SYSTEMS AND PRACTICES FOR DISTRICTS WITH MULTIPLE PREK FUNDING SOURCES

Presented by Jason Gish, Associate, Office of Early Learning
Participants will examine funding requirements and contingencies for NY State-Administered Prekindergarten.

- Required forms and their procedures and due dates
- Management of multiple funding sources and their effects
District Fiscal Responsibilities

PreK Program Year – July 1 through June 30

Proposed Budget
FS-10
Due 9/29/23

Budget Amendment
FS-10-A
Due 5/31/24

Final Expenditures
FS-10-F
Due 7/31/24
# Overview of Available PreK Funding

<table>
<thead>
<tr>
<th>Universal Prekindergarten (State)</th>
<th>Statewide Universal Full-Day Prekindergarten</th>
<th>Universal Prekindergarten (Federal)</th>
<th>Universal Prekindergarten Expansion Grant for New Full-Day Placements for 4-Year-Old Students</th>
<th>Universal Prekindergarten Expansion Grant for New Full-Day and Half-Day to Full-Day Placements for 4-Year-Old Students</th>
<th>2023-2024 Universal Prekindergarten Expansion Grant for New Full-Day and Half-Day to Full-Day Placements for 4-Year-Old Students</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>STATE UPK</strong></td>
<td><strong>SUFPK</strong></td>
<td><strong>FEDERAL UPK</strong></td>
<td><strong>SUFPK</strong></td>
<td><strong>SUFPK</strong></td>
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<tr>
<td>Project #0409-24</td>
<td>Project #0545-24</td>
<td>Project #5870-24</td>
<td>Project #5875-24</td>
<td>Project #0546-24</td>
<td>Project #0547-24</td>
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<tr>
<td><strong>Allocation</strong></td>
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<td><strong>Allocations List</strong></td>
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<td>Half-Day or Full-Day</td>
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Enrollment affects funding
Example:

**2022-23 Expansion Grant**

- RFP/Application Process
  - Round 1
    - 24 Awardees, $11.7 million
      » 11 began in January 2023
  - Round 2
    - 33 Awardees, $13.3 million
Expansion Grants and RFPs

2023-24 Expansion Grant

- Restricted Period
  - Grant Announcement TBD

Effects of Expansion Grants

- Managing Multiple Funding Sources
  - Supplement Not Supplant Requirement
  - Differing Rates
Supplement Not Supplant

Definition

- Only Use New Funding in Addition to and Not in Place of Preexisting Funding
  - Federal
  - State
  - Local Expenditures
    - Directed for PreK by Maximizing the Funds
    - In the Order in Which They Were Received

Purpose

- Promote Growth
PreK Program Consolidation History

- **2017-18 UPK**: 445 districts, $385 million
- **2018-19 UPK**: Priority Prek (2013-14), 25 districts, $30 million
- **2019-20 UPK**: Federal Prek (2015-16), 5 districts, $25 million
- **2020-21 UPK**: Expanded Prek (2016-17), 34 districts, $30 million
- **2021-22 UPK**: 3-Prek (2016-17), 25 districts, $10.3 million
- **2022-23 UPK**: Expanded Prek II (2017-18), 16 districts, $5 million
- **2023-24 UPK**: Expanded Prek III (2018-19), 32 districts, $15 million
- **BEYOND**: Direct UPK Allocation (2022-23), 336 districts, $100 million
- **New Fed UPK Allocation (2023-24)**: 286 districts, $100 million
- **New SUFDPK (2022-23)**: 24 school districts, $11.7 million (of $25m), Round 2 TBD
- **New SUFDPK (2023-24)**: $50 million (not awarded)

4410 Preschool for SWD (1999)$1.0 billion
277 providers

Statewide Full-day Prek (2014-15)$4 school districts $340 million

Targeted Prek (pre-1998-99) 3 BOCES $1.3 million

New Fed SUFDPK (2021-22) 31 school districts $13.4 million (of $15m)

NYS ED.gov
OFFICE OF EARLY LEARNING

Office of Early Learning
## Organizing Funding

<table>
<thead>
<tr>
<th>Name of Funding Source</th>
<th>Applicable Ed. Law</th>
<th>Type of Funding</th>
<th>Project Number</th>
<th>Maximum Amount</th>
</tr>
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<tbody>
<tr>
<td>State Funded Universal Prekindergarten (UPK) Allocated Before 2022-23</td>
<td>3602-e</td>
<td>Allocation</td>
<td>0409-24-7036</td>
<td>$534,600</td>
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<td>Statewide Universal Full-Day Prekindergarten (SUFDPK) Awarded in 2014-15</td>
<td>3602-ee</td>
<td>Award</td>
<td>0545-24-2043</td>
<td>$2,250,985</td>
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<th>Projected Allocation/Grant Payable</th>
<th>Name of Funding Source</th>
<th>Maximum Amount</th>
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<td>$534,600</td>
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<td>$70,000</td>
<td>SUFDPK Grant Awarded 2022-2023</td>
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<tr>
<td></td>
<td>Federally Funded UPK Allocation</td>
<td>Funding Not Available</td>
</tr>
</tbody>
</table>

#### Define:

- **New Full Day Slot**
- **Conversion Slot**
Organizing Funding

Does my district have access to...

