Dear Superintendent Petlin:

Congratulations. I am pleased to inform you that your educator evaluation plan ('plan') variance application meets the criteria outlined in section 30-3.16 of the Rules of the Board of Regents and has been approved. As a reminder, we are relying on the information you provided in your variance application, including the narrative descriptions, certifications, and assurances that are included in the application. During the approved term of this variance, your LEA will implement the variance along with all other remaining provisions of your approved plan. If any material changes are made to your approved plan and/or the terms of your approved variance, your LEA must submit such material changes to us for approval. Please see the attached notes for further information.

Please be advised that, pursuant to Education Law §3012-d, the Department will be analyzing data supplied by districts, BOCES, and/or schools and may order a corrective action plan if there are unacceptably low correlation results between the Student Performance category and the Teacher Observation or Principal School Visits category, and/or if the teachers’ or principals’ overall ratings and subcomponent scores show little differentiation across educators and/or the lack of differentiation is not justified by equivalently consistent student achievement results, and/or if schools or districts show a pattern of anomalous results in the Student Performance category and/or the Observation/School Visits category.

The New York State Education Department and I look forward to continuing our work together, with the goal of ensuring that every school has world-class educators in the classroom, every teacher has a world-class school leader to support their professional growth, and every student achieves success.

Thank you again for your hard work.

Sincerely,

Betty A. Rosa
Commissioner

Attachment

c: William Hecht
NOTE:

Only documents that are incorporated by reference in your plan variance application have been reviewed and are considered as part of your approved plan variance application; therefore, any supplemental documents such as memorandums of agreement or understanding that were uploaded with your plan variance application but are not incorporated by reference have not been reviewed. However, the Department reserves the right to review the uploaded attachments at any time for consistency with your plan and/or to ensure compliance with applicable laws and regulations; and as a result of such review, the Department may reject your plan or variance and/or require corrective action.

Pursuant to section 30-3.16 of the Rules of the Board of Regents, please note that an LEA with an approved variance shall provide to the Department, upon its request, any documentation related to the implementation and efficacy of the approach proposed in the variance, including but not limited to: reports on the correlation in assigned ratings for different measures of the LEA’s evaluation system and differentiation among educators within each subcomponent and category of the evaluation system.
Educator Evaluation - Innovative Variance, Ed Law §3012-d

Task 1. General Information - General Information

Page Last Modified: 09/12/2022

Educator Evaluation Variance (Education Law 3012-d)

For guidance related to the Educator Evaluation variance, see NYSED Educator Evaluation Guidance.

At its October 2019 meeting, the Board of Regents amended sections 30-3 of the Rules of the Board of Regents to allow LEAs to apply for a variance from Educator Evaluation plan requirements to permit them to develop and implement new and innovative approaches to evaluation that meet the specific needs of the LEA, upon a finding by the Commissioner that the new and innovative approach demonstrates how it will ensure differentiated results over time and how the results of the evaluation will be used to provide personalized professional learning opportunities to teachers and principals, while complying with the requirements of Education Law §3012-d.

In instances where a variance is approved, the term(s) described in the approved variance will replace the related sections of the LEA's currently approved Evaluation plan. However, please note that all other terms as are present in the LEA's currently approved plan will remain in effect and must be implemented without modification.

Once a variance is approved by the Department, it shall be considered part of the LEA’s Evaluation plan during the approved term of the variance. In any instance in which there is an approved variance and such variance contains information that conflicts with the information provided in the approved Education Law §3012-d Evaluation plan, the provisions of the approved variance will apply during the approved term of the variance.

Variance Application Timeline

Variance applications must be approved by the Department by December 1 of a school year to be implemented in that school year.

Submission by November 1 is suggested to allow time for review, revision and approval in order to meet the approval deadline for implementation in the same school year.

Absent a finding by the Commissioner of extraordinary circumstances, a variance application approved after December 1 of a school year will not be implemented until the following school year.

For more information regarding the variance approval deadline, including a possible extension, please contact EvalVariance@nysed.gov.

Variance Assurances

Please read the assurances below and check each box.

☑ Assure that the contents of this form are in compliance with Education Law Section 3012-d.

☑ Assure that a detailed version of the LEA's variance is kept on file and that a copy of such variance will be provided to the Department upon request for review of compliance with Education Law Section 3012-d.

☑ Assure that this variance will be posted on the LEA's website, in addition to its current full Educator Evaluation plan, no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall occur later.

☑ Assure that it is understood that this LEA's variance will be posted in its entirety on the NYSED website* following approval.

Variance Applicability

Teacher Variance

Please check each task included in the variance request for teachers.

☑ Task 2. TEACHERS: Required Student Performance
Principal Variance

Education Law §3012-d requires that the principal evaluation system be aligned to the requirements for teacher evaluation. Therefore, when completing a variance request for the evaluation of principals, the processes identified must be aligned to such requirements.

Please read the options below and check the appropriate box.

- A variance is not requested for any subcomponent or category for principals; all principals will be evaluated using the currently approved Educator Evaluation plan.
Required Student Performance Variance

A variance may be requested for the following areas of the required student performance subcomponent:

- A description of the measure(s) of student growth to be used (e.g., the SLO goal setting process; SLO components)
- Applicable evidence of student learning (e.g., how growth will be measured through various forms of assessment, evaluation of student performance)
- A method for converting student results to a score on a scale from 0-20*
- A scale for conversion of the score of 0 to 20 to a HEDI rating*

**Applicable Areas**

Please indicate the area(s) of the required student performance subcomponent for which a variance is being requested.

- [ ] Measures of student growth
- [ ] Evidence of student learning

*Only select "Conversion to a 20-point score" or "HEDI ranges" if your variance request involves different values than those included in the table below.

<table>
<thead>
<tr>
<th></th>
<th>Highly Effective</th>
<th>Effective</th>
<th>Developing</th>
<th>Ineffective</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>19</td>
<td>18</td>
<td>17</td>
<td>16</td>
</tr>
<tr>
<td>97-100%</td>
<td>93-96%</td>
<td>90-92%</td>
<td>85-89%</td>
<td>80-84%</td>
</tr>
<tr>
<td>67-74%</td>
<td>60-66%</td>
<td>55-59%</td>
<td>49-54%</td>
<td>44-48%</td>
</tr>
<tr>
<td>34-38%</td>
<td>29-33%</td>
<td>25-28%</td>
<td>21-24%</td>
<td>17-20%</td>
</tr>
<tr>
<td>13-16%</td>
<td>9-12%</td>
<td>5-8%</td>
<td>0-4%</td>
<td></td>
</tr>
</tbody>
</table>

**Applicable Teachers**

Please list all teachers to whom this required student performance variance request applies.

- If applicable, use the options in the ‘Groups of Teachers’ column, OR select teachers individually in the columns to the right.

**Groups of Teachers**

- [ ] Ungraded special education program teachers (including core and non-core/elective teachers)
- [ ] Group not applicable
- [ ] Group not applicable

**Non-core/Elective Teachers**

Please only check the box below if none of the options for non-core/elective teachers in the table above are applicable (e.g., teachers of art, music, and physical education use different measures and assessments).
Measures of Student Growth

Please describe the measure that will be used to evaluate teachers for the required student performance subcomponent (e.g., the SLO goal setting process; SLO components) and identify the group(s) from the applicability page that correspond(s) to the measure.

To include evidence of student learning in this required student performance variance request that is different than the assessments selected in the approved Educator Evaluation plan, please choose 'Evidence of student learning' on the applicability page and complete the information on the subsequent page.

- If there is only one group of applicable teachers for this required student performance variance, select 'Group 1'.
- If all groups of applicable teachers use the same measure, select 'All applicable teachers listed'.
- Use 'Add Row' to list additional groups that correspond to the row from the applicable teachers table.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>Description of Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group 1</td>
<td>The District currently utilizes the NYSAA to establish SLO's. The District will continue to use the SLO process for the Student Performance Measure as stated in their currently approved APPR plan. However, the District will use alternative assessments as evidence of student learning as outlined below. The results of these alternative assessments will be attributed to each teacher individually for all students in their class and will be used to evaluate teachers for the required student performance subcomponent.</td>
</tr>
</tbody>
</table>

Measures Assurance

Please read the assurance below and check the box.

☑ Assure that all student growth targets shall measure the change in a student's performance between the baseline and the end of the course.
Evidence of Student Learning

Please identify any evidence of student learning to be used and identify the group(s) from the applicability page that correspond(s) to the evidence listed. A description of how growth will be measured through various forms of assessment should be included in the last section of this variance request.

- If there is only one group of applicable teachers for this required student performance variance, select ‘Group 1’.
- If all groups of applicable teachers use the same evidence, select ‘All applicable teachers listed’.
- Use ‘Add Row’ to list additional groups that correspond to the row from the applicable teachers table.

### Traditional Assessments

*To enter 'other evidence' that is not included in the options below, scroll to the next table.*

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>State or Regents Assessment(s)</th>
<th>Locally-Developed Course-Specific Assessment(s)</th>
<th>LEA(s) assessment(s) not created by the LEA completing this variance application</th>
<th>Third Party Assessment(s)</th>
<th>Name of third party assessment(s) not listed in previous column</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group 1</td>
<td></td>
<td>(No Response)</td>
<td></td>
<td>IXL Real-Time Diagnostic</td>
<td>VB-MAPP, PAF, Read Bright</td>
</tr>
</tbody>
</table>

### Other Evidence

Identify evidence of student growth used that is not a State, locally-developed, or third party assessment.

- Group 1
- Group 2
- Group 3
- Non-core/elective teachers group
- All applicable teachers listed

The District is proposing to use third party assessments that are already embedded into their instructional programs. Group 1: Students in Life Skills/ Pre-Academic - the Verbal Behavior Milestones Assessments and Placement Program (VB-MAPP.) For the Group 2: Students in their Academic Programs, for Early Academic Literacy - Preventing Academic Failure (PAF.) For Group 3 Students - Academic Program students who have mastered the literacy skills in the PAF program curricula, and are in their Academic Literacy Program - ReadBright. These instruments and instructional programs are all aligned to the NYS learning standards. VB-MAPP is a criterion-referenced assessment curriculum guide and skills tracking system designed specifically for children with autism and other individuals who demonstrate language delays. The VB-MAPP is developmentally based and field tested with typically developing children and children with autism. It combines principles of ABA and Skinner's analysis of verbal behavior providing a behaviorally based language assessment program for all children with language delays.

PAF is a comprehensive structured language program for teaching reading, spelling, and handwriting using...
Identify evidence of student growth used that is not a State, locally-developed, or third party assessment.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>Identify evidence of student growth used that is not a State, locally-developed, or third party assessment.</th>
</tr>
</thead>
<tbody>
<tr>
<td>multi-sensory techniques. It is an effective beginning reading program for all children. When begun in kindergarten or first grade, it prevents reading failure in children at risk for learning difficulties. In addition, it can be used as an intervention program. PAF incorporates instructional practices supported by the latest research and recommended by the National Reading Panel. It is the most effective classroom adaptation of Orton-Gillingham instruction and the only one coordinated with a comprehensive reading series. ReadBright is also rooted in Orton-Gillingham teaching methodology and continues to build on the basic foundational skills begun in the PAF program.</td>
<td></td>
</tr>
</tbody>
</table>
Task 2. TEACHERS: Required Student Performance - Variance Details, Weighting & Assurance

Page Last Modified: 11/14/2022

Variance Details
Please read the questions below, answer each prompt in a concise manner, and identify the group(s) from the applicability page that correspond(s) to the information provided.

- If there is only one group of applicable teachers for this required student performance variance, select 'Group 1'.
- If one response encompasses all groups of applicable teachers, select 'All applicable teachers listed'.
- Use 'Add Row' to list additional groups that correspond to the row from the applicable teachers table.

