



SYSTEMS AND PRACTICES FOR DISTRICTS WITH MULTIPLE PREK FUNDING SOURCES

Presented by Jason Gish, Associate, Office of Early Learning



New York State
EDUCATION DEPARTMENT
Knowledge > Skill > Opportunity

Presentation Purpose and Outcomes

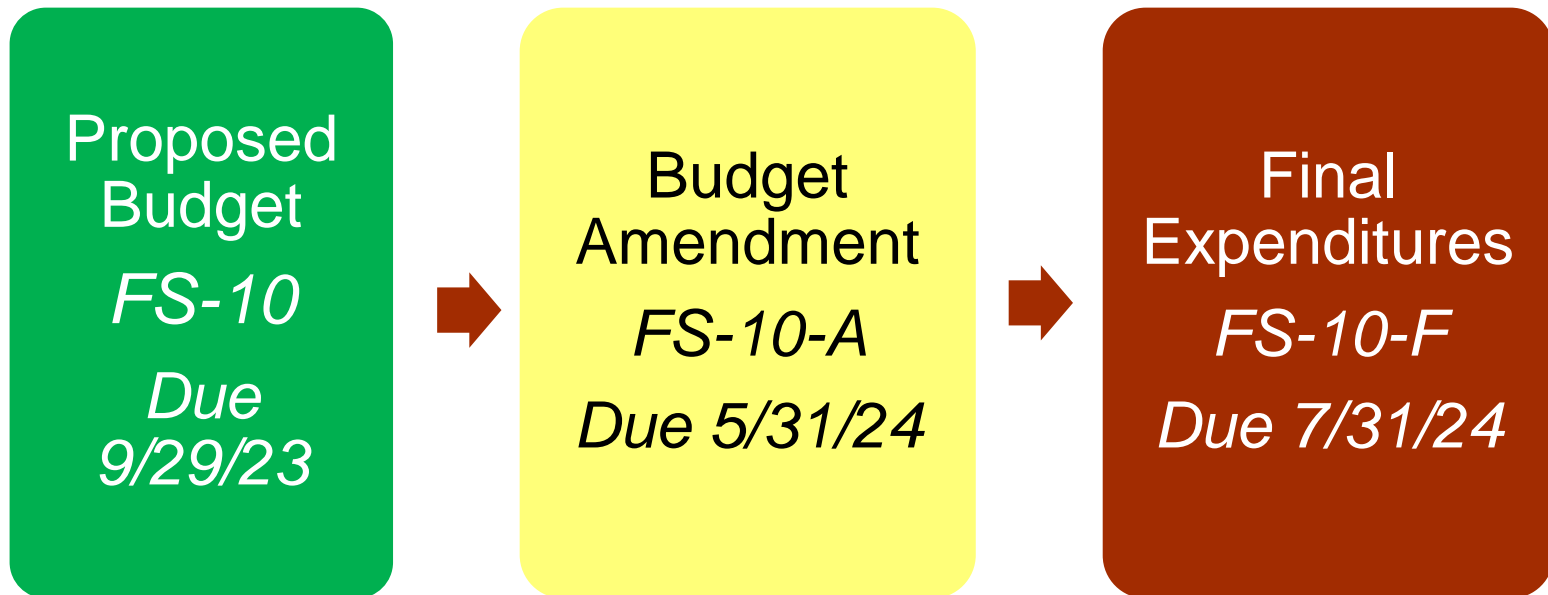
Participants will examine funding requirements and contingencies for NY State-Administered Prekindergarten.

