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments.

**Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed.**

|        | Measure   | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s)   |
|--------|---|--------------------------------|---|---|
| K ELA  | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Reading |
| K Math | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Math   |
| 1 ELA  | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Reading |
| 1 Math | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Math   |
| 2 ELA  | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Reading |
| 2 Math | School- or program-wide group, team or linked results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Math   |

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Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.8 (6-8 SCI/SS) 2.9 (Global 1)

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**2.8) Grades 6-7 Science and Grades 6-8 Social Studies: Measures and Assessments (Original)**

**STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For grades 6-7 science and grades 6-8 social studies, district-determined assessments from options below may be used as evidence of student learning within the SLO:**

- School- or program-wide, group, team, or linked results; or district- or BOCES-wide results with:
- State assessment(s);
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- Teacher-specific results with assessment(s) approved for the specific course and grade level that are:
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments.

**Using the table below, please select the measure and assessment(s) that will be used for SLOs for the grade/subject listed.**

|                  | Measure                         | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s) |
|------------------|---------------------------------|--------------------------------|---|---------------------------|
| 6 Science        | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 7 Science        | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 6 Social Studies | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 7 Social Studies | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |
| 8 Social Studies | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |

**2.9) Regents Global Studies 1: Measure and Assessment(s) (Original)**

Note: Additional high school social studies courses may be included in the “All Other Courses” section of this form (Task 2.10).

**STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For Global Studies 1, district-determined assessments from options below may be used as evidence of student learning within the SLO:**

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- School- or program-wide, group, team, or linked results; or district- or BOCES-wide results with:
- The New York State Global 2 Regents assessment;
- Any other State assessment(s);
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- Teacher-specific results with assessment(s) approved for the specific course and grade level that are:
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments.

**Using the table below, please select the measure and assessment(s) that will be used for SLOs for Global Studies 1.**

|          | Measure                         | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s) |
|----------|---------------------------------|--------------------------------|---|---------------------------|
| Global 1 | District- or BOCES-wide results |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |

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Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.10 (Other Courses), 2.11-2.14

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**2.10) All Other Courses (Original)**

**STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams**

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

**For courses that end in a State or Regents assessment:**

- The State or Regents assessment must be used as the evidence of student learning within the SLO for students taking such assessments.

**For other grades/subjects, district-determined assessments from options below may be used as evidence of student learning within the SLO:**

- School- or program-wide, group, team, or linked results; or district- or BOCES-wide results with:
- State assessment(s);
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- Teacher-specific results with assessment(s) approved for the specific course and grade level that are:
- District-determined assessments from the list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments.

**Fill in the following, as applicable, for all other teachers in additional grades/subjects that have SLOs** (you may combine into one course listing any groups of teachers for whom the measure and assessment(s) are the same including, for example, "All courses not named above"):

**Column 1: lowest grade that corresponds to the course**

**Column 2: highest grade that corresponds to the course**

**Column 3: subject of the course**

**Column 4: measure used**

**Columns 5-6: assessment(s) used**

Follow the examples below to list other courses.

|                               | (1) lowest grade | (2) highest grade | (3) subject                 | (4) measure   | (5-6) assessment(s)                    |
|-------------------------------|------------------|-------------------|-----------------------------|---|--|
| All Other Courses             | K                | 12                | All courses not named above | District- or BOCES-wide results                         | ELA Regents, Algebra I Regents         |
| K-3 Art                       | K                | 3                 | Art                         | Teacher-specific results                                | Questar III BOCES                      |
| Grades 9-12 English Electives | 9                | 12                | English Electives           | School- or program-wide, group, team, or linked results | All Regents given in building/district |

To add additional courses, click "Add Row".

| Grade From | Grade To | Subject                     | Measure          | State or Regents Assessment(s) | Locally-developed Course-Specific Assessment(s) | Third Party Assessment(s) |
|------------|----------|-----------------------------|------------------|--------------------------------|---|---------------------------|
| K          | 12       | All courses not named above | Teacher-specific |                                | <input checked="" type="checkbox"/> WFL BOCES   |                           |

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Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.10 (Other Courses), 2.11-2.14

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| Grade From | Grade To | Subject                     | Measure  | State or Regents Assessment(s)               | Locally-developed Course-Specific Assessment(s) | Third Party Assessment(s)  |
|------------|----------|-----------------------------|--|--|---|--|
|            |          |                             | results  |  |   |  |
| 11         | 12       | CTE                         | School- or program-wide group, team, or linked results |  |   | <input checked="" type="checkbox"/> NOCTI (Course-Specific)<br><input checked="" type="checkbox"/> Precision Exams |
| K          | 12       | Special Education           | Teacher-specific results                               | <input checked="" type="checkbox"/> NYSAA    |   |  |
| K          | 12       | ELL                         | Teacher-specific results                               | <input checked="" type="checkbox"/> NYSESLAT |   |  |
| 9          | 12       | Family and Consumer Science | Teacher-specific results                               |  | <input checked="" type="checkbox"/> WFL BOCES   |  |

**2.11) HEDI Scoring Bands**

| Highly Effective |        |        | Effective |        |        | Developing |        | Ineffective |        |        |        |        |        |        |        |        |        |       |      |      |
|------------------|--------|--------|-----------|--------|--------|------------|--------|-------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|------|------|
| 20               | 19     | 18     | 17        | 16     | 15     | 14         | 13     | 12          | 11     | 10     | 9      | 8      | 7      | 6      | 5      | 4      | 3      | 2     | 1    | 0    |
| 97-100%          | 93-96% | 90-92% | 85-89%    | 80-84% | 75-79% | 67-74%     | 60-66% | 55-59%      | 49-54% | 44-48% | 39-43% | 34-38% | 29-33% | 25-28% | 21-24% | 17-20% | 13-16% | 9-12% | 5-8% | 0-4% |

**2.12) Teachers with More Than One Growth Measure (Original)**

For more information on teachers with more than one growth measure, please see NYSED APPR Guidance and NYSED SLO Guidance.

If educators have more than one State-provided growth score and rating, those scores and ratings will be combined into one 0-20 score and HEDI rating for the Required Student Performance subcomponent provided by the Department. (Examples: Common branch teacher with State-provided growth measures for both ELA and Math in grade 4; middle school math teacher with both 7th and 8th grade math courses.)

If educators have more than one SLO (or a State-provided growth measure and an SLO), the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO (or in the State-provided growth measure and the SLO).

**2.13) Assurances**

For guidance on SLOs and the development of back-up SLOs, please see NYSED APPR Guidance and SLO Guidance.

**Annual Professional Performance Review - Education Law §3012-d****Task 2. Original Student Performance - Required (Teachers) - Original Tasks 2.10 (Other Courses), 2.11-2.14**

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**Please check the boxes below.**

- Assure that the teacher has an SLO or a back-up SLO, where applicable, consistent with the goal setting process developed by the Commissioner that results in a student growth score.
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator. Such targets, as determined by the superintendent or another trained administrator, may only take the following characteristics into account: poverty, students with disabilities, English language learner status and prior academic history.
- Assure that all growth targets are approved by the superintendent or another trained administrator.
- Assure that any disagreement between parties regarding the content of the SLO, including the growth target, will be resolved by the superintendent or another trained administrator.
- Assure that if a teacher's SLO is based on a small n size population and the district/BOCES chooses not to use the HEDI scoring bands listed in task 2.11, then the teacher's 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in APPR Guidance.
- Assure that processes are in place for the superintendent to monitor SLOs.
- Assure that the final Student Performance category rating for each teacher will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents and the approved APPR plan.

**2.14) Use of the Optional Subcomponent and Student Performance Category Weighting**

- If the Optional subcomponent is not used, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is used, the Required subcomponent must comprise at least 50% of the Student Performance category.

**Please indicate if the Optional subcomponent will be used by making the appropriate selection below.**

NO, the Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.

Annual Professional Performance Review - Education Law §3012-d

Task 2. Transition Student Performance - Required (Teachers) - Task 2 Alternate SLOs

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**Task 2) Required Student Performance Subcomponent (Transition Period, 2016-17 through 2018-19)**

*The measures indicated in this section only apply during the 2016-17 through 2018-19 school years.*

For guidance on the Required subcomponent of the Student Performance category or guidance on the use of alternate SLOs during the transition period, see NYSED APPR Guidance.

**During the 2016-17 through 2018-19 school years, pursuant to the requirements of §30-3.17 of the Rules of the Board of Regents, grades 3-8 NYS ELA/math assessments and any State-provided growth scores may only be used for advisory purposes and may not be used for the purpose of calculating transition scores and ratings.**

If grades 3-8 ELA/math State assessments and any State-provided growth scores are the entirety of the Student Performance category, districts/BOCES must also develop an alternate SLO based on assessments that are not grade 3-8 ELA/math State assessments and/or on State-provided growth scores for the Required subcomponent of the Student Performance category during the transition to higher standards through new State assessments aligned to revised learning standards and a revised State-approved growth model.

**2.2-2.10) Alternate SLOs (Transition Period, 2016-17 through 2018-19)**

Using the table below, please first select a measure and assessment(s) that will be used for the alternate SLO during the 2016-17 through 2018-19 school years, then indicate the applicable courses. If all other courses listed in Original Task 2.10 are using Alternate SLOs, and such Alternate SLOs will be based on the same measures and assessments, please select "Other Courses as listed in Original Task 2.10" in the Applicable Course(s) column.

| Measure                  | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s)  | Applicable Course(s)   |
|--------------------------|--------------------------------|---|--|--|
| Teacher-specific results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> i-Ready Diagnostic<br><input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Math<br><input checked="" type="checkbox"/> STAR Reading | <input checked="" type="checkbox"/> 3 ELA<br><input checked="" type="checkbox"/> 3 Math<br><input checked="" type="checkbox"/> 4 ELA<br><input checked="" type="checkbox"/> 4 Math<br><input checked="" type="checkbox"/> 5 ELA<br><input checked="" type="checkbox"/> 5 Math<br><input checked="" type="checkbox"/> 6 ELA<br><input checked="" type="checkbox"/> 6 Math<br><input checked="" type="checkbox"/> 7 ELA<br><input checked="" type="checkbox"/> 7 Math<br><input checked="" type="checkbox"/> 8 ELA<br><input checked="" type="checkbox"/> 8 Math |

**2.11) HEDI Scoring Bands**

| Highly Effective |        |        | Effective |        |        | Developing |        | Ineffective |        |        |        |        |        |        |        |        |        |       |      |      |
|------------------|--------|--------|-----------|--------|--------|------------|--------|-------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|------|------|
| 20               | 19     | 18     | 17        | 16     | 15     | 14         | 13     | 12          | 11     | 10     | 9      | 8      | 7      | 6      | 5      | 4      | 3      | 2     | 1    | 0    |
| 97-100%          | 93-96% | 90-92% | 85-89%    | 80-84% | 75-79% | 67-74%     | 60-66% | 55-59%      | 49-54% | 44-48% | 39-43% | 34-38% | 29-33% | 25-28% | 21-24% | 17-20% | 13-16% | 9-12% | 5-8% | 0-4% |

**2.12) Teachers with More Than One Growth Measure (Transition)**

For more information on teachers with more than one growth measure, please see NYSED APPR Guidance and NYSED SLO Guidance.