Rationale
Please provide a rationale for this variance request.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>Your rationale should include information regarding the specific, identified needs and/or challenges of the LEA, and how such needs and/or challenges inform development of the required student performance variance request.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group 1</td>
<td>The District is proposing to modify the current Teacher Specific measure for all staff members by utilizing a student performance measure that will appropriately measure students capabilities and answer the following three questions: 1. Are our students making progress; 2. How do we know; 3. What evidence do we have that demonstrates this progress? The District has a unique population of students in that 100% of their students are identified as Students with Disabilities with severe learning disabilities. 100% of their students are also classified as English Language Learners. The District is not requesting a change/ variance for its teacher observation component of its APPR. The historical measure of student performance utilized the NYSAA. As stated above, all of the students n the District are also English Language Learners. Due to the severity of the learning disabilities as well as their dual ELL status, the NYSAA is not an effective tool for appropriately establishing learning targets or measuring students' growth. The current District Wide SLO assessments do not provide the level of teacher or student specificity necessary for long term student growth and improvement or improvement of best instructional practices. The District is therefore proposing a system that reflects the three categories of their students. Their student population is currently classified into three categories/ tiers which are a result of the meetings by the Committee on Special Education and Annual Reviews: Group 1- Students in Life Skills/ Pre-Academic ; Group 2- Students in their Academic Programs, for Early Academic Literacy; Group 3- Academic Program Students who have mastered the literacy skills in Group 2. These groups are defined in the Standards and Procedures section below. These alternative assessment tools will more effectively measure students' growth. This method will also provide teachers with actionable information and feedback about their based instructional practices that can best be used to improve outcomes for students in their class. The SLO will be created and then scored based on the students performance on the combined assessments for Literacy/ ELA and Numeracy/ Math. The goal setting process has historically and will continue to include a review of the previous year's results on all of the assessments combined with a review of student performance each year in comparison to a proficiency level established to consider subsequent decisions that need to be made. Using a baseline that includes historical data minimizes the possibility of any portable cohort effects related to a specific school year.</td>
</tr>
</tbody>
</table>
Please provide a description of the standards and procedures that will be used in lieu of those included in the LEA’s most recently approved evaluation plan.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>This description should provide a specific, detailed explanation of the new and innovative approach that the LEA is seeking to implement as part of its variance request. This description should include, but not be limited to, a description of the alternate measures of student growth and/or evidence of student learning that will be used to evaluate educators.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group 1</td>
<td>The development of the SLO remains the same with the exception of the assessments used. The District is proposing to modify the current Teacher Specific measure for all staff members by utilizing a student performance measure as follows: Group 1: Students in Life Skills/ Pre-Academic - they are proposing using the Verbal Behavior Milestones Assessments and Placement Program (VB-MAPP.) For the Group 2: Students in their Academic Programs, for Early Academic Literacy - they are proposing using Preventing Academic Failure (PAF.) For Group 3 Students - Academic Program students who have mastered the literacy skills in the PAF program curricula, and are in their Academic Literacy Program, they are proposing using ReadBright. None of the proposed third party Literacy assessments outlined above are on the New York State approved list. For Numeracy/ Math for their Academic Program students, the District is proposing using IXL Math to establish students’ baseline data and measure academic growth in math. IXL Math is on the NYSED approved assessment list. The relevant Literacy assessment (VB-MAPP, PAF, ReadBright) and IXL Math assessments are administered at the beginning of the academic year (late September) to all students. The results are examined for each individual student, by the classroom teacher/ teacher of record and building administration, to identify and target student strengths and weaknesses in relation to the learning standards. Also considered in establishing the individual student target are the following factors: classification, IEP goals, and behavior management needs, and past student performance history. Once these factors are compiled, a projected growth score/ target score that is both rigorous and attainable for each student in a cohort is finalized. These projected student learning objectives/ target scores are then documented on the SLO Form and both the teacher and assistant principal sign off on these. The student learning targets, in relation to the standards, enables teacher specific recommendations for evidenced based instructional practices that best serve the learning needs of the students. Building administration and teachers receive real-time feedback on individual student standards based performance in relation to the evidence based instructional practices deployed by the teacher. The final relevant Literacy (VB-MAPP, PAF, ReadBright) and IXL Math assessments are administered in June to determine the amount of growth the student has made over the course of the academic year. The SED provided table is used to calculate a teacher’s students performance scores based on the percentage of students in her class meeting their established target. The currently approved SLO assessment (NYSAA) does not currently provide the level of student specificity necessary to address student academic growth in a timely enough fashion to address the learning needs of students in this current school year and as such, cannot provide the most efficacious measure of student learning.</td>
</tr>
</tbody>
</table>
Rigor

Please provide a description of how the LEA will ensure that evaluations are rigorous and enable strong and equitable inferences about the effectiveness of the LEA's educators.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>Row Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>This description should explain how rigor is achieved and maintained, including relevant processes and methodologies. This description may include, but is not limited to, how data will be used to draw inferences, including how the derived data informs decisions and guidance for the LEA's educators.</td>
<td></td>
</tr>
</tbody>
</table>

☐ Group 1

The District will ensure that the evaluation of student performance and growth is thoroughly analyzed at all levels with additional data points to assist in determining both causal factors and patterns within student groups. Building administrators will continue to review growth targets to ensure that they are appropriate and support high expectations for learning. The District firmly believes that it continues to be our collective responsibility to provide quality instruction and support to all students. Use of the relevant Literacy (VB-MAPP, PAF, ReadBright) and IXL Math assessments provide standards aligned baseline information at the individual student level and sets a target for one year's growth for each student in the District. Utilization of the data from these assessments informs instructional practice and provides teachers direct feedback on their instructional practices in relation to individual student learning trajectories. The final administration of these assessments in June closes the cycle and provides actionable data on the efficacy of instruction provided to the students. The aggregate of the student outcomes is used to inform targeted professional development for teachers and systemic approaches to delivery of student supports and remediation. Rigorous and comparable measures will be maintained by adhering to timelines for administration of the selected assessment to benchmark students growth. Administration reviews teacher lesson plans weekly. The student learning targets are incorporated in the lesson plans thus allowing administration to work closely with teachers to ensure instructional time is targeted, and that maximum growth is achieved for students in the teacher's class.

Professional Learning

Please provide a description of how the LEA will use the information collected through the evaluation system, including the assigned effectiveness ratings, to provide personalized professional learning opportunities for educators.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>Row Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>This description may include, but is not limited to, methodologies and procedures for: collecting information about educator effectiveness to inform professional learning, specific details regarding both the type(s) and extent of professional learning opportunities anticipated, processes for delivery of personalized learning opportunities, and use of data to measure the efficacy of such professional learning.</td>
<td></td>
</tr>
</tbody>
</table>

☐ Group 1

As outlined above, the information gathered regarding student performance on these assessments and the growth it reflects will be used in addressing professional learning. The District provides professional development for all teaching staff twice each month in the form of workshops. All staff received training from their relevant Literacy professional development team as well as follow-up training with the assistant principal for curriculum and instruction. The training included how the assessment tools determine student learning targets, standards specific information on student strengths and deficiencies, and how to translate that
Educator Evaluation - Innovative Variance, Ed Law §3012-d

Task 2. TEACHERS: Required Student Performance - Variance Details, Weighting & Assurance

Page Last Modified: 11/14/2022

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>This description may include, but is not limited to, methodologies and procedures for:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Row Groups</td>
<td>• collecting information about educator effectiveness to inform professional learning,</td>
</tr>
<tr>
<td></td>
<td>• specific details regarding both the type(s) and extent of professional learning opportunities anticipated,</td>
</tr>
<tr>
<td></td>
<td>• processes for delivery of personalized learning opportunities, and</td>
</tr>
<tr>
<td></td>
<td>• use of data to measure the efficacy of such professional learning.</td>
</tr>
</tbody>
</table>

Information into instructional practice. District turn-key trainers, as well as administration provide additional ongoing support. The monitoring of student progress at the teacher and building administration level provides teacher specific performance feedback that is utilized to provide targeted teacher support and professional learning opportunities. Effectiveness of instructional tools will be reviewed to drive professional development offerings for staff as well as be used to identify long term areas of focus for the District for District-wide professional development. In analyzing students’ growth and/or achievement the District will identify tools, instructional strategies that have successfully supported students in their learning and will then build upon them through additional professional development offerings to staff throughout the year.

Effectiveness of Implementation

Please provide a description of how the LEA will assess the effectiveness of the implementation of the variance.

<table>
<thead>
<tr>
<th>Applicable Teachers</th>
<th>This description may include, but is not limited to, processes and procedures for:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Row Groups</td>
<td>• collection and analysis of both short- and long-term data,</td>
</tr>
<tr>
<td></td>
<td>• the standard(s) used to measure the effectiveness of implementation, and</td>
</tr>
<tr>
<td></td>
<td>• how results will be used to inform future implementation.</td>
</tr>
</tbody>
</table>

☐ Group 1

As stated in the Rigor section, student learning targets are incorporated in the lesson plans of each teacher. These lesson plans are reviewed weekly by administration thus allowing administration to work closely with teachers to ensure instructional time is targeted, and that maximum growth is achieved for students in the teacher’s class. The data analysis process will include reviewing student engagement and performance throughout the 2022-2023 school year on an ongoing basis. The District will determine areas where, based on student data growth occurred, and will then replicate, increase and continue these practices and programs. The same process will be used where growth did not occur, and the District will realign practices and resources to better support student learning success. This information will be used with all staff in the trainings offered twice each month at the professional development workshop for all staff.

The effectiveness of the Group 1-VB- MAPP, Group 2- PAF, and Group 3- ReadBright Literacy and the IXL Numeracy Assessments will be evaluated by building principals working with their relevant group teacher team leaders as well as by the District administration working collaboratively with the building administrators. The outcomes of the effectiveness of implementation review will be used to evaluate the efficacy of the targeted instructional strategies and interventions intended to reduce that learning regression. The District will use both the short and long term data to drive instructional decisions, and to evaluate District curriculum and systems.

Use of the Optional Student Performance Subcomponent

If applicable, information related to the Optional subcomponent will be entered into Task 3.
Please indicate if the Optional subcomponent will be used in the process included in this variance request by making the appropriate selection below.

- Group 1
  - The optional subcomponent is not included in this variance; the required subcomponent will comprise 100% of the Student Performance category.

Required Student Performance Variance Assurance

Please read the assurance below and check the box as applicable to all teachers included in this required student performance variance request.

- Assure that each teacher covered by this variance request will have an SLO consistent with the process described in the LEA's approved Educator Evaluation plan and/or this variance application and in compliance with Education Law Section 3012-d.
Applicability of Variance

Variance Duration

An Evaluation Variance under Education Law §3012-d may be approved for up to THREE (3) years. Please indicate below the school years to which this variance application will apply.

One, two, or three consecutive academic years may be selected.

- 2022-23
- 2023-24
- 2024-25

Upload Educator Evaluation Variance Certification Form

Please Note: SED Monitoring timestamps each revision and signatures cannot be dated earlier than the last revision. To ensure the accuracy of the timestamp on each task, please submit from Task 12 only.

Please obtain the required signatures, create a PDF file, and upload your joint certification of the APPR Variance using the "Variance Certification Form" found in the 'Documents' menu on the left side of the page.

APPR_Variance_Certification_11-18-22.pdf
APPR VARIANCE CERTIFICATION FORM: Please download, check the assurances, sign, and upload this form to complete the submission of your LEA's Annual Professional Performance Review (APPR) Variance, Education Law §3012-d application.

Assurances: Please check the boxes below

☑ Assure that all information provided in this variance application is true and accurate as of the date that the variance application is submitted.
☑ Assure that once this application is approved by the Department, it shall be considered part of the LEA's approved APPR plan during the effective term of the variance.
☑ Assure that, upon a revocation or non-renewal of a variance application at the end of its effective term, the district shall implement its approved evaluation plan in its entirety and without modification, consistent with all requirements of Subpart 30-3.3 of the Rules of the Board of Regents, and absent any terms of the variance.
☑ Assure that, where applicable, collective negotiations have been completed on all provisions of this variance application that are subject to collective bargaining.