- Required forms and their procedures and due dates
- Management of multiple funding sources and their effects



District Fiscal Responsibilities

PreK Program Year – July 1 through June 30



Overview of Available PreK Funding

Universal Prekindergarten (State)	Statewide Universal Full-Day Prekindergarten	Universal Prekindergarten (Federal)	Universal Prekindergarten Expansion Grant for New Full-Day Placements for 4-Year-Old Students	Universal Prekindergarten Expansion Grant for New Full-Day and Half-Day to Full-Day Placements for 4-Year-Old Students	2023-2024 Universal Prekindergarten Expansion Grant for New Full-Day and Half-Day to Full-Day Placements for 4-Year-Old Students
STATE UPK Project #0409-24	SUFDPK Project #0545-24	FEDERAL UPK Project #5870-24	SUFDPK Project #5875-24	SUFDPK Project #0546-24	SUFDPK (TBD)Project #0547-24
<p><i>Allocation 1998, Additional 2022-2023, and 2023-2024</i></p> <p>Allocations List NYSED Ed Law Section 3602-e</p> <p>3 & 4-year-old students Half-Day or Full-Day</p> <p>Amount varies per student based upon the formula in Education Law 3602-e</p>	<p><i>Competitive Grant 2014-15</i></p> <p>Grant Award Chart NYSED Ed Law Section 3602-ee</p> <p>4-year-old students Full-Day Only</p> <p><i>*Provided by Conversion Slots and/or New Full-Day slots</i></p> <p>Conversion Slots: Amount varies per student based upon the formula in Education Law 3602-e</p> <p>New Full Day Slots: \$10,000 /student with certified teacher *B-2, SWD B-2, or N-6 Certification Required</p> <p>\$7,000 /student with uncertified teacher</p>	<p><i>Allocation 2021-2022</i></p> <p>Allocations List NYSED Ed Law Section 3602-e</p> <p>4-year-old students Full-Day Only</p> <p>Amount varies per student based upon the formula in Education Law 3602-e</p>	<p><i>Competitive Grant 2021-2022</i></p> <p>Grant Award List NYSED Ed Law Section 3602-ee</p> <p>4-year-old students Full-Day Only</p> <p>\$10,000 /student with certified teacher *B-2, SWD B-2, or N-6 Certification Required</p> <p>\$7,000 /student with uncertified teacher</p>	<p><i>Competitive Grant 2022-2023</i></p> <p>Grant Award List NYSED Ed Law Section 3602-ee</p> <p>4-year-old students Full-Day Only</p> <p><i>*Provided by Conversion Slots and/or New Full-Day slots</i></p> <p>Conversion Slots: Amount varies per student based upon the formula in Education Law 3602-e</p> <p>New Full Day Slots: \$10,000 /student with certified teacher *B-2, SWD B-2, or N-6 Certification Required</p> <p>\$7,000 /student with uncertified teacher</p>	<p><i>Competitive Grant 2023-2024</i></p> <p>No Awards Yet NYSED Ed Law Section 3602-ee</p> <p>4-year-old students Full-Day Only</p> <p><i>*Provided by Conversion Slots and/or New Full-Day slots</i></p> <p>Conversion Slots: Amount varies per student based upon the formula in Education Law 3602-e</p> <p>New Full Day Slots: \$10,000 /student with certified teacher *B-2, SWD B-2, or N-6 Certification Required</p> <p>\$7,000 /student with uncertified teacher</p>

Enrollment affects funding

Expansion Grants and RFPs

Example:

2022-23 Expansion Grant

○ RFP/Application Process

- Round 1
 - 24 Awardees, \$11.7 million
 - » 11 began in January 2023
- Round 2
 - 33 Awardees, \$13.3 million



Expansion Grants and RFPs

2023-24 Expansion Grant

- **Restricted Period**
 - Grant Announcement TBD

Effects of Expansion Grants

- **Managing Multiple Funding Sources**
 - Supplement Not Supplant Requirement
 - Differing Rates