- **SUFDPK?**
  - Use Funding Organizer
  - Use grant award lists

- **Conversion Slots?**
  - Only for SUFDPK grants projects 0545 and 0546

- **New Full Day Slots?**
  - All SUFDPK grants

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Rates in UPK Allocations

- **Rate Per Student - Alternatives**
  - Using Allocations Lists
    - Used for:
      » Calculating projected allocation payable
      » Determining rates to pay collaborating agencies
  - Using Selected Aid Per 1/2-Day Prekindergarten Pupil Pursuant to 3602-e(10)(b)(i)
    - Used for calculating SUFDPK conversion rates
Differing Rates

Rates in SUFDPK Grants

- **Rate Per Slot**
  - **Conversion Slots**
    - Vary per district according to Selected Aid Per 1/2-Day Prekindergarten Pupil
    - Subtract $3,000 with uncertified teacher
  - **New Full Day Slots**
    - $10,000 with certified teacher of record
    - $7,000 with uncertified teacher of record
Collaboration Information and Guidance

Goal: to maximize the number of PreK students a district can serve.

• Applicable Laws and Regulations
• No less than 10% from each funding stream
• Collaborating With Community-Based Organizations (CBO)
• Expenses for collaborating CBOs – determined locally
• No tuition/fees
Collaboration Information and Guidance

• Eligible Agencies
  • Not just daycare centers
  • May be outside district boundaries

• Outreach/RFP Process
  • Must be publicly available
  • Ensure all eligible agencies are aware
  • Conditions when RFP must be issued
Collaboration Information and Guidance

District Responsibilities

• Ensure PreK children, regardless of location, are provided equitable access to all aspects of programming.

Effects of Differing Rates

• District discretion per contracts with collaborating agencies
• What if multiple collaborating agencies are funded by different funding sources?
Paying Collaborating Agencies

• **Option 1**
  - Pay the CBOs different funding-based rates.
    • Pros & Cons?

• **Option 2**
  - Pay the CBOs the same rate, such as an average
    • Pros & Cons?

• **Other Options?**
## Paying Collaborating Agencies

- **Sample UPK Budget**
  - **Differing Rates**
    - Match funding

<table>
<thead>
<tr>
<th>Description of Item</th>
<th>Provider of Services</th>
<th>Calculation of Cost</th>
<th>Proposed Expenditure</th>
</tr>
</thead>
<tbody>
<tr>
<td>PreK Provider</td>
<td>Head Start</td>
<td>80 Half-Day Slots X $2,500 ea.</td>
<td>$200,000</td>
</tr>
<tr>
<td>PreK Provider</td>
<td>PreK Provider Sample 1</td>
<td>9 Half-Day Slots to Be Converted X $3,500 ea.</td>
<td>$31,500</td>
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<tr>
<td>PreK Provider</td>
<td>YMCA Provider</td>
<td>94 Half-Day Slots to Be Converted X $3,500 ea.</td>
<td>$329,000</td>
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<tr>
<td>PreK Provider</td>
<td>PreK Provider Sample 3</td>
<td>30 Full Day Slots X $5,000 ea.</td>
<td>$150,000</td>
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</tbody>
</table>
Paying Collaborating Agencies

- **Sample SUFDPK Budget**
  - **Differing Rates**
    - Match funding

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<td>PreK Provider</td>
<td>PreK Provider Sample 1</td>
<td>9 Conversion Slots X $4,500 ea.</td>
<td>$40,500</td>
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<td>94 Conversion Slots X $4,500 ea.</td>
<td>$423,000</td>
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<td>PreK Provider</td>
<td>PreK Provider Sample 2</td>
<td>30 Full Day Slots X $8,000 ea.</td>
<td>$240,000</td>
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</table>
Paying Collaborating Agencies

- **Sample UPK Budget**
  - **Same Rates**
    - Average available funding

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### PURCHASED SERVICES

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<td>PreK Provider</td>
<td>Head Start</td>
<td>80 Half-Day Slots X $3,250 ea.</td>
<td>$260,000</td>
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<td>PreK Provider Sample 1</td>
<td>9 Half-Day Slots to Be Converted X $3,250 ea.</td>
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<td>PreK Provider</td>
<td>YMCA Provider</td>
<td>94 Half-Day Slots to Be Converted X $3,250 ea.</td>
<td>$305,500</td>
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<tr>
<td>PreK Provider</td>
<td>PreK Provider Sample 3</td>
<td>30 Full Day Slots X $6,500 ea.</td>
<td>$195,000</td>
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Subtotal - Code 40: $789,750
Paying Collaborating Agencies

• Sample SUFDPK Budget
  o Same Rates
    • Average available funding

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Paying Collaborating Agencies

- Contingencies
  - Teacher Certification
  - Staffing Changes
  - Enrollment Changes
  - Design Contracts to Account for Contingencies
Conclusion

Did we accomplish our objectives?

- Examine funding requirements and contingencies.
- Required forms and their procedures and due dates
- Management of multiple funding sources and their effects
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