Signatures, dates

Superintendent Signature: [Signature]
Date: 11/18/22
Superintendent Name (print): JOEL PETRIN

Teachers Union President Signature: [Signature]
Date: N/A
Teachers Union President Name (print): N/A

Administrative Union President Signature: [Signature]
Date: N/A
Administrative Union President Name (print): N/A

Board of Education President Signature: [Signature]
Date: 11/18/22
Board of Education President Name (print): HARRY POLATSEK
February 24, 2016

Revised

Joel Petlin, Superintendent
Kiryas Joel Village Union Free School District
48 Bakertown Road, Suite 401
Monroe, NY 10950-8433

Dear Superintendent Petlin:

Congratulations. I am pleased to inform you that your Annual Professional Performance Review (APPR) plan meets the criteria outlined in Education Law §3012-d and Subpart 30-3 of the Commissioner’s Regulations and has been approved. As a reminder, we are relying on the information you provided on your APPR form, including the certifications and assurances that are part of your approved APPR plan. If any material changes are made to your approved plan, your district/BOCES must submit such material changes to us for approval. Please see the attached notes for further information.

Please be advised that, pursuant to Education Law §3012-d, the Department will be analyzing data supplied by districts, BOCES, and/or schools and may order a corrective action plan if there are unacceptably low correlation results between the Student Performance category and the Teacher Observation or Principal School Visits category, and/or if the teachers’ or principals’ overall ratings and subcomponent scores show little differentiation across educators and/or the lack of differentiation is not justified by equivalently consistent student achievement results, and/or if schools or districts show a pattern of anomalous results in the Student Performance category and/or the Observation/School Visits category.

The New York State Education Department and I look forward to continuing our work together, with the goal of ensuring that every school has world-class educators in the classroom, every teacher has a world-class principal to support his or her professional growth, and every student achieves college and career readiness.

Thank you again for your hard work.

Sincerely,

MaryEllen Elia
Commissioner

Attachment

c: William Hecht
NOTE:
Pursuant to sections 30-2.14 and 30-3.17 of the Rules of the Board of Regents, during the 2015-16 through 2018-19 school years, your district/BOCES must calculate transition scores and ratings for teachers and principals that exclude the results of grades 3-8 ELA and math State assessments and any State-provided growth scores. For the 2016-17 through 2018-19 school years, your district/BOCES must establish alternate SLOs for affected teachers and principals who, as a result of the above exclusions, have no remaining measures in the Student Performance Category. Accordingly, please note that since your APPR plan is approved for use during the 2015-16 school year, your district/BOCES must describe the alternate SLOs used by affected teachers and principals beginning in the 2016-17 school year using a supplemental form submitted to the Department no later than March 1, 2017. The Department strongly encourages your district/BOCES to submit this supplemental form prior to the beginning of the 2016-17 school year to ensure that your alternate SLOs are in place for all affected teachers and principals as early as possible.

Only documents that are incorporated by reference in your APPR have been reviewed and are considered as part of your APPR; therefore, any supplemental documents such as memorandums of agreement or understanding that were uploaded with your APPR but are not incorporated by reference in your APPR have not been reviewed. However, the Department reserves the right to review the uploaded attachments at any time for consistency with your APPR plan and/or to ensure compliance with applicable laws and regulations; and as a result of such review, the Department may reject your APPR plan and/or require corrective action.
For guidance related to Annual Professional Performance Review plans, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Task 1. School District Information

Disclaimers

The Department will review the contents of each school district's/BOCES' Annual Professional Performance Review (APPR) plan as submitted using this online form, including required attachments, to determine if the plan rigorously complies with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. Department approval does not imply endorsement of specific educational approaches in a district's/BOCES' plan.

The Department reserves the right to request further information from a district/BOCES to monitor compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. As such, each district/BOCES is required to keep detailed records on file for each section of the currently implemented APPR plan. Such detailed records must be provided to the Department upon request. The Department reserves the right to disapprove or require modification of a district's/BOCES plan that does not rigorously adhere to the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

The Department will not review any attachments other than those required in the online form. Any additional attachments supplied by the school district/BOCES are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional attachments have not been approved and/or endorsed by the Department. However, the Department considers void any other signed agreements between and among parties in any form that prevent, conflict, or interfere with full implementation of the APPR Plan approved by the Department. The Department also reserves the right to request further information from the school district/BOCES, as necessary, as part of its review of this plan.

If the Department reasonably believes through investigation, or otherwise, that statements made in this APPR plan are not true or accurate, it reserves the right to reject this plan at any time and/or to request additional information to determine the truth and/or accuracy of such statements.

1.1) School District's BEDS Number: 441202020000

If this is not your BEDS Number, please enter the correct one below

441202020000

1.2) School District Name: KIRyas JOEL VILLAGE UFSD

If this is not your school district, please enter the correct one below

Kiryas Joel Village UFSD

1.3) Assurances

Please check all of the boxes below:
| Assure that the content of this form represents the district's/BOCES' entire APPR plan and that the APPR plan is in compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. | Checked |
| Assure that a detailed version of the district's/BOCES' entire APPR plan is kept on file and that a copy of such plan will be provided to the Department upon request for review of compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. | Checked |
| Assure that this APPR plan will be posted on the district/BOCES website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall later occur. | Checked |
| Assure that it is understood that this district's/BOCES' APPR plan will be posted in its entirety on the NYSED website following approval. | Checked |

### 1.4) Submission Status

Is this a first-time submission under Education Law §3012-d or the submission of material changes to an APPR plan approved pursuant to Education Law §3012-d? For districts, BOCES or charter schools that did have an existing approved APPR plan under Education Law §3012-d, this must be listed as a submission of material changes to the approved APPR plan.

**First-time submission under Education Law §3012-d**

Checked
Task 2. Student Performance - Required Subcomponent (Teachers)

Created: 04/30/2013
Last updated: 02/23/2016

Use the links above to move between pages in Task 2; be sure to click 'Save & Continue Editing' before moving to another page. The 'Next' button at the bottom of the page can be used to move forward only when the current page is complete.
For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1: Task 2.1, Task 2.2 (4-8 ELA and Math)

Page 1: Task 2.1 (Assurances) and Task 2.2 (4-8 ELA and Math)

REQUIRED SUBCOMPONENT
(100% of Student Performance category if only the Required subcomponent is used or at least 50% when used with the Optional subcomponent)

(A) For a teacher whose course ends in a State-created or administered test for which there is a State-provided growth model and at least 50% of a teacher’s students are covered under the State-provided growth measure, such teacher shall have a State-provided growth score based on such model.

(B) For a teacher whose course does not end in a State-created or administered test or where less than 50% of the teacher’s students are covered by a State-provided growth measure, such teacher shall have a Student Learning Objective (SLO) developed and approved by his/her superintendent or another trained administrator, using a form prescribed by the Commissioner, consistent with the SLO process determined or developed by the Commissioner, that results in a student growth score; provided that, for any teacher whose course ends in a State-created or administered assessment for which there is no State-provided growth model, such assessment must be used as the underlying assessment for such SLO.

2.1) Assurances

Please check the boxes below:

| Assure that the growth score provided by NYSED will be used, where required. | Checked |
| Assure that back-up SLOs will be set by the superintendent or another trained administrator for all 4-8 ELA and Math teachers in the event that a State-provided growth score cannot be generated for that teacher. | Checked |
| For any grade/subject that requires a back-up SLO, but for which there are not enough students, not enough scores, or data issues that prevent a teacher-specific SLO from being created, the superintendent or another trained administrator shall develop a school-wide back-up SLO using available State/Regents assessments. | Checked |

STATE-PROVIDED MEASURES OF STUDENT GROWTH

For teachers in grades 4 - 8 Common Branch, ELA, and Math, NYSED will provide a growth score and rating. That rating will incorporate students' academic history compared to similarly academically achieving students and takes into consideration students with disabilities, English
language learners, students in poverty, and, in the future, any other student-, classroom-, and school-level characteristics approved by the Board of Regents. While most teachers of 4-8 Common Branch, ELA and Math will have State-provided scores and ratings, some may teach other courses where there is no State-provided growth measure. Teachers with 50 – 100% of students covered by State-provided growth measures will receive a growth score and rating from the State for the full Student Performance category of their evaluation. Teachers with 0 – 49% of students covered by State-provided growth measures must have SLOs for the Student Performance category of their evaluation and one SLO must use the State-provided measure if applicable for any courses. (See APPR Guidance and SLO Guidance for more detail on teachers with State-provided growth measures and SLOs.)

For those teachers who would typically receive a State-provided growth score, the district/BOCES must also include a back-up SLO process in the event that there are not enough students, not enough scores, or data issues that prevent a State-provided growth score from being calculated for that teacher.

### 2.2) Grades 4-8 ELA and Math: Assessments

Using the drop-down boxes below, please select the assessment that will be used for the back-up SLOs for the grade/subject listed.

**Please note:** If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in task 2.2, choose "Not Applicable" from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc. If a grade/subject ends in a State assessment, i.e., 4-8 ELA or Math, such assessment must be used as the underlying evidence for the SLO.

<table>
<thead>
<tr>
<th>Grade 4 ELA Assessment Name</th>
<th>Not Applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 5 ELA Assessment Name</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 6 ELA Assessment Name</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 7 ELA Assessment Name</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 8 ELA Assessment Name</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 4 Math Assessment Name</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 5 Math Assessment Name</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>
### Page 2: Task 2.3 (3 ELA and Math), Task 2.4 (4 and 8 Science)

### Page 2: Task 2.3 (3 ELA and Math) and Task 2.4 (4 and 8 Science)

**STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams**

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.) For guidance on SLOs, see NYSED SLO Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d).

For grade 3 ELA and math, grade 4 and 8 science, high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:

- State assessments (or Regents or Regent equivalents), *required if one exists*

#### 2.3) Grade 3 ELA and Math: Assessments

Using the drop-down boxes below, please select the assessment that will be used for the SLOs for the grade/subject listed.

**Please note:** If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in task 2.3, choose “Not Applicable” from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc. If a grade/subject ends in a State assessment, i.e., grade 3 ELA or Math, such assessment must be used as the underlying evidence for the SLO.

<table>
<thead>
<tr>
<th>Grade 3 ELA Assessment Name</th>
<th>Not Applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 3 Math Assessment Name</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>

#### 2.4) Grades 4 and 8 Science: Assessment(s)

Using the drop-down box below, please select the assessment that will be used for the SLOs for the grade/subject listed.
Please note: If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in task 2.4, choose "Not Applicable" from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc. If a grade/subject ends in a State assessment, i.e., grade 8 Science, such assessment must be used as the underlying evidence for the SLO.

### Grade 4 Science Assessment Name
- Not Applicable

### Grade 8 Science Assessment Name
- Not Applicable

---

**Page 3: Task 2.5 (HS Courses Ending in a Regents), Task 2.6 (HS ELA)**

**Page 3: Task 2.5 (High School Courses Ending in a Regents Exam) and Task 2.6 (High School ELA)**

**STUDENT LEARNING OBJECTIVES: Courses Ending with State Assessments or Regents Exams**

SLOs are the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.) For guidance on SLOs, see NYSED SLO Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d).

For grade 3 ELA and math, grade 4 and 8 science, high school math, science, and social studies courses associated with Regents exams or, in the future, with other State assessments, the following must be used as the evidence of student learning within the SLO for students taking such assessments:

- State assessments (or Regents or Regent equivalents), *required if one exists*

**2.5) High School Courses Ending in a Regents Exam: Assessments**

Note: Additional high school courses may be listed below in the “All Other Courses” section of this form.

Using the drop-down boxes below, please select the assessment that will be used for the SLOs for the grade/subject listed.

Please note: If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in task 2.5, choose "Not Applicable" from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc. If a grade/subject ends in a Regents assessment, i.e., high school Regents courses, such assessment must be used as the underlying evidence for the SLO.