**Annual Professional Performance Review - Education Law §3012-d**

**Task 2. Transition Student Performance - Required (Teachers) - Task 2 Alternate SLOs**

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If educators have more than one alternate SLO, the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO.

**Annual Professional Performance Review - Education Law §3012-d**

Task 3.1. Optional Subcomponent Use (Teachers) - Task 3.1 (Subcomponent Use and Weighting)

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**Task 3) Optional Student Performance Subcomponent**

For guidance on the Optional subcomponent of the Student Performance measure, see NYSED APPR Guidance.

*Up to 50% of Student Performance category, if selected.*

Such second measure shall apply in a consistent manner, to the extent practicable, across all classrooms in the same grade/subject in the district/BOCES and be either:

(A) a second State-provided growth score on a State-created or administered test, provided that the State-provided growth measure is different than that used in the Required subcomponent, or

(B) a growth score based on a State-designed supplemental assessment, calculated using a State-provided or approved growth model.

**3.1) Use of the Optional Subcomponent of the Student Performance Category**

**Please indicate if the Optional subcomponent will be used by making the appropriate selection below.**

NO, the Optional subcomponent WILL NOT be used in the Student Performance category for any teacher.

Annual Professional Performance Review - Education Law §3012-d

Task 4. Teacher Observation Category - Tasks 4.1-4.6

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For guidance on the Teacher Observation category, see NYSED APPR Guidance.

**4.1) Teacher Practice Rubric**

Select a teacher practice rubric from the menu of State-approved rubrics to assess performance based on the observable NYS Teaching Standards.

|                                    |   |
|------------------------------------|---|
| Rubric Name                        | If more than one rubric is utilized, please indicate the group(s) of teachers each rubric applies to. |
| Danielson's Framework for Teaching | (No Response)   |

**4.2) Assurances**

Please check all of the boxes below.

- Assure that all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations.
- Assure that the process for assigning points for the Teacher Observation category will be in compliance with the locally-determined subcomponent weights and overall Observation category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that the same rubric(s) is used for all classroom teachers in a grade/subject across the district/BOCES, provided that districts/BOCES may locally determine whether to use different rubrics for teachers who teach different grades and/or subjects during the school year as indicated in Task 4.1 above.
- Assure that the same rubric(s) is used for all observations of a classroom teacher across the observation types in a given school year.

**4.3) Process for Weighting Rubric Domains/Subcomponents**

For guidance on the Teacher Observation category, see NYSED APPR Guidance.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged).

Each component of the specific domain will be rated 1-4 by the evaluator. The point values of each observable component within a specific domain are totaled and divided by the total number of observable components within that domain. The resulting number (1-4) will be multiplied by the weighting factor designated below. All observable teaching standards will be assessed at least annually.

Observable domains shall be weighted as follows:

Domains Weighting Calculation

Domain 2

Classroom Environment 50% (D2 Average) x (50%) = D2 Weighted Score

Domain 3

Instruction 50% (D3 Average) x (50%) = D3 Weighted Score

Totals 100% Sum Total of Weighted Scores

**4.4) Calculating Observation Ratings**

**Assurances**

Please check each of the boxes below.

- Assure that each set of observations (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted observation score will then be converted into a HEDI rating using the ranges indicated below.
- Assure that once all observations are complete, the different types of observations will be combined using a weighted average consistent with the weights specified in task 4.5 below, producing an overall Observation category score between 0 and 4. In the event that a teacher earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

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Task 4. Teacher Observation Category - Tasks 4.1-4.6

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**Please also check each of the following boxes.**

- Assure that if the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver, the second observation(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator. See Section 30-3.4(d)(2)(i)(b)(1) of the Rules of the Board of Regents.
- Assure that if the district/BOCES is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 4 of the district's/BOCES' approved §3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.4(d)(2)(i)(b)(2) of the Rules of the Board of Regents.

**Teacher Observation Scoring Bands**

|          | Overall Observation Category Score and Rating |              |
|----------|---|--------------|
|          | Minimum                                       | Maximum      |
| <b>H</b> | 3.5 to 3.75                                   | 4.0          |
| <b>E</b> | 2.5 to 2.75                                   | 3.49 to 3.74 |
| <b>D</b> | 1.5 to 1.75                                   | 2.49 to 2.74 |
| <b>I</b> | 0   | 1.49 to 1.74 |

**HEDI Ranges**

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

|                   | Minimum Rubric Score | Maximum Rubric Score |
|-------------------|----------------------|----------------------|
| Highly Effective: | 3.50                 | 4.00                 |

|            | Minimum Rubric Score | Maximum Rubric Score |
|------------|----------------------|----------------------|
| Effective: | 2.50                 | 3.49                 |

|             | Minimum Rubric Score | Maximum Rubric Score |
|-------------|----------------------|----------------------|
| Developing: | 1.50                 | 2.49                 |

|              | Minimum Rubric Score | Maximum Rubric Score |
|--------------|----------------------|----------------------|
| Ineffective: | 0.00                 | 1.49                 |

**4.5) Teacher Observation Subcomponent Weighting**

Required Subcomponents:

- Observations by Principal(s) or Other Trained Administrators: At least 80% of the Teacher Observation category score

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Task 4. Teacher Observation Category - Tasks 4.1-4.6

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- Observations by Impartial Independent Trained Evaluator(s)\*: At least 10%, but no more than 20%, of the Teacher Observation category score

**Optional Subcomponent:**

- Observations by Trained Peer Observer(s): No more than 10% of the Teacher Observation category score when selected

Please be sure the total of the weights indicated equals 100%.

*\* If the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator.*

Please indicate the weight of each observation type and be sure the total of the weights indicated equals 100%.

| Required - Principal/<br>Administrator | Required - Independent<br>Evaluator(s) | Optional - Peer Observer(s) | Grades and subjects for which<br>Peer Observers will be used |
|--|--|-----------------------------|--|
| 90%                                    | 10%                                    | N/A                         | (No Response)  |

**4.6) Assurances**

Please check all of the boxes below.

- Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the teacher(s) they are evaluating.
- Assure that, if observations are being conducted by trained peer observer(s), these teacher(s) received an overall rating of Effective or Highly Effective in the previous school year.
- Assure that the following elements will not be used in calculating a teacher's Observation category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; and/or use of professional goal-setting as evidence of teacher effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that the length of all observations for teachers will be conducted pursuant to the locally-determined durations.
- Assure that independent evaluator(s) will be trained and selected by the district/BOCES.
- Assure that peer observer(s), as applicable, will be trained and selected by the district/BOCES.
- Assure that at least one of the required observations will be unannounced.

**4.7) Number and Method of Observations**

Indicate the minimum number of unannounced and announced observations for each type of observer, as well as the method of observation, in the tables below.

**Tenured Teachers**

|             | Required -<br>Principal/<br>Administrator:<br>Minimum<br>observations | Required -<br>Principal/<br>Administrator:<br>Observation<br>method | Required -<br>Independent<br>Evaluator(s):<br>Minimum<br>observations | Required -<br>Independent<br>Evaluator(s):<br>Observation<br>method | Optional - Peer<br>Observer(s):<br>Minimum<br>observations | Optional - Peer<br>Observer(s):<br>Observation<br>method |
|-------------|---|---|---|---|--|--|
| Unannounced | 0   | N/A   | 1   | In person   | 0  | N/A  |
| Announced   | 1   | In person   | 0   | N/A   | 0  | N/A  |

**Probationary Teachers**

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Task 4. Teacher Observation Category - Tasks 4.1-4.6

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|             | Required - Principal/Administrator: Minimum observations | Required - Principal/Administrator: Observation method | Required - Independent Evaluator(s): Minimum observations | Required - Independent Evaluator(s): Observation method | Optional - Peer Observer(s): Minimum observations | Optional - Peer Observer(s): Observation method |
|-------------|--|--|---|---|---|---|
| Unannounced | 0  | N/A  | 1   | In person   | 0   | N/A   |
| Announced   | 2  | In person  | 0   | N/A   | 0   | N/A   |

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Task 5. Overall Scoring (Teachers) - Tasks 5.1-5.3

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For guidance on APPR scoring, see NYSED APPR Guidance.

**5.1) Scoring Ranges**

**Student Performance Category**

HEDI ratings must be assigned based on the point distribution below.

**Teacher Observation**

HEDI ratings must be assigned based on locally-determined ranges consistent with the constraints listed below.

| Overall Student Performance Category Score and Rating |         |         |
|---|---------|---------|
|   | Minimum | Maximum |
| <b>H</b>  | 18      | 20      |
| <b>E</b>  | 15      | 17      |
| <b>D</b>  | 13      | 14      |
| <b>I</b>  | 0       | 12      |

| Overall Observation Category Category Score and Rating |             |              |
|--|-------------|--------------|
|  | Minimum     | Maximum      |
| <b>H</b>   | 3.5 to 3.75 | 4.0          |
| <b>E</b>   | 2.5 to 2.75 | 3.49 to 3.74 |
| <b>D</b>   | 1.5 to 1.75 | 2.49 to 2.74 |
| <b>I</b>   | 0           | 1.49 to 1.74 |

**5.2) Scoring Matrix for the Overall Rating**

|                              |                      | Teacher Observation Category |               |                |                 |
|------------------------------|----------------------|------------------------------|---------------|----------------|-----------------|
|                              |                      | Highly Effective (H)         | Effective (E) | Developing (D) | Ineffective (I) |
| Student Performance Category | Highly Effective (H) | H                            | H             | E              | D               |
|                              | Effective (E)        | H                            | E             | E              | D               |
|                              | Developing (D)       | E                            | E             | D              | I               |
|                              | Ineffective (I)      | D*                           | D*            | I              | I               |

\* If a teacher is rated Ineffective on the Student Performance category, and a State-designed supplemental assessment was included as an Optional subcomponent of the Student Performance category, the teacher can be rated no higher than Ineffective overall (see Education Law §3012-d (5)(a) and (7)).