Supplement Not Supplant

Definition

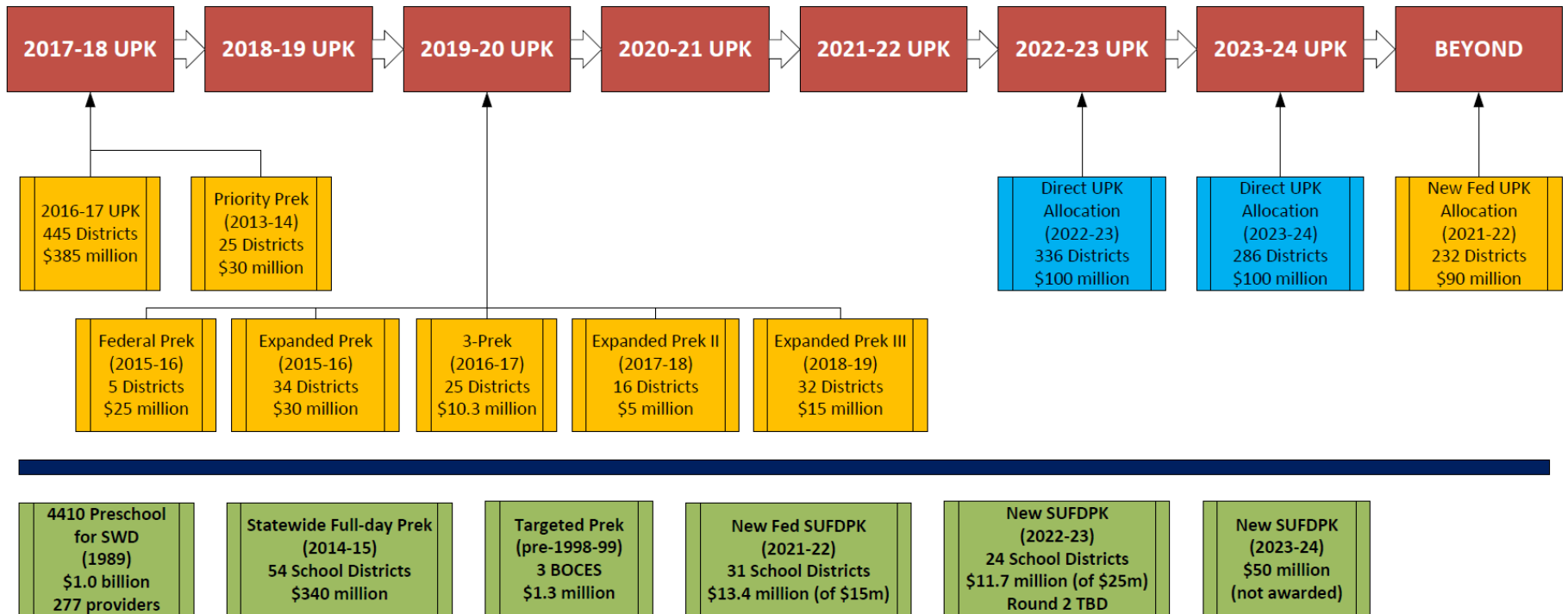
- **Only Use New Funding in Addition to and Not in Place of Preexisting Funding**
 - Federal
 - State
 - Local Expenditures
 - Directed for PreK by Maximizing the Funds
 - In the Order in Which They Were Received



Purpose

- **Promote Growth**

PreK Program Consolidation History



Organizing Funding

Name of Funding Source	Applicable Ed. Law	Type of Funding	Project Number	Maximum Amount
State Funded Universal Prekindergarten (UPK) Allocated Before 2022-23	3602-e	Allocation	0409-24-7036	\$534,600
Statewide Universal Full-Day Prekindergarten (SUFDPK) Awarded in 2014-15	3602-ee	Award	0545-24-2043	\$2,250,985
Federally Funded Universal Prekindergarten (UPK) Allocated in 2021-22	3602-e	Allocation	Funding Not Available	Funding Not Available
21-22 Expansion Grant for New Full-Day 4-Yr.-Old Students (SUFDPK)	3602-ee	Award	5875-24-0001	\$280,000
State Funded Universal Prekindergarten (UPK) Additional Allocations in 2022-23	3602-e	Allocation	0409-24-7036	Funding Not Available
22-23 Expansion Grant for New Full-Day and Half-Day to Full Day Placements for 4-Yr.-Old Students (SUFDPK)	3602-ee	Award	0546-24-1029	\$280,000
State Funded Universal Prekindergarten (UPK) Additional Allocations in 2023-24	3602-e	Allocation	0409-24-7036	Funding Not Available