#### Global 2 Assessment Name
- Not Applicable

#### US History Assessment Name
- Not Applicable

#### Living Environment Assessment Name
STUDENT LEARNING OBJECTIVES: High School English Language Arts

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For high school English Language Arts, the following must be used as the evidence of student learning within the SLO:

- State assessments (or Regents or Regent equivalents), required in at least one year of high school English Language Arts

For grade levels where the Regents exam is not used:

- District-determined assessments from list of State-approved 3rd party assessments; or
- State-approved district, regional or BOCES-developed assessments; or
- School- or BOCES-wide, group, team, or linked results based on State/Regents assessments

2.6) High School English Language Arts Courses: Measures and Assessments

Using the drop-down boxes below, please select the measure that will be used for SLOs for the grade/subject listed. Once a measure is selected, a corresponding menu will appear for the selection of the name of the assessment(s) used.

Note: Additional high school English Language Arts courses may be listed below in the “All Other Courses” section of this form.

Please note: If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 2.6, choose “Not
Applicable" from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc.

<table>
<thead>
<tr>
<th>Grade 9 ELA Measure</th>
<th>Not Applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 10 ELA Measure</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 11 ELA Measure</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>Grade 12 ELA Measure</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>

Page 4: Task 2.7 (K-2 ELA and Math)

STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:

- State-approved non-traditional standardized 3rd party assessments (grades K-2)
- State-approved district, regional or BOCES-developed assessments
- School- or BOCES-wide, group, team, or linked results based on State/Regents assessments

2.7) Grade K-2 ELA and Math: Measures and Assessments

Using the drop-down boxes below, please select the measure that will be used for SLOs for the grade/subject listed. Once a measure is selected, a corresponding menu will appear for the selection of the name of the assessment(s) used.

Please note: If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 2.7, choose "Not Applicable" from the drop-down box. This would be appropriate if, for example, common branch teachers also teach 6th grade science and/or social studies and therefore would have State-provided growth measures; the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc.

<table>
<thead>
<tr>
<th>Grade K ELA Measure</th>
<th>Not applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 1 ELA Measure</td>
<td></td>
</tr>
</tbody>
</table>
Page 5: Task 2.8 (Grades 6-7 Science, 6-8 Social Studies), Task 2.9 (Global 1)

Page 5: Task 2.8 (Grades 6-7 Science and 6-8 Social Studies) and Task 2.9 (Global Studies 1)

STUDENT LEARNING OBJECTIVES: Courses without State Assessments or Regents Exams

SLOs shall be used for the required student performance measure for teachers who do not receive a State-provided growth score. (Please note that for teachers with more than one grade and subject, SLOs must cover the courses taught with the largest number of students, combining sections with common assessments, until a majority of students are covered.)

For other grades/subjects: district-determined assessments from options below may be used as evidence of student learning within the SLO:

- State-approved 3rd party assessments
- State-approved district, regional or BOCES-developed assessments
- School- or BOCES-wide, group, team, or linked results based on State/Regents assessments

2.8) Grades 6-7 Science and Grades 6-8 Social Studies: Measures and Assessments

Using the drop-down boxes below, please select the measure that will be used for SLOs for the grade/subject listed. Once a measure is selected, a corresponding menu will appear for the selection of the name of the assessment(s) used.

Please note: If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 2.8, choose "Not Applicable" from the drop-down box. This would be appropriate if, for example, common branch teachers also teach 6th grade science and/or social studies and therefore would have State-provided growth measures; the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc.

Grade 6 Science Measure

Not Applicable
### Grade 7 Science Measure
Not Applicable

### Grade 6 Social Studies Measure
Not Applicable

### Grade 7 Social Studies Measure
Not Applicable

### Grade 8 Social Studies Measure
Not Applicable

#### 2.9) Regents Global Studies 1: Measures and Assessments

Note: Additional high school social studies courses may be listed below in the “All Other Courses” section of this form. Once a measure is selected, a corresponding menu will appear for the selection of the name of the assessment(s) used.

Using the drop-down boxes below, please select the assessment that will be used for SLOs for the grade/subject listed.

**Please note:** If your district/BOCES does not have grade/subject-specific teachers for one or more of the rows in questions 2.9, choose “Not Applicable” from the drop-down box. This would be appropriate if, for example, the district/BOCES does not have certain grades; the district/BOCES does not offer a specific subject; etc.

| Global 1 Measure | Not Applicable |

---

**Page 6: Task 2.10 (All Other Courses), Tasks 2.11-2.14**

**Page 6: Task 2.10 (All Other Courses), Task 2.11 (HEDI Scoring Bands), Task 2.12 (Teachers with More Than One Growth Measure), Task 2.13 (Assurances) and Task 2.14 (Use of the Optional Subcomponent and Student Performance Category Weighting)**

#### 2.10) All Other Courses

Fill in, as applicable, for all other teachers in additional grades/subjects that have SLOs. If you need additional space, duplicate this form and upload (below) as an attachment to your APPR plan. You may combine into one line any groups of teachers for whom the answers in the boxes are the same including, for example, “all other teachers not named above”.

For "All Other Courses" indicate the following:

- Column 1: lowest grade that corresponds to the course
- Column 2: highest grade that corresponds to the course
- Column 3: subject of the course
- Column 4: measure used
- Once a measure is selected, a corresponding menu will appear for the selection of the name(s) of the assessment(s) used.
To designate "All Courses Not Named Above":
- lowest grade = K, highest grade = 12, subject = All Courses Not Named Above

To list specific courses, follow the examples below

K-3 Art:
- lowest grade = K
- highest grade = 3
- subject = Art
- measure = State-approved district, regional, or BOCES developed assessment
- assessment = District A-developed K-3 Art Assessment

Grades 9 - 12 English Electives:
- lowest grade = 9
- highest grade = 12
- subject = English Electives
- measure = School- or BOCES-wide group, team, or linked results based on State/Regents assessment
- assessment = Common Core English Regents

Other Courses #1 Grade(s), Subject and Measure

<table>
<thead>
<tr>
<th>LOWEST GRADE</th>
<th>HIGHEST GRADE</th>
<th>SUBJECT</th>
<th>MEASURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>12</td>
<td>Special Education</td>
<td>State or Regents assessment</td>
</tr>
</tbody>
</table>

Other Courses #1 Regents Assessment Name

NYSAA

Responses Selected:

Click to Add Another Course

Other Courses #2 Grade(s), Subject and Measure

<table>
<thead>
<tr>
<th>LOWEST GRADE</th>
<th>HIGHEST GRADE</th>
<th>SUBJECT</th>
<th>MEASURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>K</td>
<td>2</td>
<td>Special Education</td>
<td>School- or BOCES-wide group, team, or linked results based on State/Regents assessments</td>
</tr>
</tbody>
</table>

Indicate the assessment(s) used for the Other Courses #2 school, or BOCES group, team, or linked results based on State assessments:

Responses Selected:

NYSAA

No Responses Selected

If you need additional space, upload a copy of "Form 2.10: All Other Courses" as an attachment for review. [Click here for a downloadable copy](#)
2.11) HEDI Scoring Bands

<table>
<thead>
<tr>
<th>Highly Effective</th>
<th>Effective</th>
<th>Developing</th>
<th>Ineffective</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>19</td>
<td>18</td>
<td>17</td>
</tr>
<tr>
<td>97-100%</td>
<td>93-96%</td>
<td>92%</td>
<td>85-89%</td>
</tr>
</tbody>
</table>

2.12) Teachers with More Than One Growth Measure

If educators have more than one State-provided growth score and rating, those scores and ratings will be combined into one score and HEDI rating for the Required Student Performance subcomponent provided by the Department. (Examples: Common branch teacher with State-provided measures for both ELA and Math in grade 4; middle school math teacher with both 7th and 8th grade math courses.)

If educators have more than one SLO (or a State-provided growth measure and an SLO), the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO (or in the State-provided growth measure and the SLO). For more information on teachers with more than one growth measure, please see NYSED APPR Guidance and NYSED SLO Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d).

2.13) Assurances

For guidance on SLOs and the development of back-up SLOs, please see NYSED APPR Guidance and NYSED SLO Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d).

- Assure that the teacher has an SLO or a back-up SLO, where applicable, consistent with the goal setting process developed by the Commissioner that results in a student growth score. Checked
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator. Such targets, as determined by the superintendent or another trained administrator, may only take the following characteristics into account: poverty, students with disabilities, English language learners status and prior academic history. Checked
- Assure that all growth targets are approved by the superintendent or another trained administrator. Checked
- Assure that any disagreement between parties regarding the content of the SLO, including the growth target, will be resolved by the superintendent or another trained administrator. Checked
- Assure that if a teacher's SLO is based on a small n size population and the district/BOCES chooses not to use the HEDI scoring bands listed in task 2.11, then the teacher's 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in APPR Guidance. Checked
- Assure that processes are in place for the superintendent to monitor SLOs. Checked
- Assure that the final Student Performance category rating for each teacher will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents. Checked

2.14) Use of the Optional Subcomponent and Student Performance Category Weighting
- If the Optional subcomponent is not used, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is used, the Required subcomponent must comprise at least 50% of the Student Performance category.

Please indicate the use of the Optional subcomponent by making the appropriate selection below. Information related to the Optional subcomponent will be entered into Task 3.

The Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.
Task 3. Student Performance - Optional Subcomponent (Teachers)

Use the links above to move between pages in Task 3; be sure to click 'Save & Continue Editing' before moving to another page. The 'Next' button at the bottom of the page can be used to move forward only when the current page is complete. For guidance on the Optional subcomponent of the Student Performance measure, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1: Task 3.1

Page 1: Task 3.1 (Use of the Optional Subcomponent of the Student Performance Category)

OPTIONAL SUBCOMPONENT
(Up to 50% of Student Performance category if selected)

Such second measure shall apply in a consistent manner, to the extent practicable, across all classrooms in the same grade/subject in the district/BOCES and be either:

(A) a second State-provided growth score on a State-created or administered test; provided that the State-provided growth measure is different than that used in the Required subcomponent, or

(B) a growth score based on a State-designed supplemental assessment, calculated using a State-provided or approved growth model.

3.1) Use of the Optional Subcomponent of the Student Performance Category

Please indicate the use of the Optional subcomponent by making the appropriate selection below.

- If the Optional subcomponent WILL be used, please fill out tasks 3.2-3.12 by using the "Next" button to move through each task.

The Optional subcomponent WILL NOT be used in the Student Performance category for any teacher.
Task 4. Teacher Observation Category

Created: 04/30/2013
Last updated: 11/24/2015

Please note, within this section, task 4.1 may contain the rubric selection(s) from your plan as it existed on June 2, 2015.* The remaining tasks in this section will not contain data from your previous plan and must be completed.

* Please note that documents and language will not be pre-populated into the plan if your district/BOCES chose to start with a blank application.

For guidance on the Teacher Observation category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1

4.1) Teacher Practice Rubric

Select a teacher practice rubric from the menu of State-approved rubrics to assess performance based on the observable NYS Teaching Standards. If your district has been granted a variance by NYSED through the variance process, select "district variance" from the menu.

If your district/BOCES is using an additional rubric, please select the rubric from the second menu below. If your district/BOCES will not use a second rubric, please select "N/A" from the end of the drop-down menu. (Note: Any district/BOCES may use multiple rubrics, as long as the same rubric is used for all classroom teachers in a grade/subject across the district/BOCES.)

Rubric 1


Rubric 2

N/A

4.2) Assurances

Please check all of the boxes below:

| Assure that all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations. | Checked |
| Assure that the process for assigning points for the Teacher Observation category will be in compliance with the locally-determined subcomponent weights and overall Observation category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents. | Checked |
| Assure that the same rubric(s) is used for all classroom teachers in a grade/subject across the district/BOCES; provided that districts/BOCES may locally determine whether to use different rubrics for teachers who teach different grades and/or subjects during the school year. | Checked |
| Assure that the same rubric(s) is used for all observations of a classroom teacher across the observation types in a given school year. | Checked |

4.3) Process for Weighting Rubric Domains/Subcomponents

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged). For guidance on the Teacher Observation category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.
All observable components will be weighted equally and averaged.