**5.3) Assurances**

Please check all of the boxes below.

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that it is possible to obtain a zero in each subcomponent.
- Assure the overall rating determination for a teacher shall be determined according to the evaluation matrix.
- Assure that a student will not be instructed, for two consecutive school years, by any two teachers of the same subject in the same school district, each of whom received an Ineffective rating under Education Law §3012-d in the year immediately prior to the school year in which the student is placed in the teacher's classroom unless the district has a Department-approved waiver from this requirement.

**Annual Professional Performance Review - Education Law §3012-d**Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9

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For more information on the additional requirements for teachers, see NYSED APPR Guidance.

**6.1) Assurances: Teacher Improvement Plans****Please check each of the boxes below.**

- Assure that the district/BOCES will formulate and commence implementation of a Teacher Improvement Plan (TIP) for all teachers who receive a Developing or Ineffective rating by October 1 following the school year for which such teacher's performance is being measured or as soon as practicable thereafter.
- Assure that TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of their pedagogical judgment, and subject to collective bargaining to the extent required under article 14 of the Civil Service Law, shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a teacher's improvement in those areas.

**6.2) Attachment: Teacher Improvement Plan Forms**

All TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

- 1) identification of needed areas of improvement;
- 2) a timeline for achieving improvement;
- 3) the manner in which the improvement will be assessed; and, where appropriate,
- 4) differentiated activities to support a teacher's improvement in those areas.

**As a required attachment to this APPR plan, upload the TIP forms that are used in the school district/BOCES.**

Revised TIP\_FORM\_3012-d.docx

**6.3) Assurance: Appeals****Please check the box below.**

- Assure the district/BOCES has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.

**6.4) Appeals**

Pursuant to Education Law §3012-d, a teacher may only challenge the following in an appeal to their district/BOCES:

- (1) the substance of the annual professional performance review; which shall include the following:
  - (i) in the instance of a teacher rated Ineffective on the Student Performance category, but rated Highly Effective on the Observation category based on an anomaly, as determined locally;
- (2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d;
- (3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as required under Education Law 3012-d and Subpart 30-3 of the Rules of the Board of Regents; and
- (4) the school district's/BOCES' issuance and/or implementation of the terms of the teacher improvement plan, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

**Annual Professional Performance Review - Education Law §3012-d****Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9**

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**Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way.****PROCEDURES FOR APPEALING AN ANNUAL PROFESSIONAL PERFORMANCE REVIEW**

The following procedures are the exclusive means for initiating, reviewing and resolving any and all challenges and appeals related to a tenured teacher's annual professional performance review. The procedures contained herein are not available to probationary teachers.

The grievance and/or arbitration procedures in the collective bargaining agreement shall not be used to appeal or review a teacher's annual professional performance review. To the extent that a conflict exists between the collective bargaining agreement and this procedure, the terms and conditions of this procedure shall prevail and be applied.

This procedure shall be in effect unless changed by the parties and approved by SED or until the requirement to have such a procedure under Education Law §3012-d is repealed by law, regulation or a valid ruling by a court or administrative agency with jurisdiction.

- (1) For the school year in which the appeal is made, a teacher who receives a composite rating of "ineffective" or "developing" may appeal his or her performance review.
- (2) A teacher who receives a composite rating of "developing" may only appeal if that teacher received a composite rating of "highly effective" or "effective" for the school year immediately preceding that "developing" rating. A teacher who receives a composite rating of "ineffective" may appeal his or her performance review.
- (3) At no time will a composite rating of "highly effective" or "effective" be appealable. Teachers who receive a composite rating of "highly effective" or "effective" may submit a written response that will be attached to their APPR.
- (4) A teacher may appeal only (A) the substance of his or her performance review, (B) the BOCES's adherence to standards and methodologies required for such reviews, (C) adherence to applicable regulations of the commissioner of education, and (D) compliance with the procedures for the conduct of performance reviews set forth in the annual professional performance review plan.
- (5) In an effort to correct "procedural" violations as they arise, any alleged violation of (B), (C) or (D) above, must be brought to the District Superintendent's attention by the affected teacher, in writing, within twenty (20) school days of the time that said teacher becomes aware or should have reasonably become aware that a violation may have occurred.
- (6) A teacher may not file multiple appeals regarding the same performance review. All grounds for appealing a particular performance review must be raised within the same appeal. Any grounds not raised at the time the appeal is filed shall be deemed waived.
- (7) Appeals concerning a teacher's performance review, any alleged violation of (4) above, must be received in the office of the District Superintendent within ten (10) school days from the receipt of the overall rating. The failure to submit an appeal within this time frame shall result in a waiver of the teacher's right to appeal that performance review.
- (8) A teacher wishing to initiate an appeal must submit, in writing (e-mail or other electronic submissions are not permitted), to the District Superintendent or his/her designee, with a copy to the administrator responsible as lead evaluator for the observation and/or as designated to approve the teacher's SLO, a detailed description of the precise point(s) of disagreement over his or her performance review, along with any and all additional documents or written materials that he or she believes are relevant to the resolution of the appeal. Any such additional information not submitted at the time the appeal is filed shall not be considered in the deliberations related to the resolution of the appeal. Appeals may also be sent to the District Superintendent by certified mail with return receipt requested.
- (9) Within ten (10) school days of the District Superintendent's receipt of an appeal, the evaluator responsible for the performance review being appealed shall submit to the District Superintendent or his/her designee a detailed response to the appeal, including copies of any and all documents or information used to develop the performance review being appealed.
- (10) The teacher bringing an appeal has the burden of demonstrating a clear legal right to the relief requested and the burden of establishing that there is no substantial evidence upon which to base the District's conclusion.
- (11) The District Superintendent or his/her designee shall issue a written decision on the merits of the appeal no later than twenty (20) school days from the date when the teacher filed his or her appeal.
- (12) The decision of the District Superintendent or his/her designee shall be final and an appeal shall be deemed completed upon the issuance of that decision. The decision of the District Superintendent shall not be subject to any further appeal and/or separate contractual grievance process.
- (13) If the appeal is sustained, the original performance review shall be expunged and replaced with the performance review drafted by the District Superintendent or his/her designee. This performance review may not be reviewed or appealed under this procedure.
- (14) The teacher's failure to comply with the requirements of these procedures shall result in a waiver and/or denial of the appeal.

**6.5) Assurance: Evaluators****Please check the box below.**

- The district/BOCES assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a teacher's evaluation. Note: independent observers and peer observers need only be trained on elements 1, 2, and 4 below.

**Annual Professional Performance Review - Education Law §3012-d****Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9**

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**6.6) Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators**

The process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators must include:

- 1) the process for training lead evaluators and evaluators, including impartial independent observers and peer observers;
- 2) the process for the certification and re-certification of lead evaluators;
- 3) the process for ensuring inter-rater reliability; and
- 4) the nature (content) and the duration (how many hours, days) of such training.

**Describe the process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators.****Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators**

Only fully New York State certified evaluators (SAS, CAS, SBL, SDL, Certificate of Internship, etc.), that have been designated as evaluators in the BOCES may evaluate teachers. Any district-level administrator, K-12 Instruction Department staff, or other BOCES employee who is not a member of the Wayne Finger Lakes BOCES Educators' Association, and who has completed the required trainings, may serve as an evaluator.

Any evaluators who participates in the evaluation of teachers for the purpose of determining an APPR rating, including lead evaluators and independent observers, shall be fully trained and/or certified as required by Education law §3012-d and the implementing Regulations of the Commissioner of Education prior to completion of teacher evaluations. The initial APPR training for all evaluators will consist of four (4) days of training on the nine (9) elements under section 30-3 of the Regents Rules. The trainings will focus on approved district selected rubrics, NYS Teaching Standards, evidence-based observations including calibration work to ensure interrater agreement and reliability. The BOCES District Superintendent will ensure that all evaluators have been trained and that all lead evaluators have been trained and certified in accordance with regulation.

The BOCES will work to ensure that all evaluators, lead evaluators and independent observers maintain inter-rater reliability over time and that they are recertified as required by Education Law §3012-d, receiving updated training on any changes in the law, regulations or applicable collective bargaining agreements. Recertification training will be completed annually with a minimum of the equivalent of three (3) days devoted to evidence based observation, calibration work to ensure inter-rater agreement and reliability, NYS Teaching Standards and growth producing feedback.

The BOCES District Superintendent will maintain records of annual certification by the Board of Education and training of all evaluators. Any new evaluator will participate and complete Lead Evaluators Training.

**6.7) Assurances: Teacher Evaluation**

**Annual Professional Performance Review - Education Law §3012-d****Task 6. Additional Requirements (Teachers) - Tasks 6.1-6.9**

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**Please check all of the boxes below.**

- Assure that the district/BOCES shall compute and provide to the teacher their score and rating for the Student Performance category, if available, and for the Teacher Observation category for the teacher's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the teacher is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.
- Assure that the evaluation system will be used as a significant factor for employment decisions.
- Assure that teachers will receive timely and constructive feedback as part of the evaluation process.
- Assure that the following prohibited elements listed in Education Law §3012-d(6) are not being used as part of any teacher's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of teacher effectiveness; any district or regionally-developed assessment that has not been approved by the Department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall compute and provide teachers whose Student Performance Category measures are based, in whole or in part, on the grades 3-8 ELA/math State assessments and/or State-provided growth scores with their APPR transition scores and ratings as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall provide such teachers with their original composite rating by September 1 of the school year next following the school year for which the teacher's performance is being measured, or as soon as practicable thereafter.

**6.8) Assurances: Assessments****Please check all of the boxes below.**

- Assure that, where applicable, if students take more than one version of the Regents assessment for a particular content area, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.
- Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.
- Assure that, where there is more than one version of a Regents assessment for a content area, that the district/BOCES will only administer both assessments within the timeframes prescribed by the Commissioner. Where only one version of a Regents assessment for a content area is administered in a particular school year, assure that only that assessment will be used as the underlying evidence for an SLO.