4.4) Calculating Observation Ratings

Please check the boxes below:

Assure that each set of observations (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted observation score will then be converted into a HEDI rating using the chart shown below.

Checked

Assure that once all observations are complete, the different types of observations will be combined using a weighted average consistent with the weights specified in task 4.5 below, producing an overall Observation category score between 0 and 4. In the event that a teacher earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

Checked

Assure that if the district is granted an annual Independent Evaluator Hardship Waiver by the Department, the second observation(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator.

Checked

Teacher Observation Scoring Bands

<table>
<thead>
<tr>
<th>Overall Observation Category Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>3.5 to 3.75</td>
<td>4.0</td>
</tr>
<tr>
<td>E</td>
<td>2.5 to 2.75</td>
<td>3.49 to 3.74</td>
</tr>
<tr>
<td>D</td>
<td>1.5 to 1.75</td>
<td>2.49 to 2.74</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>1.49 to 1.74</td>
</tr>
</tbody>
</table>

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

<table>
<thead>
<tr>
<th>Rating</th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
<td>3.75</td>
<td>4.0</td>
</tr>
<tr>
<td>Effective</td>
<td>2.50</td>
<td>3.74</td>
</tr>
<tr>
<td>Developing</td>
<td>1.50</td>
<td>2.49</td>
</tr>
</tbody>
</table>
Ineffective

<table>
<thead>
<tr>
<th></th>
<th>0.00</th>
<th>1.49</th>
</tr>
</thead>
</table>

4.5) Teacher Observation Subcomponent Weighting

Required Subcomponents:
- Observations by Principal(s) or Other Trained Administrators: At least 80% of the Teacher Observation category score
- Observations by Impartial Independent Trained Evaluator(s)*: At least 10%, but no more than 20% of the Teacher Observation category score

Optional Subcomponent:
- Observations by Trained Peer Observer(s): No more than 10% of the Teacher Observation category score when selected

Please be sure the total of the weights indicated equals 100%.

* If the district is granted an annual Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator.

<table>
<thead>
<tr>
<th>Principal - required</th>
<th>Independent Evaluator(s) - required</th>
<th>Peer Observer(s) - optional</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicate the weighting of each subcomponent:</td>
<td>90%</td>
<td>10%</td>
</tr>
</tbody>
</table>

If your district has allocated weight to Peer Observer(s), please indicate the grades and subjects for which Peer Observers will be used:

N/A

4.6) Assurances

Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the teacher(s) they are evaluating.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that, if observations are being conducted by trained peer observer(s), these teacher(s) received an overall rating of Effective or Highly Effective in the previous school year.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the following elements will not be used in calculating a teacher's Observation category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of teacher effectiveness.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the length of all observations for teachers will be conducted pursuant to the locally-determined durations.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that independent evaluator(s) will be trained and selected by the district/BOCES.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that peer observer(s), as applicable, will be trained and selected by the district/BOCES.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that at least one of the required observations will be unannounced.</td>
<td>Checked</td>
</tr>
</tbody>
</table>
Task 5. Overall Scoring (Teachers)

Created: 04/30/2013
Last updated: 11/24/2015

For guidance on APPR scoring, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

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5.1) Scoring Ranges

Student Performance: HEDI ratings must be assigned based on the point distribution below.

<table>
<thead>
<tr>
<th>Overall Student Performance Category Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>18</td>
<td>20</td>
</tr>
<tr>
<td>E</td>
<td>15</td>
<td>17</td>
</tr>
<tr>
<td>D</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>12</td>
</tr>
</tbody>
</table>

Teacher Observation: HEDI ratings must be assigned based on locally-determined ranges consistent with the constraints listed below.

<table>
<thead>
<tr>
<th>Overall Observation Category Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>3.5 to 3.75</td>
<td>4.0</td>
</tr>
<tr>
<td>E</td>
<td>2.5 to 2.75</td>
<td>3.49 to 3.74</td>
</tr>
<tr>
<td>D</td>
<td>1.5 to 1.75</td>
<td>2.49 to 2.74</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>1.49 to 1.74</td>
</tr>
</tbody>
</table>

5.2) Scoring Matrix for the Overall Rating
**Teacher Observation**

<table>
<thead>
<tr>
<th>Student Performance</th>
<th>Highly Effective (H)</th>
<th>Effective (E)</th>
<th>Developing (D)</th>
<th>Ineffective (I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective (H)</td>
<td>H</td>
<td>H</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Effective (E)</td>
<td>H</td>
<td>E</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Developing (D)</td>
<td>E</td>
<td>E</td>
<td>D</td>
<td>I</td>
</tr>
<tr>
<td>Ineffective (I)</td>
<td>D*</td>
<td>D*</td>
<td>I</td>
<td>I</td>
</tr>
</tbody>
</table>

* If a teacher is rated Ineffective on the Student Performance category, and a State-designed supplemental assessment was included as an Optional subcomponent of the Student Performance category, the teacher can be rated no higher than Ineffective overall (see Education Law §3012-d (5)(a) and (7)).

### 5.3) Assurances

Please check all of the boxes below:

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents. **Checked**
- Assure that it is possible to obtain a zero in each subcomponent. **Checked**
- Assure the overall rating determination for a teacher shall be determined according to the evaluation matrix. **Checked**
- Assure that a student will not be instructed, for two consecutive school years, by any two teachers of the same subject in the same school district who have received Ineffective ratings under Education Law §3012-d in the year immediately prior to the school year in which the student is placed in the teacher's classroom unless the district has received a waiver from the Department. **Checked**
**Task 6. Additional Requirements (Teachers)**

Created: 04/30/2013  
Last updated: 02/23/2016

Please note, within this section, tasks 6.2 (improvement plan), 6.4 (appeals language) and 6.6 (training language) may contain information from your plan as it existed on June 2, 2015. The remaining tasks in this section will not contain data from your previous plan and must be completed. Additionally, task 6.6 must be modified to address training of independent evaluators and, as applicable, peer observers.

*Please note that documents and language will not be pre-populated into the plan if your district/BOCES chose to start with a blank application.*

For more information on the additional requirements for teachers, see NYSED APPR Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d)

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### Page 1

**6.1) Assurances: Teacher Improvement Plans**

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that the district/BOCES will formulate and commence implementation of a Teacher Improvement Plan (TIP) for all teachers who receive a Developing or Ineffective rating by October 1 following the school year for which such teacher's performance is being measured or as soon as practicable thereafter.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, shall include: identification of needed areas of improvement; a timeline for achieving improvement; the manner in which the improvement will be assessed; and, where appropriate, differentiated activities to support a teacher's improvement in those areas.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

**6.2) Attachment: Teacher Improvement Plan Forms**

As a required attachment to this APPR plan, upload the TIP forms that are used in the school district/BOCES. All TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:
1. identification of needed areas of improvement;  
2. a timeline for achieving improvement;  
3. the manner in which the improvement will be assessed; and, where appropriate,  
4. differentiated activities to support a teacher's improvement in those areas.

For a list of supported file types, go to the Resources folder (above) and click Technical Tips. Please be sure to update a document with a form layout, with fillable spaces and not just a narrative.


**6.3) Assurance: Appeals**

Please check the box below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure the district/BOCES has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

**6.4) Appeals**

---

1 of 5
Pursuant to Education Law §3012-d, a teacher may only challenge the following in an appeal to their district/BOCES:

(1) the substance of the annual professional performance review; which shall include the following:
   (i) in the instance of a teacher rated Ineffective on the Student Performance category, but rated Highly Effective on the Observation category based on an anomaly, as determined locally;

(2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d; and

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's/BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law §3012-d.

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way:

Teachers who are rated “ineffective” by the Lead Evaluator will have the right to appeal their rating to the Superintendent of Schools within 30 days of the issuance of their rating. The superintendent will review all relevant documentation and issue his findings within 30 days of the appeal. The superintendent’s decision will be binding for both probationary and tenured teachers.

The grounds for appeal are limited to the following;

(1) the substance of the annual professional performance review; which shall include the following:
   (i) in the instance of a teacher rated Ineffective on the Student Performance category, but rated Highly Effective on the Observation category based on an anomaly, as determined locally;

(2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d; and

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's/BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law §3012-d.

6.5) Assurance: Evaluators

| The district/BOCES assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a teacher's evaluation. Note: independent observers and peer observers need only be trained on elements 1, 2, and 4 below. | Checked |

(1) The New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) Evidence-based observation techniques that are grounded in research

(3) Application and use of the student growth percentile model and any other growth model approved by the Department as defined in section 30-3.2 of this Subpart

(4) Application and use of the State-approved teacher rubric(s) selected by the district/BOCES for use in evaluations, including training on the effective application of such rubrics to observe a teacher’s practice
(5) Application and use of any assessment tools that the school district/BOCES utilizes to evaluate its classroom teachers

(6) Application and use of any locally selected measures of student growth used in the Optional subcomponent of the Student Performance category used by the district/BOCES to evaluate its teachers

(7) Use of the Statewide Instructional Reporting System

(8) The scoring methodology utilized by the Department and/or the district/BOCES to evaluate a teacher under this Subpart, including the weightings of each subcomponent within a category; how overall scores/ratings are generated for each subcomponent and category and application and use of the evaluation matrix(es) prescribed by the Commissioner for the four designated rating categories used for the teacher’s overall rating and their category ratings

(9) Specific considerations in evaluating teachers of English language learners and students with disabilities

6.6) Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators

Describe the process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators. Your description must include:

1) the process for training lead evaluators and evaluators, including impartial independent observers and peer observers,
2) the process for the certification and re-certification of lead evaluators,
3) the process for ensuring inter-rater reliability,
4) the nature (content) and the duration (how many hours, days) of such training.

The superintendent will ensure that all lead evaluators, evaluators, independent observers and peer observers have been trained and certified in accordance with regulation. The district will utilize OU BOCES Network Team evaluator training and lead evaluator training and certification in accordance with SED procedures and processes. Lead evaluator training will include training on:

(1) The New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) Evidence-based observation techniques that are grounded in research

(3) Application and use of the student growth percentile model and any other growth model approved by the Department as defined in section 30-3.2 of this Subpart

(4) Application and use of the State-approved teacher rubric(s) selected by the district/BOCES for use in evaluations, including training on the effective application of such rubrics to observe a teacher’s practice

(5) Application and use of any assessment tools that the school district/BOCES utilizes to evaluate its classroom teachers

(6) Application and use of any locally selected measures of student growth used in the Optional subcomponent of the Student Performance category used by the district/BOCES to evaluate its teachers

(7) Use of the Statewide Instructional Reporting System

(8) The scoring methodology utilized by the Department and/or the district/BOCES to evaluate a teacher under this Subpart, including the weightings of each subcomponent within a category; how overall scores/ratings are generated for each subcomponent and category and application and use of the evaluation matrix(es) prescribed by the Commissioner for the four designated rating categories used for the teacher’s overall rating and their category ratings
(9) Specific considerations in evaluating teachers of English language learners and students with disabilities
The training of impartial independent observers and peer observers will adhere and include the three elements listed in Regents Rule # 30-3.10c
The Superintendent will ensure that lead evaluators participate in annual training and are re-certified on an tri-annual basis. The BOCES Network Team and workshops will be utilized to provide the training and recertification.
Any individual who fails to achieve required training or certification or re-certification, as applicable, shall not conduct or complete evaluations.
All KJ administrators have been participating in ongoing inter-rater reliability training as provided by the OU BOCES network team and scheduled annually. The training will consist of at least of one calendar day per school year. The Deputy Superintendent will also be checking submitted APPR documents and working with evaluators as necessary to help ensure inter-rater reliability.

6.7) Assurances: Teacher Evaluation
Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Check</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that the district/BOCES shall compute and provide to the teacher their score and rating for the Student Performance category, if available, and for the Teacher Observation category for a teacher's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the teacher is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.</td>
<td></td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the evaluation system will be used as a significant factor for employment decisions.</td>
<td></td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that teachers will receive timely and constructive feedback as part of the evaluation process.</td>
<td></td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the following prohibited elements listed in Education Law §3012-d(6) are not being used as part of any teacher's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of teacher effectiveness; any district or regionally-developed assessment that has not been approved by the Department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner.</td>
<td></td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that if the district is granted an annual Independent Evaluator Hardship Waiver by the Department, the second observation(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator.</td>
<td></td>
<td>Checked</td>
</tr>
</tbody>
</table>

6.8) Assurances: Assessments
Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Check</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that, where applicable, if students in Common Core courses are taking both the 2005 Learning Standards and Common Core versions of the Regents Assessment, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.</td>
<td></td>
<td>Checked</td>
</tr>
</tbody>
</table>
Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.

Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.

### 6.9) Assurances: Data

Please check all of the boxes below:

- Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner. **Checked**

- Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them. **Checked**

- Assure scores and ratings for all teachers will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements. **Checked**

- Assure that enrolled students in accordance with teacher of record policies are included and may not be excluded. **Checked**

- Assure that procedures for ensuring data accuracy and integrity are being utilized. **Checked**
Task 7. Student Performance – Required Subcomponent

Created: 04/30/2013
Last updated: 02/23/2016

For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d

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REQUIRED SUBCOMPONENT

(100% of Student Performance category if only the Required subcomponent is used or at least 50% when used with Optional subcomponent)

(A) For a principal in grades 4-8 ELA, math and/or high school courses with State or Regents assessments (or principals of programs with any of these assessments) who have at least 30% of his/her students covered under a State-provided growth measure, such principal shall have a State-provided growth score based on such model.

(B) For a principal where less than 30% of his/her students are covered under the State-provided growth measure, such principal shall have a Student Learning Objective (SLO), consistent with the SLO process determined or developed by the Commissioner, that results in a student growth score; provided that, for any principal whose building or program includes courses that end in a State-created or administered assessment for which there is no State-provided growth model, such assessment must be used as the underlying assessment for such SLO.

7.1) STATE-PROVIDED MEASURES OF STUDENT GROWTH

For principals with at least 30% of their students covered under a State-provided growth measure, such principal shall have a State-provided growth score based on such model. Please list the grade configurations of the school(s)/program(s) in your district/BOCES where it is expected that 30-100% of a principal's students are taking assessments with a State-provided growth, (e.g., K-5, PK-6, 6-8, 6-12, 9-12, etc.). For principals where less than 30% of their students are covered under a State-provided growth measure, such principals shall have a SLO consistent with a goal setting process determined or developed by the Commissioner that results in a student growth score; provided that for any teacher whose course ends in a State created or administered assessment for which there is no State-provided growth model, such assessment must be used as the underlying assessment for such SLO. (See Guidance for more detail on principals with State-provided measures and SLOs.)

For those principals who would typically receive a State-provided growth score, the district/BOCES must also include a back-up SLO process in the event that there are not enough students, not enough scores, or data issues that prevent a State-provided growth score from being calculated for that principal.

Please list the grade configurations of the schools or principals where State-provided growth measures will apply (please list, e.g., K-5, PK-6, 6-8, 6-12, 7-12, 9-12). For each configuration, also indicate the measure and assessment(s) used for the back-up SLO.

For each grade configuration indicate the following:

- Column 1: lowest grade that corresponds to the building or program
- Column 2: highest grade that corresponds to the building or program
- Use the checkboxes to indicate the State assessments or Regents exams used

Follow the examples below

Grades K-6:

- lowest grade = K
- highest grade = 6
● assessments = NYS Grades 4-6 ELA and Math Assessments (check all)

Grades 7-12:
● lowest grade = 7
● highest grade = 12
● assessments = NYS Grades 7-8 ELA and Math Assessments and All Regents assessments which are used to generate the principal's State-provided growth score (check all)

---

**Grade Configuration #1**

<table>
<thead>
<tr>
<th>GRADE FROM</th>
<th>GRADE TO</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

**No Responses Selected**

---

### 7.1) Assurances

<table>
<thead>
<tr>
<th>Assure that the growth score provided by NYSED will be used, where required.</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that back-up SLOs will be set by the superintendent or another trained administrator for all principals who receive a State-provided growth score in the event that a State-provided growth score cannot be generated for that principal.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

---

### 7.2) STUDENT LEARNING OBJECTIVES

SLOs must be used for principals in buildings or programs in which fewer than 30% of students take Grades 4-8 ELA, math, and/or high school courses with State or Regents assessments. SLOs will be developed using the assessments covering the most students in the school or program and continuing until at least 30% of students in the school or program are covered by SLOs. For guidance on SLOs, see NYSED SLO Guidance: [https://www.engageny.org/resource/appr-3012-d](https://www.engageny.org/resource/appr-3012-d). The district/BOCES must select the type of assessment that will be used with the SLO from the options below.

- If any grade/course in the building has a State-provided growth measure AND the principal must have SLOs because fewer than 30% of students in the building are covered, then the SLOs must begin first with the SGP results.
- Additional SLOs must then be set based on grades/subjects with State assessments for which there is no State-provided growth measure, where applicable.
- If additional SLOs are necessary, principals must begin with the grade(s)/courses(s) that have the largest number of students using school-wide student results from one of the following assessment options:
  - State-approved 3rd party assessment; or
  - State-approved district, regional, or BOCES-developed assessment.

For each grade configuration indicate the following:

- Column 1: lowest grade that corresponds to the building or program
- Column 2: highest grade that corresponds to the building or program
- Column 3: measure used
- Once a measure is selected, a corresponding menu will appear for the selection of the name(s) of the assessment(s) used.

**Follow the example below**

**Grades K-3:**

- lowest grade = K
- highest grade = 3
- measure = State or Regents assessment
- assessment = NYS Grade 3 ELA Assessment and NYS Grade 3 Math Assessment
Grade Configuration #1 Grades and Measure

<table>
<thead>
<tr>
<th>GRADE FROM</th>
<th>GRADE TO</th>
<th>MEASURE</th>
</tr>
</thead>
<tbody>
<tr>
<td>K</td>
<td>12</td>
<td>State or Regents assessment</td>
</tr>
</tbody>
</table>

Grade Configuration #1 State or Regents Assessment Name(s)

Responses Selected:

- NYSAA

No Responses Selected

7.3) HEDI Scoring Bands

<table>
<thead>
<tr>
<th>Highly Effective</th>
<th>Effective</th>
<th>Developing</th>
<th>Ineffective</th>
</tr>
</thead>
<tbody>
<tr>
<td>20-19</td>
<td>18-17</td>
<td>15-14</td>
<td>12-11</td>
</tr>
<tr>
<td>90-93</td>
<td>89-85</td>
<td>84-75</td>
<td>74-66</td>
</tr>
<tr>
<td>100%</td>
<td>96%</td>
<td>92%</td>
<td>79%</td>
</tr>
<tr>
<td>52-49</td>
<td>48-44</td>
<td>43-39</td>
<td>38-33</td>
</tr>
<tr>
<td>54-47</td>
<td>46-41</td>
<td>38-33</td>
<td>28-24</td>
</tr>
<tr>
<td>56-49</td>
<td>49-43</td>
<td>38-33</td>
<td>24-20</td>
</tr>
<tr>
<td>58-53</td>
<td>43-37</td>
<td>33-25</td>
<td>20-16</td>
</tr>
<tr>
<td>60-55</td>
<td>55-49</td>
<td>28-24</td>
<td>16-12</td>
</tr>
<tr>
<td>62-57</td>
<td>57-51</td>
<td>24-20</td>
<td>12-8</td>
</tr>
<tr>
<td>64-60</td>
<td>59-53</td>
<td>19-15</td>
<td>8-4</td>
</tr>
<tr>
<td>66-62</td>
<td>59-56</td>
<td>16-12</td>
<td>4-0</td>
</tr>
</tbody>
</table>

7.4) Principals with More Than One Growth Measure

If educators have more than one State-provided growth score and rating, those scores and ratings will be combined into one score and HEDI rating for the Required Student Performance subcomponent provided by the Department. (Examples: Principals of K-8 schools with growth measures for ELA and math grades 4-8.)

If educators have more than one SLO (or a State-provided growth measure and an SLO), the measures will each earn a score from 0-20 points which districts/BOCES must weight proportionately based on the number of students in each SLO (or in the State-provided growth measure and the SLO). For more information on principals with more than one growth measure, please see NYSED APPR Guidance and NYSED SLO Guidance: https://www.engageny.org/resource/appr-3012-d.

7.5) Assurances

Please check all of the boxes below:

- Assure that the principal has an SLO or a back-up SLO, where applicable, consistent with the goal setting process developed by the Commissioner that results in a student growth score. [Checked]
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator. Such targets, as determined by the superintendent or another trained administrator, may only take the following characteristics into account: poverty, students with disabilities, English language learners status and prior academic history. [Checked]
- Assure that all growth targets are approved by the superintendent or another trained administrator. [Checked]
- Assure that any disagreement between parties regarding the content of the SLO, including the growth target, will be resolved by the superintendent or another trained administrator. [Checked]
Assure that if a principal's SLO is based on a small n size population and the district/BOCES chooses not to use the HEDI scoring bands listed in task 7.3, then the principal's 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in APPR Guidance.

Assure that processes are in place for the superintendent to monitor SLOs.

Assure that the final Student Performance category rating for each principal will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents.

<table>
<thead>
<tr>
<th>7.6) Student Performance Subcomponent Weighting</th>
</tr>
</thead>
</table>

- If the Optional subcomponent is **not used**, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is **used**, the Required subcomponent must comprise at least 50% of the Student Performance category.

Please indicate the use of the Optional subcomponent by checking the box below. Information related to the Optional subcomponent will be entered into Task 8.

The Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.
Task 8. Student Performance – Optional Subcomponent

Use the links above to move between pages in Task 8; be sure to click 'Save & Continue Editing' before moving to another page. The 'Next' button at the bottom of the page can be used to move forward only when the current page is complete.

For guidance on the Optional subcomponent of the Student Performance category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1: Task 8.1

Page 1: Task 8.1 (Use of the Optional Subcomponent of the Student Performance Category)

OPTIONAL SUBCOMPONENT
(Up to 50% of Student Performance category if selected)

Such second measure shall apply in a consistent manner, to the extent practicable, across all buildings with the same grade configuration or program in the district/BOCES and be either:

(A) a second State-provided growth score on a State-created or administered test; provided that a different measure is used than that for the Required subcomponent in the Student Performance category, or
(B) a growth score based on a State-designed supplemental assessment, calculated using a State-provided or approved growth model.

8.1) Use of the Optional Subcomponent for Student Performance Measures

Please indicate the use of the Optional subcomponent by making the appropriate selection below.

- If the Optional subcomponent WILL be used, please fill out tasks 8.2-8.4 by using the "Next" button to move through each task.

The Optional subcomponent WILL NOT be used in the Student Performance category for any principal.
Task 9. Principal School Visit Category

Created: 04/30/2013
Last updated: 11/24/2015

Please note, within this section, task 9.1 may contain the rubric selection(s) from your plan as it existed on June 2, 2015.* The remaining tasks in this section will not contain data from your previous plan and must be completed.

*Please note that documents and language will not be pre-populated into the plan if your district/BOCES chose to start with a blank application.

For guidance on the Principal School Visit category, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1

9.1) Principal Practice Rubric

Select a principal practice rubric from the menu of State-approved rubrics to assess performance based on ISLLC 2008 Standards. If your district has been granted a variance by NYSED through the variance process, select "district variance" from the menu.

If your district/BOCES is using an additional rubric, please select the rubric from the second menu below. If your district/BOCES will not use a second rubric, please select "N/A" from the end of the drop-down menu. (Note: Any district may use multiple rubrics, as long as the same rubric is used for all principals in the same or similar programs or grade configurations across the district.)