**6.9) Assurances: Data****Please check all of the boxes below.**

- Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.
- Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.
- Assure scores and ratings for all teachers will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements.
- Assure that enrolled students in accordance with teacher of record policies are included and may not be excluded.
- Assure that procedures for ensuring data accuracy and integrity are being utilized.

**Annual Professional Performance Review - Education Law §3012-d**

**Task 7. Original Student Performance - Required (Principals) - Original Task 7.1 (State-Provided Growth Measures)**

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**Task 7) Original Required Student Performance Subcomponent**

For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance.

**100% of Student Performance category if only the Required subcomponent is used or at least 50% when used with Optional subcomponent**

**(A) For a principal of a building which includes grades 4-8 ELA, math and/or high school courses with State or Regents assessments (or principals of programs with any of these assessments) who have at least 30% of his/her students covered under a State-provided growth measure, such principal shall have a State-provided growth score based on such model.**

**(B) For a principal where less than 30% of his/her students are covered under the State-provided growth measure, such principal shall have a Student Learning Objective (SLO), consistent with the SLO process determined or developed by the Commissioner, that results in a student growth score; provided that, for any principal whose building or program includes courses that end in a State-created or administered assessment for which there is no State-provided growth model, such assessment must be used as the underlying assessment for such SLO.**

**7.1) State-Provided Measures of Student Growth (Original)**

Please list the grade configurations of the school(s)/program(s) in your district/BOCES where it is expected that 30-100% of a principal’s students are taking assessments for which there is a State growth model (e.g., K-5, K-6, 6-8, 6-12, 9-12, etc.).

For principals where less than 30% of their students are covered under a State-provided growth measure, please use Task 7.2.

For the 2015-16 through 2018-19 school years, principals of buildings or programs that include all of grades 9-12 who receive State-provided growth scores based on Regents assessments set back-up SLOs based on Regents assessments.

For the 2019-20 school year and thereafter, for those principals who would typically receive a State-provided growth score, the district/BOCES must also include a back-up SLO in the event that there are not enough students, not enough scores, or data issues that prevent a State-provided growth score from being calculated for that principal. Principals of buildings or programs that include all of grades 9-12 who receive State-provided growth scores based on Regents assessments should continue to set back-up SLOs based on Regents assessments in the 2019-20 school year and thereafter.

**Please list the grade configurations of the schools or principals where State-provided growth measures will apply beginning in the 2019-20 school year (please list, e.g., K-5, K-6, 6- 8, 6-12, 7-12, 9-12). For each configuration, also indicate assessment(s) used for back-up SLOs.**

**For each grade configuration indicate the following:**

**Column 1: lowest grade that corresponds to the building or program**

**Column 2: highest grade that corresponds to the building or program**

**Column 3: assessment(s) used**

*Follow the examples below.*

|                      | (1) lowest grade | (2) highest grade | (3) assessment(s)   |
|----------------------|------------------|-------------------|---|
| Grades K-6 Building  | K                | 6                 | NYS Grade 4 ELA, NYS Grade 5 ELA, NYS Grade 6 ELA, NYS Grade 4 Math, NYS Grade 5 Math, NYS Grade 6 Math   |
| Grades 7-12 Building | 7                | 12                | Grade 7 ELA, Grade 7 Math, Grade 8 ELA, Grade 8 Math, All applicable Regents assessments which are used to generate the principal's State-provided growth score |

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Task 7. Original Student Performance - Required (Principals) - Original Task 7.1 (State-Provided Growth Measures)

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Using the table below, please select the assessment(s) that will be used for the back-up SLOs beginning in the 2019-20 school year for each grade configuration listed. The SLO will be based on the largest grades/courses in the principal's school building, using State or Regents assessments as the underlying evidence for such SLOs where they exist.

| Grade From | Grade To | State or Regents Assessment(s)   |
|------------|----------|--|
| K          | 12       | <input checked="" type="checkbox"/> Grade 4 ELA<br><input checked="" type="checkbox"/> Grade 5 ELA<br><input checked="" type="checkbox"/> Grade 6 ELA<br><input checked="" type="checkbox"/> Grade 7 ELA<br><input checked="" type="checkbox"/> Grade 8 ELA<br><input checked="" type="checkbox"/> Grade 4 Math<br><input checked="" type="checkbox"/> Grade 5 Math<br><input checked="" type="checkbox"/> Grade 6 Math<br><input checked="" type="checkbox"/> Grade 7 Math<br><input checked="" type="checkbox"/> Grade 8 Math<br><input checked="" type="checkbox"/> All applicable Regents assessments which are used to generate the principal's State-provided growth score |
| K          | 8        | <input checked="" type="checkbox"/> Grade 4 ELA<br><input checked="" type="checkbox"/> Grade 5 ELA<br><input checked="" type="checkbox"/> Grade 6 ELA<br><input checked="" type="checkbox"/> Grade 7 ELA<br><input checked="" type="checkbox"/> Grade 8 ELA<br><input checked="" type="checkbox"/> Grade 4 Math<br><input checked="" type="checkbox"/> Grade 5 Math<br><input checked="" type="checkbox"/> Grade 6 Math<br><input checked="" type="checkbox"/> Grade 7 Math<br><input checked="" type="checkbox"/> Grade 8 Math  |
| 8          | 12       | <input checked="" type="checkbox"/> Grade 8 ELA<br><input checked="" type="checkbox"/> Grade 8 Math<br><input checked="" type="checkbox"/> All applicable Regents assessments which are used to generate the principal's State-provided growth score   |
| 6          | 12       | <input checked="" type="checkbox"/> Grade 6 ELA<br><input checked="" type="checkbox"/> Grade 7 ELA<br><input checked="" type="checkbox"/> Grade 8 ELA<br><input checked="" type="checkbox"/> Grade 6 Math<br><input checked="" type="checkbox"/> Grade 7 Math<br><input checked="" type="checkbox"/> Grade 8 Math<br><input checked="" type="checkbox"/> All applicable Regents assessments which are used to generate the principal's State-provided growth score   |
| 9          | 12       | <input checked="" type="checkbox"/> All applicable Regents assessments which are used to generate the principal's State-provided growth score  |

7.1) Assurances

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Task 7. Original Student Performance - Required (Principals) - Original Task 7.1 (State-Provided Growth Measures)

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**Please check the boxes below.**

- Assure that the growth score provided by NYSED will be used, where required.
- Assure that, for principals of buildings that do not include all of grades 9-12, starting in the 2019-20 school year, back-up SLOs will be set by the superintendent or another trained administrator in the event that a State-provided growth score cannot be generated for that principal and that principals of buildings that include all of grades 9-12 will have back-up SLOs set by the superintendent or another trained administrator in all school years.
- Assure that, during the 2015-16 through 2018-19 school years, the results of the NYS Grades 3-8 ELA/Math assessments and State-provided growth scores will continue to be used to calculate an original score and rating for advisory purposes only.

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Task 7. Original Student Performance - Required (Principals) - Original Tasks 7.2 (SLOs), 7.3-7.6

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**7.2) Student Learning Objectives (Original)**

For guidance on SLOs, see NYSED SLO Guidance.

**SLOs must be used for principals in buildings or programs in which fewer than 30% of students take Grades 4-8 ELA, math, and/or high school courses with State or Regents assessments. SLOs will be developed using the following rules:**

- If any grade/course in the building has a State-provided growth measure AND the principal must have SLOs because fewer than 30% of students in the building are covered, then the SLOs must begin first with the SGP results.
- Additional SLOs must then be set based on grades/subjects with State assessments for which there is no State-provided growth measure, where applicable.
- If additional SLOs are necessary, principals must begin with the grade(s)/courses(s) that have the largest number of students using school- or district-wide student results from one of the following assessment options:
  - State or Regents assessments;
  - State-approved 3rd party assessment; or
  - State-approved district, regionally, or BOCES-developed course-specific assessment.

**For each grade configuration indicate the following:**

**Column 1: lowest grade that corresponds to the building or program**

**Column 2: highest grade that corresponds to the building or program**

**Column 3: measure used**

**Column 4: assessment(s) used**

*Follow the examples below.*

|                       | (1) lowest grade | (2) highest grade | (3) measure                     | (4) assessment(s)  |
|-----------------------|------------------|-------------------|---------------------------------|--|
| Grades K-2 Building   | K                | 2                 | District- or BOCES-wide results | ELA Regents, Algebra I Regents, Living Environment Regents, Global 2 Regents, US History Regents |
| Grades 11-12 Building | 11               | 12                | Principal-specific results      | ELA Regents, US History Regents  |

**Using the table below, please select the measure and assessment(s) that will be used for the SLOs for each grade configuration listed. During the 2016-17 through 2018-19 school years, SLOs that use grade 3-8 ELA/math State assessments as their underlying assessments must be excluded from the calculation of transition scores and ratings.**

| Grade From | Grade To | Measure                    | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s)  |
|------------|----------|----------------------------|--------------------------------|---|--|
| 11         | 12       | Principal-specific results |                                |   | <input checked="" type="checkbox"/> NOCTI (Course-Specific)<br><input checked="" type="checkbox"/> Precision Exams |

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Task 7. Original Student Performance - Required (Principals) - Original Tasks 7.2 (SLOs), 7.3-7.6

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**7.3) HEDI Scoring Bands**

| Highly Effective |        |        | Effective |        |        | Developing |        | Ineffective |        |        |        |        |        |        |        |        |        |       |      |      |
|------------------|--------|--------|-----------|--------|--------|------------|--------|-------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|------|------|
| 20               | 19     | 18     | 17        | 16     | 15     | 14         | 13     | 12          | 11     | 10     | 9      | 8      | 7      | 6      | 5      | 4      | 3      | 2     | 1    | 0    |
| 97-100%          | 93-96% | 90-92% | 85-89%    | 80-84% | 75-79% | 67-74%     | 60-66% | 55-59%      | 49-54% | 44-48% | 39-43% | 34-38% | 29-33% | 25-28% | 21-24% | 17-20% | 13-16% | 9-12% | 5-8% | 0-4% |

**7.4) Principals with More Than One Growth Measure (Original)**

For more information on principals with more than one growth measure, please see NYSED APPR Guidance and SLO Guidance.

If educators have more than one State-provided growth score and rating, those scores and ratings will be combined into one score and HEDI rating for the Required Student Performance subcomponent provided by the Department. (Examples: Principals of K-8 schools with growth measures for ELA and math grades 4-8.)