Rubric 1


Rubric 2

N/A

9.2) Assurances

Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the process for assigning points for the Principal School Visit category will be in compliance with the locally-determined subcomponent weights and overall School Visit category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the district/BOCES; provided that districts/BOCES may locally determine whether to use different rubrics for a principal assigned to different grade level configurations or building types.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the same rubric(s) is used for all school visits for a principal across the school visit types in a given school year.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

9.3) Process for Weighting Rubric Domains/Subcomponents

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., All observable components will be weighted equally and averaged). For guidance on the Principal School Visit category, see NYSED APPR Guidance:
All observable components will be weighted equally and averaged.

9.4) Calculating School Visit Ratings

Please check the boxes below:

Assure that each set of school visits (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted school visit score will be converted into a HEDI rating using the chart shown below.

Assure that once all school visits are complete, the different types of school visits will be combined using a weighted average consistent with the weights specified in task 9.5 below, producing an overall School Visit category score between 0 and 4. In the event that a principal earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

Assure that if the district is granted an annual Independent Evaluator Hardship Waiver by the Department, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor their designee.

Principal School Visit Scoring Bands

<table>
<thead>
<tr>
<th>Overall School Visit Category Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>3.5 to 3.75</td>
<td>4.0</td>
</tr>
<tr>
<td>E</td>
<td>2.5 to 2.75</td>
<td>3.49 to 3.74</td>
</tr>
<tr>
<td>D</td>
<td>1.5 to 1.75</td>
<td>2.49 to 2.74</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>1.49 to 1.74</td>
</tr>
</tbody>
</table>

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

<table>
<thead>
<tr>
<th></th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
<td>3.75</td>
<td>4.00</td>
</tr>
<tr>
<td>Effective</td>
<td>2.50</td>
<td>3.74</td>
</tr>
</tbody>
</table>
### 9.5) Principal School Visit Subcomponent Weighting

**Required Subcomponents:**
- School Visits by Supervisor(s) or other Trained Administrator(s): At least 80% of the Principal School Visit category score
- School Visits by Impartial Independent Trained Evaluator(s)*: At least 10%, but no more than 20% of the Principal School Visit category score

**Optional Subcomponent:**
- School Visits by Trained Peer Observer(s): No more than 10% of the Principal School Visit category score when selected

Please be sure the total of the weights indicated equals 100%.

* If the district is granted an annual Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or their designee.

<table>
<thead>
<tr>
<th></th>
<th>Supervisor - required</th>
<th>Independent Evaluator(s) - required</th>
<th>Peer Observer(s) - optional</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicate the weighting of each subcomponent:</td>
<td>80%</td>
<td>20%</td>
<td>N/A</td>
</tr>
</tbody>
</table>

If your district has allocated weight to Peer Observer(s), please indicate the grades and subjects for which Peer Observers will be used:

(No response)

### 9.6) Assurances

Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurances</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the principal(s) they are evaluating.</td>
<td></td>
</tr>
<tr>
<td>Assure that, if school visits are being conducted by trained peer observer(s), these principal(s) received an overall rating of Effective or Highly Effective in the previous school year.</td>
<td></td>
</tr>
<tr>
<td>Assure that the following elements will not be used in calculating a principal's school visit category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness.</td>
<td></td>
</tr>
<tr>
<td>Assure that the length of all school visits for principals will be conducted pursuant to the locally-determined durations.</td>
<td></td>
</tr>
<tr>
<td>Assurance Statement</td>
<td>Status</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------------------</td>
<td>--------</td>
</tr>
<tr>
<td>Assure that independent evaluator(s) will be trained and selected by the district/BOCES.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that peer observer(s), as applicable, will be trained and selected by the district/BOCES.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that at least one of the required school visits will be unannounced.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that school visits will not be conducted via video.</td>
<td>Checked</td>
</tr>
</tbody>
</table>
Task 10. Overall Scoring (Principals)

For guidance on APPR scoring, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1

10.1) Scoring Ranges

Student Performance: HEDI ratings must be assigned based on the point distribution below.

<table>
<thead>
<tr>
<th>Overall Student Performance Category</th>
<th>Score and Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minumum</td>
<td>Maximum</td>
</tr>
<tr>
<td>H</td>
<td>18</td>
</tr>
<tr>
<td>E</td>
<td>15</td>
</tr>
<tr>
<td>D</td>
<td>13</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
</tr>
</tbody>
</table>

Principal School Visits: HEDI ratings must be assigned based on locally-determined ranges consistent with the constraints listed below.

<table>
<thead>
<tr>
<th>Overall School Visit Category</th>
<th>Score and Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minumum</td>
<td>Maximum</td>
</tr>
<tr>
<td>H</td>
<td>3.5 to 3.75</td>
</tr>
<tr>
<td>E</td>
<td>2.5 to 2.75</td>
</tr>
<tr>
<td>D</td>
<td>1.5 to 1.75</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
</tr>
</tbody>
</table>

10.2) Scoring Matrix for the Overall Rating
<table>
<thead>
<tr>
<th>Student Performance</th>
<th>Highly Effective (H)</th>
<th>Effective (E)</th>
<th>Developing (D)</th>
<th>Ineffective (I)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>H</td>
<td>H</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Effective (E)</td>
<td>H</td>
<td>E</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Developing (D)</td>
<td>E</td>
<td>E</td>
<td>D</td>
<td>I</td>
</tr>
<tr>
<td>Ineffective (I)</td>
<td>D*</td>
<td>D*</td>
<td>I</td>
<td>I</td>
</tr>
</tbody>
</table>

* If a principal is rated Ineffective on the Student Performance category, and a State-designed supplemental assessment was included as an Optional subcomponent of the Student Performance category, the principal can be rated no higher than Ineffective overall (see Education Law §3012-d (5)(a) and (7)).

### 10.3) Assurances

Please check all of the boxes below:

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents. **Checked**
- Assure that it is possible to obtain a zero in each subcomponent. **Checked**
- Assure the overall rating determination for a principal shall be determined according to the evaluation matrix. **Checked**
Task 11. Additional Requirements - Principals

Created: 04/30/2013
Last updated: 02/24/2016

Please note, within this section, tasks 11.2 (improvement plan), 11.4 (appeals language) and 11.6 (training language) may contain information from your plan as it existed on June 2, 2015. The remaining tasks in this section will not contain data from your previous plan and must be completed. Additionally, task 11.6 should be modified to address training of independent evaluators and, as applicable, peer observers.

* Please note that documents and language will not be pre-populated into the plan if your district/BOCES chose to start with a blank application.

For guidance on additional requirements for principals, see NYSED APPR Guidance: https://www.engageny.org/resource/appr-3012-d.

Page 1

11.1) Assurances: Improvement Plans

Please check the boxes below:

| Assure that the district/BOCES will formulate and commence implementation of a Principal Improvement Plan (PIP) for all principals who receive a Developing or Ineffective rating by October 1 following the school year for which such principal's performance is being measured or as soon as practicable thereafter. | Checked |
| Assure that PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a principal's improvement in those areas. | Checked |

11.2) Attachment: Principal Improvement Plan Forms

As a required attachment to this APPR plan, upload the PIP forms that are used in the school district/BOCES. All PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

1) identification of needed areas of improvement;
2) a timeline for achieving improvement;
3) the manner in which the improvement will be assessed; and, where appropriate,
4) differentiated activities to support a principal's improvement in those areas.

For a list of supported file types, go to the Resources folder (above) and click Technical Tips. Please be sure to update a document with a form layout, with fillable spaces and not just a narrative.


11.3) Assurance: Appeals

Please check box below:

| Assure the district/BOCES has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal. | Checked |

11.4) Appeals

1 of 5
Pursuant to Education Law §3012-d, a principal may only challenge the following in an appeal to their district/BOCES:

(1) the substance of the annual professional performance review; which shall include the following:
   (i) in the instance of a principal rated Ineffective on the student performance category, but rated Highly Effective on the school visit category based on an anomaly, as determined locally;

(2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d; and

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's/BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law §3012-d.

Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious way:

Principal who are rated “ineffective” by the Lead Evaluator will have the right to appeal their rating to the Superintendent of Schools within 30 days of the issuance of their rating. The superintendent will review all relevant documentation and issue his findings within 30 days of the appeal. The superintendent’s decision will be binding for both probationary and tenured principals.

The grounds for appeal are limited to the following:

(1) the substance of the annual professional performance review; which shall include the following:
   (i) in the instance of a teacher rated Ineffective on the Student Performance category, but rated Highly Effective on the Observation category based on an anomaly, as determined locally;

(2) the school district's/BOCES' adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d; and

(3) the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as well as the school district's/BOCES' issuance and/or implementation of the terms of the teacher or principal improvement plan, as required under Education Law §3012-d.

11.5) Assurance: Evaluators

| The district/BOCES assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a principal's evaluation. Note: independent observers and peer observers need only be trained on elements 1, 2, and 4 below. | Checked |

(1) The New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) Evidence-based observation techniques that are grounded in research

(3) Application and use of the student growth percentile model and any other growth model approved by the Department as defined in section 30-3.2 of this Subpart

(4) Application and use of the State-approved principal rubric(s) selected by the district/BOCES for use in evaluations, including training on the effective application of such rubrics to observe a principal’s practice
(5) Application and use of any assessment tools that the school district/BOCES utilizes to evaluate its building principals

(6) Application and use of any locally selected measures of student growth used in the Optional subcomponent of the Student Performance category used by the district/BOCES to evaluate its principals

(7) Use of the Statewide Instructional Reporting System

(8) The scoring methodology utilized by the department and/or the district/BOCES to evaluate a principal under this Subpart, including the weightings of each subcomponent within a category; how overall scores/ratings are generated for each subcomponent and category and application and use of the evaluation matrix(es) prescribed by the Commissioner for the four designated rating categories used for the principal's overall rating and their category ratings

(9) Specific considerations in evaluating principals of English language learners and students with disabilities

11.6) Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators

Describe the process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators. Your description must include:

1) the process for training lead evaluators and evaluators, including impartial independent observers and peer observers,
2) the process for the certification and re-certification of lead evaluators,
3) the process for ensuring inter-rater reliability,
4) the nature (content) and the duration (how many hours, days) of such training.

The superintendent will ensure that all lead evaluators, evaluators, independent observers and peer observers have been trained and certified in accordance with regulation. The district will utilize OU BOCES Network Team evaluator training and lead evaluator training and certification in accordance with SED procedures and processes. Lead evaluator training will include training on:

(1) The New York State Teaching Standards, and their related elements and performance indicators and the Leadership Standards and their related functions, as applicable

(2) Evidence-based observation techniques that are grounded in research

(3) Application and use of the student growth percentile model and any other growth model approved by the Department as defined in section 30-3.2 of this Subpart

(4) Application and use of the State-approved teacher rubric(s) selected by the district/BOCES for use in evaluations, including training on the effective application of such rubrics to observe a teacher's practice

(5) Application and use of any assessment tools that the school district/BOCES utilizes to evaluate its classroom teachers

(6) Application and use of any locally selected measures of student growth used in the Optional subcomponent of the Student Performance category used by the district/BOCES to evaluate its teachers

(7) Use of the Statewide Instructional Reporting System

(8) The scoring methodology utilized by the Department and/or the district/BOCES to evaluate a teacher under this Subpart, including the weightings of each subcomponent within a category; how overall scores/ratings are generated for each subcomponent and category and application and use of the evaluation matrix(es) prescribed by the Commissioner for the four designated rating categories used for the teacher's overall rating and their category ratings
(9) Specific considerations in evaluating teachers of English language learners and students with disabilities.

The training of impartial independent observers and peer observers will adhere and include the three elements listed in Regents Rule # 30-3.10c.