If educators have more than one SLO (or a State-provided growth measure and an SLO), the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO (or in the State-provided growth measure and the SLO).

**7.5) Assurances**

**Please check all of the boxes below.**

- Assure that the principal has an SLO or a back-up SLO, where applicable, consistent with the goal setting process developed by the Commissioner that results in a student growth score.
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator. Such targets, as determined by the superintendent or another trained administrator, may only take the following characteristics into account: poverty, students with disabilities, English language learners status and prior academic history.
- Assure that all growth targets are approved by the superintendent or another trained administrator.
- Assure that any disagreement between parties regarding the content of the SLO, including the growth target, will be resolved by the superintendent or another trained administrator.
- Assure that if a principal's SLO is based on a small n size population and the district/BOCES chooses not to use the HEDI scoring bands listed in task 7.3, then the principal's 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in APPR Guidance.
- Assure that processes are in place for the superintendent to monitor SLOs.
- Assure that the final Student Performance category rating for each principal will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents and the approved APPR plan.

**7.6) Student Performance Subcomponent Weighting**

- If the Optional subcomponent is not used, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is used, the Required subcomponent must comprise at least 50% of the Student Performance category.

**Please indicate if the Optional subcomponent will be used by making the appropriate selection below.**

NO, the Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.

Annual Professional Performance Review - Education Law §3012-d

Task 7. Transition Student Performance - Required (Principals) - Task 7 Alternate SLOs

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**Task 7) Required Student Performance Subcomponent (Transition Period, 2016-17 through 2018-19)**

*The measures indicated in this section only apply during the 2016-17 through 2018-19 school years.*

For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance and guidance on the use of alternate SLOs during the transition period.

**During the 2016-17 through 2018-19 school years, pursuant to the requirements of §30-3.17 of the Rules of the Board of Regents, if excluding grade 3-8 ELA/math State assessments and any State-provided growth scores results in no remaining student performance measures, districts/BOCES must develop alternate SLOs based on assessments that are not grade 3-8 ELA/math State assessments for the Required subcomponent of the Student Performance Category during the transition to higher standards through new State assessments aligned to revised learning standards and a revised State-approved growth model.**

**7.1-7.2) Alternate SLOs (Transition Period, 2016-17 through 2018-19)**

Please list the grade configurations of the schools or principals where alternate SLOs will apply. For each configuration, also indicate the measure and assessment(s) used for the alternate SLO.

For each grade configuration indicate the following:

**Column 1:** lowest grade that corresponds to the building or program

**Column 2:** highest grade that corresponds to the building or program

**Column 3:** measure used

**Column 4:** assessment(s) used

*Follow the examples below.*

|                       | (1) lowest grade | (2) highest grade | (3) measure                     | (4) assessment(s)  |
|-----------------------|------------------|-------------------|---------------------------------|--|
| Grades K-2 Building   | K                | 2                 | District- or BOCES-wide results | ELA Regents, Algebra I Regents, Living Environment Regents, Global 2 Regents, US History Regents |
| Grades 11-12 Building | 11               | 12                | Principal-specific results      | ELA Regents, US History Regents  |

Using the table below, please select the assessment(s) that will be used for the alternate SLOs during the 2016-17 through 2018-19 school years for each grade configuration listed. In all other school years, the SLO will be based on the largest grades/courses in the principal's school building, using State or Regents assessments as the underlying evidence for such SLOs where they exist.

| Grade From | Grade To | Measure                    | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s)  |
|------------|----------|----------------------------|--------------------------------|---|--|
| K          | 12       | Principal-specific results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Math<br><input checked="" type="checkbox"/> STAR |

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Task 7. Transition Student Performance - Required (Principals) - Task 7 Alternate SLOs

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| Grade From | Grade To | Measure                    | State or Regents Assessment(s) | Locally-Developed Course-Specific Assessment(s) | Third Party Assessment(s)  |
|------------|----------|----------------------------|--------------------------------|---|--|
|            |          |                            |                                |   | Reading  |
| K          | 8        | Principal-specific results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Math<br><input checked="" type="checkbox"/> STAR Reading |
| 8          | 12       | Principal-specific results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Math<br><input checked="" type="checkbox"/> STAR Reading |
| 6          | 12       | Principal-specific results |                                | <input checked="" type="checkbox"/> WFL BOCES   | <input checked="" type="checkbox"/> STAR Early Literacy<br><input checked="" type="checkbox"/> STAR Math<br><input checked="" type="checkbox"/> STAR Reading |

**7.3) HEDI Scoring Bands**

| Highly Effective |        |        | Effective |        |        | Developing |        | Ineffective |        |        |        |        |        |        |        |        |        |       |      |      |
|------------------|--------|--------|-----------|--------|--------|------------|--------|-------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|------|------|
| 20               | 19     | 18     | 17        | 16     | 15     | 14         | 13     | 12          | 11     | 10     | 9      | 8      | 7      | 6      | 5      | 4      | 3      | 2     | 1    | 0    |
| 97-100%          | 93-96% | 90-92% | 85-89%    | 80-84% | 75-79% | 67-74%     | 60-66% | 55-59%      | 49-54% | 44-48% | 39-43% | 34-38% | 29-33% | 25-28% | 21-24% | 17-20% | 13-16% | 9-12% | 5-8% | 0-4% |

**7.4) Principals with More than One Growth Measure (Transition)**

For more information on principals with more than one growth measure, please see NYSED APPR Guidance and SLO Guidance.

If educators have more than one alternate SLO, the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO.

**Annual Professional Performance Review - Education Law §3012-d**

Task 8.1. Optional Subcomponent Use (Principals) - Task 8.1 (Subcomponent Use and Weighting)

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**Task 8) Optional Student Performance Subcomponent**

For guidance on the Optional subcomponent of the Student Performance category, see NYSED APPR Guidance.

*Up to 50% of Student Performance category, if selected.*

Such second measure shall apply in a consistent manner, to the extent practicable, across all buildings with the same grade configuration or program in the district/BOCES and be either:

(A) a second State-provided growth score on a State-created or administered test, provided that a different measure is used than that for the Required subcomponent in the Student Performance category, or

(B) a growth score based on a State-designed supplemental assessment, calculated using a State-provided or approved growth model.

**8.1) Use of the Optional Subcomponent for Student Performance Measures**

**Please indicate if the Optional subcomponent will be used by making the appropriate selection below.**

NO, the Optional subcomponent WILL NOT be used in the Student Performance category for any principal.

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

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For guidance on the Principal School Visit category, see NYSED APPR Guidance.

**9.1) Principal Practice Rubric**

Select a principal practice rubric from the menu of State-approved rubrics to assess performance based on ISLLC 2008 Standards.

|   |   |
|---|---|
| Rubric Name                                   | If more than one rubric is utilized, please indicate the group(s) of principals each rubric applies to. |
| Multidimensional Principal Performance Rubric | (No Response)   |

**9.2) Assurances**

Please check all of the boxes below.

- Assure that all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits.
- Assure that the process for assigning points for the Principal School Visit category will be in compliance with the locally-determined subcomponent weights and overall School Visit category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the district/BOCES, provided that districts/BOCES may locally determine whether to use different rubrics for a principal assigned to different grade level configurations or building types as indicated in Task 9.1 above.
- Assure that the same rubric(s) is used for all school visits for a principal across the school visit types in a given school year.

**9.3) Process for Weighting Rubric Domains/Subcomponents**

For guidance on the Principal School Visit category, see NYSED APPR Guidance.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged).

Each domain of the rubric is rated by the evaluators on a 1-4 point scale. The point values for each rubric domain are multiplied by a weighting factors to arrive at a total score. Each domain of the rubric is observable and rated on a 1-4 point scale.

**Domains shall be weighted as follows:**

All the components will be rated on a scale of 1-4.

Domains Weighting Calculation

Domain 1: Shared Vision of Learning 25%

(D1 Average) x (25%) = D1 Weighted Score

Domain 2: School Culture and Instructional Program 25%

(D2 Average) x (25%) = D2 Weighted Score

Domain 3: Safe, Efficient, Effective Learning Environment 25%

(D3 Average) x (25%) = D3 Weighted Score

Domain 4: Community 10%

(D4 Average) x (10%) = D4 Weighted Score

Domain 5: Integrity, Fairness, and Ethics 8%

(D5 Average) x (8%) = D5 Weighted Score

Domain 6: Political, Social, Economic, Legal and Cultural Context 7%

(D6 Average) x (7%) = D6 Weighted Score

Totals 100% Sum Total of Weighted Scores

**9.4) Calculating School Visit Ratings**

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

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**Assurances**

**Please check each of the boxes below.**

- Assure that each set of school visits (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted school visit score will be converted into a HEDI rating using the ranges indicated below.
- Assure that once all school visits are complete, the different types of school visits will be combined using a weighted average consistent with the weights specified in task 9.5 below, producing an overall School Visit category score between 0 and 4. In the event that a principal earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

**Please also check each of the following boxes.**

- Assure that if the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or his/her designee. See Section 30-3.5(d)(1)(ii)(a) of the Rules of the Board of Regents.
- Assure that if the district/BOCES is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 9 of the district's/BOCES' approved §3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.5(d)(1)(ii)(b) of the Rules of the Board of Regents.

**Principal School Visit Scoring Bands**

|          | <b>Overall School Visit Category Score and Rating</b> |              |
|----------|---|--------------|
|          | Minimum   | Maximum      |
| <b>H</b> | 3.5 to 3.75   | 4.0          |
| <b>E</b> | 2.5 to 2.75   | 3.49 to 3.74 |
| <b>D</b> | 1.5 to 1.75   | 2.49 to 2.74 |
| <b>I</b> | 0   | 1.49 to 1.74 |

**HEDI Ranges**

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

|                   | Minimum Rubric Score | Maximum Rubric Score |
|-------------------|----------------------|----------------------|
| Highly Effective: | 3.75                 | 4.00                 |

|            | Minimum Rubric Score | Maximum Rubric Score |
|------------|----------------------|----------------------|
| Effective: | 2.75                 | 3.74                 |

|             | Minimum Rubric Score | Maximum Rubric Score |
|-------------|----------------------|----------------------|
| Developing: |                      |                      |

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Task 9. Principal School Visit Category - Tasks 9.1-9.6

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|  |                      |                      |
|--|----------------------|----------------------|
|  | Minimum Rubric Score | Maximum Rubric Score |
|  | 1.75                 | 2.74                 |

|              |                      |                      |
|--------------|----------------------|----------------------|
|              | Minimum Rubric Score | Maximum Rubric Score |
| Ineffective: | 0.00                 | 1.74                 |

**9.5) Principal School Visit Subcomponent Weighting**

**Required Subcomponents:**

- School Visits by Supervisor(s) or other Trained Administrator(s): At least 80% of the Principal School Visit category score
- School Visits by Impartial Independent Trained Evaluator(s)\*: At least 10%, but no more than 20%, of the Principal School Visit category score

**Optional Subcomponent:**

- School Visits by Trained Peer Observer(s): No more than 10% of the Principal School Visit category score when selected

*\* If the district is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or their designee.*

**Please indicate the weight of each school visit type and be sure the total of the weights indicated equals 100%.**

| Required - Supervisor/<br>Administrator | Required - Independent<br>Evaluator(s) | Optional - Peer Observer(s) | Grade configurations for which<br>Peer Observers will be used |
|---|--|-----------------------------|---|
| 90%                                     | 10%                                    | N/A                         | (No Response)   |

**9.6) Assurances**

**Please check all of the boxes below.**

- Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the principal(s) they are evaluating.
- Assure that, if school visits are being conducted by trained peer observer(s), these principal(s) received an overall rating of Effective or Highly Effective in the previous school year.
- Assure that the following elements will not be used in calculating a principal's school visit category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; and/or use of professional goal-setting as evidence of principal effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that the length of all school visits for principals will be conducted pursuant to the locally-determined durations.
- Assure that independent evaluator(s) will be trained and selected by the district/BOCES.
- Assure that peer observer(s), as applicable, will be trained and selected by the district/BOCES.
- Assure that at least one of the required school visits will be unannounced.
- Assure that school visits will not be conducted via video.

**9.7) Number of School Visits**

**Indicate the minimum number of unannounced and announced school visits for each type of observer in the tables below.**

***Tenured Principals***

Annual Professional Performance Review - Education Law §3012-d

Task 9. Principal School Visit Category - Tasks 9.1-9.6

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|             | Required - Supervisor/ Administrator:<br>Minimum school visits | Required - Independent Evaluator(s):<br>Minimum school visits | Optional - Peer Observer(s):<br>Minimum school visits |
|-------------|--|---|---|
| Unannounced | 1  | 1   | 0   |
| Announced   | 1  | 0   | 0   |

***Probationary Principals***

|             | Required - Supervisor/ Administrator:<br>Minimum school visits | Required - Independent Evaluator(s):<br>Minimum school visits | Optional - Peer Observer(s):<br>Minimum school visits |
|-------------|--|---|---|
| Unannounced | 1  | 1   | 0   |
| Announced   | 2  | 0   | 0   |

Annual Professional Performance Review - Education Law §3012-d

Task 10. Overall Scoring (Principals) - Tasks 10.1-10.3

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For guidance on APPR scoring, see NYSED APPR Guidance.

**10.1) Scoring Ranges**

**Student Performance Category**

HEDI ratings must be assigned based on the point distribution below.

**Principal School Visit Category**

HEDI ratings must be assigned based on locally-determined ranges consistent with the constraints listed below.

|          | Overall Student Performance Category Score and Rating |         |
|----------|---|---------|
|          | Minimum   | Maximum |
| <b>H</b> | 18  | 20      |
| <b>E</b> | 15  | 17      |
| <b>D</b> | 13  | 14      |
| <b>I</b> | 0   | 12      |

|          | Overall School Visit Category Score and Rating |              |
|----------|--|--------------|
|          | Minimum  | Maximum      |
| <b>H</b> | 3.5 to 3.75                                    | 4.0          |
| <b>E</b> | 2.5 to 2.75                                    | 3.49 to 3.74 |
| <b>D</b> | 1.5 to 1.75                                    | 2.49 to 2.74 |
| <b>I</b> | 0  | 1.49 to 1.74 |

**10.2) Scoring Matrix for the Overall Rating**

|                              |                      | Principal School Visit Category |               |                |                 |
|------------------------------|----------------------|---------------------------------|---------------|----------------|-----------------|
|                              |                      | Highly Effective (H)            | Effective (E) | Developing (D) | Ineffective (I) |
| Student Performance Category | Highly Effective (H) | H                               | H             | E              | D               |
|                              | Effective (E)        | H                               | E             | E              | D               |
|                              | Developing (D)       | E                               | E             | D              | I               |
|                              | Ineffective (I)      | D*                              | D*            | I              | I               |

\* If a principal is rated Ineffective on the Student Performance category, and a State-designed supplemental assessment was included as an Optional subcomponent of the Student Performance category, the principal can be rated no higher than Ineffective overall (see Education Law §3012-d (5)(a) and (7)).

**10.3) Assurances**

Please check all of the boxes below.

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that it is possible to obtain a zero in each subcomponent.
- Assure the overall rating determination for a principal shall be determined according to the evaluation matrix.

**Annual Professional Performance Review - Education Law §3012-d****Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9**

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For guidance on additional requirements for principals, see NYSED APPR Guidance.

**11.1) Assurances: Improvement Plans****Please check each of the boxes below.**

- Assure that the district/BOCES will formulate and commence implementation of a Principal Improvement Plan (PIP) for all principals who receive a Developing or Ineffective rating by October 1 following the school year for which such principal's performance is being measured or as soon as practicable thereafter.
- Assure that PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of their pedagogical judgment, and subject to collective bargaining to the extent required under article 14 of the Civil Service Law, shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a principal's improvement in those areas.

**11.2) Attachment: Principal Improvement Plan Forms**

All PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

- 1) identification of needed areas of improvement;
- 2) a timeline for achieving improvement;
- 3) the manner in which the improvement will be assessed; and, where appropriate,
- 4) differentiated activities to support a principal's improvement in those areas.

**As a required attachment to this APPR plan, upload the PIP forms that are used in the school district/BOCES.**

APPR PIP Form 2017.docx

**11.3) Assurance: Appeals****Please check the box below.**

- Assure the district/BOCES has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.

**11.4) Appeals**

Pursuant to Education Law §3012-d, a principal may only challenge the following in an appeal to their district/BOCES:

- (1) the substance of the annual professional performance review; which shall include the following:
  - (i) in the instance of a principal rated Ineffective on the student performance category, but rated Highly Effective on the school visit category based on an anomaly, as determined locally;
- (2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d;
- (3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as required under Education Law 3012-d and Subpart 30-3 of the Rules of the Board of Regents; and
- (4) the school district's/BOCES' issuance and/or implementation of the terms of the principal improvement plan, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

**Annual Professional Performance Review - Education Law §3012-d****Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9**

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**Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way.**

**PROCEDURES FOR APPEALING AN ANNUAL PROFESSIONAL PERFORMANCE REVIEW**

The following procedures are the exclusive means for initiating, reviewing and resolving any and all challenges and appeals related to a tenured principal's annual professional performance review. The procedures contained herein are not available to probationary principals.

The grievance and/or arbitration procedures in the collective bargaining agreement shall not be used to appeal or review a principal's annual professional performance review. To the extent that a conflict exists between the collective bargaining agreement and this procedure, the terms and conditions of this procedure shall prevail and be applied.

This procedure shall be in effect unless changed by the parties and approved by SED or until the requirement to have such a procedure under Education Law §3012-d is repealed by law, regulation or a valid ruling by a court or administrative agency with jurisdiction.

- (1) For the school year in which the appeal is made, a principal who receives a composite rating of "ineffective" or "developing" may appeal his or her performance review.
- (2) A principal who receives a composite rating of "developing" may only appeal if that principal received a composite rating of "highly effective" or "effective" for the school year immediately preceding that "developing" rating. A principal who receives a composite rating of "ineffective" may appeal his or her performance review.
- (3) At no time will a composite rating of "highly effective" or "effective" be appealable. Principals who receive a composite rating of "highly effective" or "effective" may submit a written response that will be attached to their APPR.
- (4) A principal may appeal only (A) the substance of his or her performance review, (B) the BOCES's adherence to standards and methodologies required for such reviews, (C) adherence to applicable regulations of the commissioner of education, and (D) compliance with the procedures for the conduct of performance reviews set forth in the annual professional performance review plan.
- (5) In an effort to correct "procedural" violations as they arise, any alleged violation of (B), (C) or (D) above, must be brought to the District Superintendent's attention by the affected principal, in writing, within twenty (20) school days of the time that said principal becomes aware or should have reasonably become aware that a violation may have occurred.
- (6) A principal may not file multiple appeals regarding the same performance review. All grounds for appealing a particular performance review must be raised within the same appeal. Any grounds not raised at the time the appeal is filed shall be deemed waived.
- (7) Appeals concerning a principal's performance review, any alleged violation of (4) above, must be received in the office of the District Superintendent within ten (10) school days from the receipt of the overall rating. The failure to submit an appeal within this time frame shall result in a waiver of the principal's right to appeal that performance review.
- (8) A principal wishing to initiate an appeal must submit, in writing (e-mail or other electronic submissions are not permitted), to the District Superintendent or his/her designee, with a copy to the administrator responsible as lead evaluator for the observation and/or as designated to approve the principal's SLO, a detailed description of the precise point(s) of disagreement over his or her performance review, along with any and all additional documents or written materials that he or she believes are relevant to the resolution of the appeal. Any such additional information not submitted at the time the appeal is filed shall not be considered in the deliberations related to the resolution of the appeal. Appeals may also be sent to the District Superintendent by certified mail with return receipt requested.
- (9) Within ten (10) school days of the District Superintendent's receipt of an appeal, the evaluator responsible for the performance review being appealed shall submit to the District Superintendent or his/her designee a detailed response to the appeal, including copies of any and all documents or information used to develop the performance review being appealed.
- (10) The principal bringing an appeal has the burden of demonstrating a clear legal right to the relief requested and the burden of establishing that there is no substantial evidence upon which to base the District's conclusion.
- (11) The District Superintendent or his/her designee shall issue a written decision on the merits of the appeal no later than twenty (20) school days from the date when the principal filed his or her appeal.
- (12) The decision of the District Superintendent or his/her designee shall be final and an appeal shall be deemed completed upon the issuance of that decision. The decision of the District Superintendent shall not be subject to any further appeal and/or separate contractual grievance process.
- (13) If the appeal is sustained, the original performance review shall be expunged and replaced with the performance review drafted by the District Superintendent or his/her designee. This performance review may not be reviewed or appealed under this procedure.
- (14) The principal's failure to comply with the requirements of these procedures shall result in a waiver and/or denial of the appeal.