The Superintendent will ensure that lead evaluators participate in annual training. The Board of Education will certify and recertify Lead Evaluators (i.e. superintendent and trained administrators) on a tri-annual basis. The BOCES Network Team and workshops will be utilized to provide the training for recertification.

Any individual who fails to achieve required training or certification or re-certification, as applicable, shall not conduct or complete evaluations.

All KJ administrators have been participating in ongoing inter-rater reliability training as provided by the OU BOCES network team and scheduled annually. The training will consist of at least one calendar day per school year.

The Deputy Superintendent will also be checking submitted APPR documents and working with evaluators as necessary to help ensure inter-rater reliability.

11.7) Assurances: Principal Evaluation

Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assurance</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that the district/BOCES shall compute and provide to the principal their score and rating for the Student Performance category, if available, and for the Principal School Visit category for a principal's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the principal is being measured, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the evaluation system will be used as a significant factor for employment decisions.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that principals will receive timely and constructive feedback as part of the evaluation process.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that the following prohibited elements listed in Education Law §3012-d(6) are not being used as part of any principal's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness; any district or regionally-developed assessment that has not been approved by the department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that if the district is granted an annual Independent Evaluator Hardship Waiver by the Department, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the supervisor or other trained administrator.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

11.8) Assurances: Assessments
Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assure that, where applicable, if students in Common Core courses are taking both the 2005 Learning Standards and Common Core versions of the Regents Assessment, then the district/BOCES will use the higher of the two scores to determine whether a student has met his/her growth target.</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the administration and scoring of those assessments.</td>
<td>Checked</td>
</tr>
</tbody>
</table>

### 11.9) Assurances: Data

Please check all of the boxes below:

<table>
<thead>
<tr>
<th>Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.</th>
<th>Checked</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assure that the district/BOCES provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure scores and ratings for all principals will be reported to NYSED for each category, as well as the overall rating, as per NYSED requirements.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that enrolled students in accordance with policies for student assignment to schools and may not be excluded.</td>
<td>Checked</td>
</tr>
<tr>
<td>Assure that procedures for ensuring data accuracy and integrity are being utilized.</td>
<td>Checked</td>
</tr>
</tbody>
</table>
Task 12. Joint Certification of APPR Plan

Created: 04/30/2013
Last updated: 02/24/2016

Page 1

Please obtain the required signatures, create a PDF file, and upload your joint certification of the APPR Plan using this form: **APPR District Certification Form**. Please note that Review Room timestamps each revision and signatures cannot be dated earlier than the last revision.


File types supported for uploads

PDF (preferred)

Microsoft Office (.doc, .ppt, .xls)

Microsoft Office 2007: Supported but not recommended (.docx, .pptx, .xlsx)

Open Office (.odt, .ott)

Images (.jpg, .gif)

Other Formats (.html, .xhtml, .txt, .rtf, .latex)

Please note that .docx, .pptx, and .xlsx formats are not entirely supported. Please save your file types as .doc, .ppt or .xls respectively before uploading.
TEACHER/PRINCIPAL IMPROVEMENT PLAN

Name: _________________________________________________________

School: ___________________________________ School Year: __________

PERFORMANCE AREA(S):

GOAL(S) AND OBJECTIVE(S):

MANNER IN WHICH THE IMPROVEMENT WILL BE ASSESSED

<table>
<thead>
<tr>
<th>Resources, Activities, Strategies</th>
<th>Person(s) Responsible</th>
<th>Dates</th>
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<tbody>
<tr>
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<td></td>
<td>Started</td>
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<td></td>
<td>Completed</td>
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</table>

FOLLOW-UP MODIFICATIONS:

TIP / PIP Modified-Date:  ____________

TIP / PIP Continued-Date:  ____________

TIP / PIP Completed-Date:  ____________

Employee’s Signature  
Supervisor’s Signature
TEACHER/PRINCIPAL IMPROVEMENT PLAN

Name: _________________________________________________________

School: ________________________ School Year: __________

PERFORMANCE AREA(S):

GOAL(S) AND OBJECTIVE(S):

MANNER IN WHICH THE IMPROVEMENT WILL BE ASSESSED

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<th>Person(s) Responsible</th>
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</table>

FOLLOW-UP MODIFICATIONS:

TIP / PIP Modified-Date: ______________

TIP / PIP Continued-Date: ______________

TIP / PIP Completed-Date: ______________

____________________ _____________________
Employee’s Signature        Supervisor’s Signature
Form 2.10) All Other Courses

Fill in, as applicable, for all other teachers in additional grades/subjects that have SLOs. If you need additional space, duplicate this form and upload (below) as an attachment to your APPR plan. You may combine into one line any groups of teachers for whom the answers in the boxes are the same including, for example, "all other teachers not named above".

For "All Other Courses" indicate the following:
- Column 1: lowest grade that corresponds to the course
- Column 2: highest grade that corresponds to the course
- Column 3: subject of the course
- Column 4: measure used
- Once a measure is selected, a corresponding menu will appear for the selection of the name(s) of the assessment(s) used.

To designate "All Courses Not Named Above": lowest grade = K, highest grade = 12, subject = All Courses Not Named Above

To list specific courses, follow the examples below

K-3 Art:
- lowest grade = K
- highest grade = 3
- subject = Art
- measure = State-approved district, regional, or BOCES developed assessment
- assessment = District A-developed K-3 Art Assessment

Grades 9 - 12 English Electives:
- lowest grade = 9
- highest grade = 12
- subject = English Electives
- measure = School- or BOCES-wide group, team, or linked results based on State/Regents assessment
- assessment = Common Core English Regents

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<thead>
<tr>
<th>#</th>
<th>LOWEST GRADE</th>
<th>HIGHEST GRADE</th>
<th>SUBJECT</th>
<th>MEASURE</th>
<th>ASSESSMENT</th>
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<td>developed assessment</td>
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<td>results based on State/Regents assessments</td>
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<tr>
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<td>○ State-approved third party assessment</td>
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<td></td>
<td>○ State-approved district, regional, or BOCES developed assessment</td>
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<td>○ State or Regents assessment</td>
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<td></td>
<td></td>
<td>○ School- or BOCES-wide group, team, or linked results based on State/Regents assessments</td>
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<td></td>
<td>NYSAA</td>
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<th>UNGRADED SECONDARY MATH</th>
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<td>○ State-approved district, regional, or BOCES developed assessment</td>
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</tr>
<tr>
<td></td>
<td></td>
<td>○ School- or BOCES-wide group, team, or linked results based on State/Regents assessments</td>
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<td>NYSAA</td>
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<tbody>
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</tr>
</tbody>
</table>
By signing this document, the school district or BOCES certifies that this document constitutes the district's or BOCES' complete Annual Professional Performance Review (APPR) Plan, that all provisions of the APPR that are subject to collective negotiations have been resolved pursuant to the provisions of Article 14 of the Civil Service Law and that such APPR Plan complies with the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES. By signing this document, the collective bargaining agent(s) of the school district or BOCES, where applicable, certify that this document constitutes the district's or BOCES' complete APPR Plan, that collective negotiations have been completed on all provisions of the APPR that are subject to collective bargaining, and that such APPR Plan complies with the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents and has been adopted by the governing body of the school district or BOCES.

The school district or BOCES and its collective bargaining agent(s), where applicable, also certify that this APPR Plan is the district's or BOCES' complete APPR Plan and that such plan will be fully implemented by the school district or BOCES; that there are no collective bargaining agreements, memoranda of understanding, or any other agreements in any form that prevent, conflict, or interfere with full implementation of the APPR Plan; and that no material changes will be made to the Plan through collective bargaining or otherwise except with the approval of the Commissioner in accordance with Subpart 30-3 of the Rules of the Board of Regents.

The school district and its collective bargaining agent(s), where applicable, also acknowledge that if approval of this APPR Plan is rejected or rescinded for any reason, any State aid increases received as a result of the Commissioner's approval of this APPR Plan will be returned or forfeited to the State pursuant to Chapter 57 of the Laws of 2012 and/or 2013 and Education Law §3012-d(11), as applicable.

The school district or BOCES and its collective bargaining agent(s), where applicable, also make the following specific certifications with respect to their APPR Plan:

- Assure that the evaluation system will be used as a significant factor for employment decisions and teacher and principal development
- Assure that the entire APPR review will be completed for each teacher or principal as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the classroom teacher or building principal's performance is being measured
- Assure that the district or BOCES shall compute and provide to the teacher/principal their score and rating on the Student Performance category, if available, for the Teacher Observation category or Principal School Visit Category for a teacher's or principal’s annual professional performance review, in writing, no later than the last day of the school year for which the teacher or principal is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher’s or principal's performance is measured
- Assure that the APPR Plan will be filed in the district office and made available to the public on the district's or BOCES' website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall later occur
- Assure that accurate teacher and student data will be provided to the Commissioner in a format and timeline prescribed by the Commissioner
- Assure that the district or BOCES will report the individual category and subcomponent scores and the overall rating for each classroom teacher and building principal in a manner prescribed by the Commissioner
- Certify that the district provides an opportunity for every classroom teacher and building principal to verify the subjects and/or student rosters assigned to them
- Assure that teachers and principals will receive timely and constructive feedback as part of the evaluation process
- Assure that any training course for lead evaluator certification addresses each of the requirements in the regulations, including specific considerations in evaluating teachers and principals of English language learners and students with disabilities
- Assure that educators who receive a Developing or Ineffective rating will receive a Teacher Improvement Plan or Principal Improvement Plan, in accordance with all applicable statutes and regulations, by October 1 in the school year following the school year for which such teacher's or principal's performance is being measured or as soon as practicable thereafter
- Assure that such improvement plan shall be developed by the superintendent or his/her designee in the exercise of
their pedagogical judgment

- Assure that all evaluators and lead evaluators, including independent evaluators and peer evaluators, as applicable, will be properly trained and that lead evaluators will be certified and recertified as necessary in accordance with all applicable statutes and regulations
- Assure that the district or BOCES has collectively bargained appeal procedures that are consistent with the statute and regulations and that they provide for the timely and expeditious resolution of an appeal to the district/BOCES
- Assure that, for teachers, all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations and, for principals, all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits
- Assure that it is possible for a teacher or principal to obtain each point in the scoring ranges, including 0, for each subcomponent and that the district and BOCES shall ensure that the process by which weights and scoring ranges are assigned to subcomponents and categories is transparent and available to those being rated before the beginning of each school year
- Assure that if a second measure for the Student Performance category is locally selected, then the same locally selected measures of student growth across all classrooms in the same grade/subject in the district/BOCES must be used in a consistent manner to the extent practicable
- Assure that all growth targets represent a minimum of one year of expected growth, as determined by the superintendent or another trained administrator
- Assure that any material changes to this APPR Plan will be submitted to the Commissioner for approval by March 1 of each school year, on a form prescribed by the Commissioner, to the Commissioner for approval
- Assure that this APPR Plan applies to all classroom teachers and building principals as defined in the statute, regulations, and SED guidance
- Assure that the district or BOCES will provide the Department with any information necessary to conduct annual monitoring pursuant to Subpart 30-3 of the regulations
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by State or Federal law for each classroom or program of the grade does not exceed, in the aggregate, one percent of the minimum in required annual instructional hours for such classroom or program of the grade; and
- Assure that the amount of time devoted to test preparation under standardized testing conditions for each grade does not exceed, in the aggregate, two percent of the minimum required annual instructional hours for such grade. Time devoted to teacher administered classroom quizzes or exams, portfolio reviews, or performance assessments shall not be counted towards the limits established by this subdivision. In addition, formative and diagnostic assessments shall not be counted towards the limits established by this subdivision and nothing in this subdivision shall be construed to supersede the requirements of a section 504 plan of a qualified student with a disability or Federal law relating to English language learners or the individualized education program of a student with a disability.

Signatures, dates

Superintendent Signature: Date:

[Signature]

2/24/16

Teachers Union President Signature: Date:

N/A

Administrative Union President Signature: Date:

N/A

Board of Education President Signature: Date:

[Signature]

2-24-2016