**11.5) Assurance: Evaluators**

**Please check the box below.**

- The district/BOCES assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a principal's evaluation. Note: independent observers and peer observers need only be trained on elements 1, 2, and 4 below.

**Annual Professional Performance Review - Education Law §3012-d****Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9**

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**11.6) Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators**

The process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators must include:

- 1) the process for training lead evaluators and evaluators, including impartial independent observers and peer observers;
- 2) the process for the certification and re-certification of lead evaluators;
- 3) the process for ensuring inter-rater reliability; and
- 4) the nature (content) and the duration (how many hours, days) of such training.

**Describe the process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators.****Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators**

Only fully New York State certified evaluators (SAS, CAS, SBL, SDL, Certificate of Internship, etc.), that have been designated as evaluators in the BOCES may evaluate principals.

Any evaluators who participate in the evaluation of principals for the purpose of determining an APPR rating, including lead evaluators and independent observers, shall be fully trained and/or certified as required by Education law §3012-d and the implementing Regulations of the Commissioner of Education prior to completion of principal evaluations. Such training shall include the nine required elements as described in Rules of the Board of Regents Subpart 30-3.10.

The initial training for all evaluators will consist of two (2) days of training. The trainings will focus on the approved MPPR rubric and evidence-based observations including calibration work to ensure interrater agreement and reliability.

The BOCES District Superintendent will ensure that all evaluators have been trained, and that all are periodically certified by the BOCES Board of Education as lead evaluators in accordance with regulation.

The BOCES will work to ensure that all evaluators, lead evaluators and independent observers maintain inter-rater reliability over time and that they are recertified as required by Education Law §3012-d, receiving updated training on any changes in the law, regulations or applicable collective bargaining agreements through one (1) day of annual training devoted to evidence based observation, calibration work to ensure inter-rater agreement and reliability, the MPPR rubric domains and growth producing feedback.

The BOCES District Superintendent will maintain records of annual certification by the Board of Education and training of all evaluators. Any new evaluator will participate and complete Lead Evaluators Training

**11.7) Assurances: Principal Evaluation**

**Annual Professional Performance Review - Education Law §3012-d****Task 11. Additional Requirements (Principals) - Tasks 11.1-11.9**

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**Please check all of the boxes below.**

- Assure that the district/BOCES shall compute and provide to the principal their score and rating for the Student Performance category, if available, and for the Principal School Visit category for the principal's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the principal is being measured, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.
- Assure that the evaluation system will be used as a significant factor for employment decisions.
- Assure that principals will receive timely and constructive feedback as part of the evaluation process.
- Assure that the following prohibited elements listed in Education Law §3012-d(6) are not being used as part of any principal's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness; any district or regionally-developed assessment that has not been approved by the department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall compute and provide principals whose Student Performance Category measures are based, in whole or in part, on the grades 3-8 ELA/math State assessments and/or State-provided growth scores with their APPR transition scores and ratings as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall provide such principals with their original composite rating by September 1 of the school year next following the school year for which the principal's performance is being measured, or as soon as practicable thereafter.

**11.8) Assurances: Assessments****Please check all of the boxes below.**

- Assure that, where applicable, if students take more than one version of the Regents assessment for a particular content area, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.
- Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.
- Assure that, where there is more than one version of a Regents assessment for a content area, that the district/BOCES will only administer both assessments within the timeframes prescribed by the Commissioner. Where only one version of a Regents assessment for a content area is administered in a particular school year, assure that only that assessment will be used as the underlying evidence for an SLO.

**11.9) Assurances Data****Please check all of the boxes below.**

- Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.
- Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.
- Assure scores and ratings for all principals will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements.
- Assure that enrolled students in accordance with policies for student assignment to schools and may not be excluded.
- Assure that procedures for ensuring data accuracy and integrity are being utilized.

Annual Professional Performance Review - Education Law §3012-d

Task 12. Joint Certification of APPR Plan - Upload Certification Form

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**Task 12) Upload APPR District Certification Form**

*Please Note: SED Monitoring timestamps each revision and signatures cannot be dated earlier than the last revision. To ensure the accuracy of the timestamp on each task, please submit from Task 12 only.*

**Please obtain the required signatures, create a PDF file, and upload your joint certification of the APPR Plan using the "District Certification Form" found in the "Documents" menu on the left side of the page.**

APPR Signature Sheet.pdf

## Teacher Improvement Plan (TIP)

All TIP plans developed and implemented by the District Superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

- 1) identification of needed areas of improvement;
- 2) a timeline for achieving improvement;
- 3) the manner in which the improvement will be assessed; and, where appropriate,
- 4) differentiated activities to support a teacher's improvement in those areas.

### STATUS

- 1<sup>st</sup> Year Probationer
- 2<sup>nd</sup> Year Probationer
- 3<sup>rd</sup> Year Probationer
- 4<sup>th</sup> Year Probationer
- Tenured
- Other \_\_\_\_\_

### DATE FINAL EVALUATION CONDUCTED:

\_\_\_\_\_

**The NYS Commissioner's Regulation (30-3.11) requires that any teacher with an annual professional performance review rated as Developing or Ineffective shall receive a Teacher Improvement Plan. A TIP shall be developed by the teacher, with approval from the administrator. A TIP is not a disciplinary action. At least once per semester, the teacher, administrator and mentor (if one has been assigned) shall meet to assess the effectiveness of the TIP in assisting the teacher to achieve the goals set forth in the TIP. Based on the outcome of this assessment, the TIP shall be modified accordingly.**

Teacher: \_\_\_\_\_ Tenure Area: \_\_\_\_\_

Position: \_\_\_\_\_ Immediate Supervisor: \_\_\_\_\_

Participants:

---

Place a check mark in the box next to any domain/APPR section below that is rated as Developing or Ineffective during the prior school year.

\_\_\_ Domain 1: Planning and Preparation    \_\_\_ Domain 2: Classroom Environment    \_\_\_ Local Assessment

\_\_\_ Domain 3: Instruction    \_\_\_ Domain 4: Professional Responsibilities    \_\_\_ State Assessment

**APPENDIX B**  
**Teacher Improvement Plan (TIP)**

In the space below, describe the following: List goals to address the domains assessed as Developing or Ineffective; list differentiated activities to support the teacher’s improvement in the areas listed above; describe the manner in which the improvement will be assessed and provide a timeline for achieving improvement. Only the areas marked above as receiving an Ineffective or Developing should be addressed in the goals below.

| <b>Goals to address area(s) checked off above:</b> | <b>Activities/Actions to be taken by teacher:</b> | <b>How will the improvement be assessed? (Evidence?)</b> | <b>Support provided for improvement:</b> | <b>Achievement Timeline:</b> |
|--|---|--|--|------------------------------|
|  |   |  |  |                              |

I acknowledge receipt of this Teacher Improvement Plan and it has been discussed and reviewed with me.

\_\_\_\_\_  
Teacher Signature

\_\_\_\_\_  
Date

I have reviewed and discussed this Teacher Improvement Plan with the above referenced teacher.

\_\_\_\_\_  
Administrator Signature

\_\_\_\_\_  
Date

## Principal Improvement Plan (PIP)

### STATUS

- 1<sup>st</sup> Year Probationer
- 2<sup>nd</sup> Year Probationer
- 3<sup>rd</sup> Year Probationer
- 4<sup>th</sup> Year Probationer
- Tenured
- Other \_\_\_\_\_

### DATE FINAL EVALUATION CONDUCTED:

\_\_\_\_\_

**The NYS Commissioner's Regulation requires that any principal with an annual professional performance review rated as Developing or Ineffective shall receive a Principal Improvement Plan (PIP). A PIP shall be developed by the principal, with approval from the Director of K-12 Instruction. A PIP is not a disciplinary action. At least once a month, the principal, the department director and mentor (if one has been assigned) shall meet to assess the effectiveness of the PIP in assisting the principal to achieve the goals set forth in the PIP. Based on the outcome of this assessment, the PIP shall be modified accordingly.**

Principal: \_\_\_\_\_ Tenure Area: \_\_\_\_\_

Position: \_\_\_\_\_

Participants:

\_\_\_\_\_

Place a check mark in the box next to any domain/APPR section below that is rated as Developing or Ineffective during the prior school year.

- \_\_\_\_ Domain 1: Shared Vision of Learning
- \_\_\_\_ Domain 3: Safe, Efficient, Effective Learning Environment
- \_\_\_\_ Domain 5: Integrity, Fairness, and Ethics
- \_\_\_\_ Local Assessment

- \_\_\_\_ Domain 2: School Culture and Instructional Program
- \_\_\_\_ Domain 4: Community
- \_\_\_\_ Domain 6: Political, Social, Economic, Legal & Cultural Context
- \_\_\_\_ State Assessment

## Principal Improvement Plan (PIP)

In the space below, describe the following: List goals to address the domains assessed as Developing or Ineffective; list differentiated activities to support the principal's improvement in the areas listed above; describe the manner in which the improvement will be assessed and provide a timeline for achieving improvement. Only the areas marked above as receiving an Ineffective or Developing should be addressed in the goals below.

| <b>Goals to address area(s) checked off above:</b> | <b>Activities/Actions to be taken by principal:</b> | <b>How will the improvement be assessed? (Evidence?)</b> | <b>Support provided for improvement:</b> | <b>Achievement Timeline:</b> |
|--|---|--|--|------------------------------|
|  |   |  |  |                              |

I acknowledge receipt of this Principal Improvement Plan and it has been discussed and reviewed with me.

\_\_\_\_\_  
Principal Signature

\_\_\_\_\_  
Date

I have reviewed and discussed this Principal Improvement Plan with the above referenced principal.

\_\_\_\_\_  
Department Director

\_\_\_\_\_  
Date

**DISTRICT CERTIFICATION FORM: Please download this form, sign and upload to APPR form**

By signing this document, the school district or BOCES certifies that this document constitutes the district's or BOCES' complete Annual Professional Performance Review (APPR) Plan, that all provisions of the APPR that are subject to collective negotiations have been resolved pursuant to the provisions of Article 14 of the Civil Service Law and that such APPR Plan complies with the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES. By signing this document, the collective bargaining agent(s) of the school district or BOCES, where applicable, certify that this document constitutes the district's or BOCES' complete APPR Plan, that collective negotiations have been completed on all provisions of the APPR that are subject to collective bargaining, and that such APPR Plan complies with the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES.

The school district or BOCES and its collective bargaining agent(s), where applicable, also certify that upon information and belief, all statements made herein are true and accurate and that any applicable collective bargaining agreements for teachers and principals are consistent with and/or have been amended and/or modified or otherwise resolved to the extent required by Article 14 of the Civil Service Law, as necessary to require that all classroom teachers and building principals will be evaluated using a comprehensive annual evaluation system that rigorously adheres to Education Law §3012-d as implemented by Subpart 30-3 of the Rules of the Board of Regents.

The school district or BOCES and its collective bargaining agent(s), where applicable, also certify that this APPR Plan is the district's or BOCES' complete APPR Plan and that such plan will be fully implemented by the school district or BOCES; that there are no collective bargaining agreements, memoranda of understanding, or any other agreements in any form that prevent, conflict, or interfere with full implementation of the APPR Plan; and that no material changes will be made to the Plan through collective bargaining or otherwise except with the approval of the Commissioner in accordance with Subpart 30-3 of the Rules of the Board of Regents.

The district/BOCES and its collective bargaining agent(s), where applicable, also certify that during the 2015-16 through 2018-19 school years, transition scores and ratings will be calculated for teachers and principals that exclude the results of grades 3-8 English Language Arts (ELA) and math State assessments and any State-provided growth scores; that the district/BOCES will continue to provide teachers and principals with original APPR scores and ratings calculated based on the measures in their approved APPR plan without any modifications, substitutions, or replacements pursuant to §30-3.17 of the Rules of the Board of Regents; and that original APPR scores and ratings will be provided for advisory purposes only, and will have no impact on employment decisions, including tenure determinations, or teacher and principal improvement plans.

The school district and its collective bargaining agent(s), where applicable, also acknowledge that if approval of this APPR Plan is rejected or rescinded for any reason, any State aid increases received as a result of the Commissioner's approval of this APPR Plan may be withheld or forfeited by the State pursuant to Education Law §3012-d(11), as added by Chapter 56 of the Laws of 2015.

**The school district or BOCES and its collective bargaining agent(s), where applicable, also make the following specific certifications with respect to their APPR Plan:**

- Assure that, during the 2015-16 through 2018-19 school years, the overall transition rating will be used as a significant factor in employment decisions, including tenure determinations and teacher and principal improvement plans;
- Assure that, during the 2015-16 through 2018-19 school years, the district or BOCES will continue to provide teachers and principals with original APPR scores and ratings calculated based on the measures described in this APPR plan without any modifications, substitutions, or replacements pursuant to §30-3.17 of the Rules of the Board of Regents;
- Assure that, during the 2015-16 through 2018-19 school years, original APPR scores and ratings will not be used as the basis for employment decisions and will only be used for advisory purposes;
- Assure that beginning in the 2019-2020 school year, the original overall APPR score pursuant to the district or BOCES approved APPR plan shall be used as the basis for employment decisions, including tenure determinations and teacher and principal improvement plans;
- Assure that, during the 2015-16 through 2018-19 school years, the district or BOCES, shall provide teachers and principals whose Student Performance Category measures are based, in whole or in part, on the grades 3-8 ELA/math State assessments and/or State-provided growth scores with their APPR transition scores and ratings calculated pursuant to §30-3.17 of the Rules of the Board of Regents as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall provide such teachers and principals with their original composite APPR rating by September 1 of the school year next following the school year for which the teacher's performance is being measured, or as soon as practicable thereafter.
- Assure that, during the 2015-16 through 2018-19 school years, the district/BOCES shall continue to provide teachers and principals whose Student Performance Category measures are not based on the grades 3-8 ELA/math State assessments or State-provided growth scores with their score and rating on the Student Performance category, if

available, and for the Teacher Observation category or Principal School Visit Category of their annual professional performance reviews, in writing, no later than the last day of the school year for which the teacher or principal is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher's or principal's performance is measured, and that the entire APPR will be completed for each teacher or principal as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the classroom teacher or building principal's performance is being measured

- Assure that beginning in the 2019-20 school year, the entire APPR will be completed for each teacher or principal as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the classroom teacher or building principal's performance is being measured;
- Assure that beginning in the 2019-20 school year, the district or BOCES shall compute and provide to the teacher/principal his or her score and rating on the Student Performance category, if available, and for the Teacher Observation category or Principal School Visit Category of a teacher's or principal's annual professional performance review, in writing, no later than the last day of the school year for which the teacher or principal is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher's or principal's performance is measured;
- Assure that the APPR Plan will be filed in the district office and made available to the public on the district's or BOCES' website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall later occur;
- Assure that accurate teacher and student data will be provided to the Commissioner in a format and timeline prescribed by the Commissioner;
- Assure that, during the 2015-16 through 2018-19 school year, the district or BOCES will continue to report both the original and transition individual category and subcomponent scores and the overall original and transition ratings to the State for each classroom teacher and building principal in a manner prescribed by the Commissioner;
- Certify that the district provides an opportunity for every classroom teacher and building principal to verify the subjects and/or student rosters assigned to them;
- Assure that teachers and principals will receive timely and constructive feedback as part of the evaluation process;
- Assure that any training course for lead evaluator certification addresses each of the requirements in the regulations, including specific considerations in evaluating teachers and principals of English language learners and students with disabilities;
- Assure that, during the 2015-16 through 2018-19 school years, any educators who receive a Developing or Ineffective rating as their overall transition rating will receive a Teacher Improvement Plan or Principal Improvement Plan, in accordance with all applicable statutes and regulations, by October 1 in the school year following the school year in which such teacher's or principal's performance is being measured or as soon as practicable thereafter.
- Assure that, beginning in the 2019-2020 school year, any educator who receives a Developing or Ineffective rating on their original overall rating pursuant to this APPR plan will receive a Teacher Improvement Plan or Principal Improvement Plan, in accordance with all applicable statutes and regulations, by October 1 in the school year following the school year for which such teacher's or principal's performance is being measured or as soon as practicable thereafter;
- Assure that such improvement plan shall be developed by the superintendent or his/her designee in the exercise of their pedagogical judgment, and shall be subject to collective bargaining to the extent required under Article 14 of the Civil Service Law;
- Assure that all evaluators and lead evaluators, including independent evaluators and peer evaluators, as applicable, will be properly trained and that lead evaluators will be certified and recertified as necessary in accordance with all applicable statutes and regulations;
- Assure that the district or BOCES has collectively bargained appeal procedures that are consistent with the statute and regulations and that they provide for the timely and expeditious resolution of an appeal to the district/BOCES;
- Assure that, for teachers, all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations and, for principals, all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits;
- Assure that it is possible for a teacher or principal to obtain each point in the scoring ranges, including 0, for each subcomponent and that the district and BOCES shall ensure that the process by which weights and scoring ranges are assigned to subcomponents and categories is transparent and available to those being rated before the beginning of each school year;
- Assure that if a second measure for the Student Performance category is locally selected, then the same locally selected measures of student growth across all classrooms in the same grade/subject in the district/BOCES must be used in a consistent manner to the extent practicable;
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator;
- Assure that any material changes to this APPR Plan will be submitted to the Commissioner for approval by March 1 of each school year, on a form prescribed by the Commissioner, to the Commissioner for approval;
- Assure that the alternate SLOs described in Tasks 2 and 7 of this APPR plan will be used as the basis for certain teachers' and principals' transition APPR scores and ratings, where applicable and consistent with §30-3.17 of the Rules

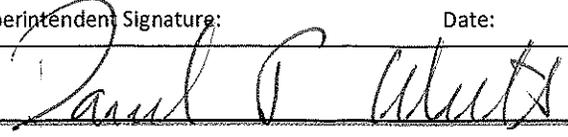
the Board Regents, during the 2016-17 through 2018-19 school years only;  
ensure that, beginning in the 2019-20 school year, no transition scores and ratings will be generated and the district or BOCES' original APPR Plan will apply to all classroom teachers and building principals as defined in the statute, regulations, and SED guidance without any modifications, substitutions, or replacements as a result of the requirements of §30-3.17 of the Rules of the Board of Regents;

- Assure that the district or BOCES will provide the Department with any information necessary to conduct annual monitoring pursuant to Subpart 30-3 of the regulations;
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by State or Federal law for each classroom or program of the grade does not exceed, in the aggregate, one percent of the minimum in required annual instructional hours for such classroom or program of the grade; and
- Assure that the amount of time devoted to test preparation under standardized testing conditions for each grade does not exceed, in the aggregate, two percent of the minimum required annual instructional hours for such grade. Time devoted to teacher administered classroom quizzes or exams, portfolio reviews, or performance assessments shall not be counted towards the limits established by this subdivision. In addition, formative and diagnostic assessments shall not be counted towards the limits established by this subdivision and nothing in this subdivision shall be construed to supersede the requirements of a section 504 plan of a qualified student with a disability or Federal law relating to English language learners or the individualized education program of a student with a disability.

### Signatures, dates

Superintendent Signature:

Date:

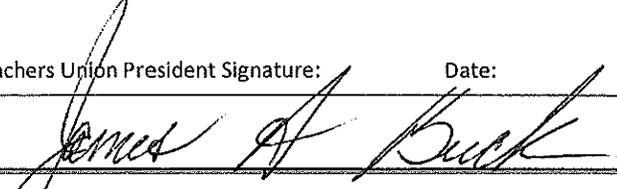
 4/26/18

Superintendent Name (print):

Daniel White 4/26/18

Teachers Union President Signature:

Date:

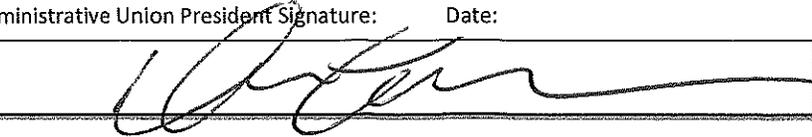
 4/26/18

Teachers Union President Name (print):

James A. Buck 4/26/18

Administrative Union President Signature:

Date:

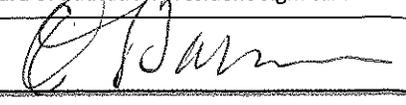
 4/26/18

Administrative Union President Name (print):

Dawn Larson 4/26/18

Board of Education President Signature:

Date:

 4/26/18

Board of Education President Name (print):

O.J. Sahler 4/26/18