Organizing Funding

Projected Allocation/Grant Payable	Name of Funding Source	Maximum Amount
\$ 534,600	State Funded UPK Allocation	\$ 534,600
\$ 2,250,985	SUFDPK Grant Awarded 2014-2015	\$ 2,250,985
\$ -	Federally Funded UPK Allocation	Funding Not Available
\$ 280,000	SUFDPK Grant Awarded 2021-2022	\$ 280,000
\$ 70,000	SUFDPK Grant Awarded 2022-2023	\$ 280,000

Number of Funded Half-Day 3-Year-Old Pupils	Number of Funded Full-Day 3-Year-Old Pupils	Number of Funded Half-Day 4-Year-Old Pupils	Number of Funded Full-Day 4-Year-Old Pupils	New Full-Day Slots With a Certified Teacher of Record	Conversion Slots With a Certified Teacher of Record	New Full-Day Slots With an Uncertified Teacher of Record	Conversion Slots With an Uncertified Teacher of Record
0	0	183	0	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	125	181	0	0
N/A	N/A	0	0	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	28	N/A	0	N/A
N/A	N/A	N/A	N/A	0	0	10	0
				\$ 10,000	\$ 5,545	\$ 7,000	\$ 2,545

Define:
New Full Day Slot
Conversion Slot

Organizing Funding

Does my district have access to...

○ SUFDPK?

- Use Funding Organizer
- Use grant award lists

○ Conversion Slots?

- Only for SUFDPK grants projects 0545 and 0546

○ New Full Day Slots?

- All SUFDPK grants

Name of Funding Source	Project Number
State Funded Universal Prekindergarten (UPK) Allocated Before 2022-23	0409-24-7036
Statewide Universal Full-Day Prekindergarten (SUFDPK) Awarded in 2014-15	0545-24-2043
Federally Funded Universal Prekindergarten (UPK) Allocated in 2021-22	Funding Not Available
State Funded Universal Prekindergarten (UPK) Additional Allocations in 2022-23	0409-24-7036
21-22 Expansion Grant for New Full-Day 4-Yr.-Old Students (SUFDPK)	5875-24-0001
22-23 Expansion Grant for New Full-Day and Half-Day to Full Day Placements for 4-Yr.-Old Students (SUFDPK)	0546-24-1029

Differing Rates

Rates in UPK Allocations

- **Rate Per Student - Alternatives**
- Using Allocations Lists
 - **Used for:**
 - » Calculating projected allocation payable
 - » Determining rates to pay collaborating agencies
- Using Selected Aid Per 1/2-Day Prekindergarten Pupil Pursuant to 3602-e(10)(b)(i)
 - **Used for calculating SUFDPK conversion rates**



Differing Rates

Rates in SUFDPK Grants

o Rate Per Slot

- Conversion Slots
 - Vary per district according to Selected Aid Per 1/2-Day Prekindergarten Pupil
 - Subtract \$3,000 with uncertified teacher
- New Full Day Slots
 - \$10,000 with certified teacher of record
 - \$7,000 with uncertified teacher of record



Collaboration Information and Guidance

Goal: to maximize the number of PreK students a district can serve.

- Applicable Laws and Regulations
- No less than 10% from each funding stream
- [Collaborating With Community-Based Organizations](#) (CBO)
- Expenses for collaborating CBOs – determined locally
- No tuition/fees



Collaboration Information and Guidance

- Eligible Agencies
 - Not just daycare centers
 - May be outside district boundaries
- Outreach/RFP Process
 - Must be publicly available
 - Ensure all eligible agencies are aware
 - Conditions when RFP must be issued



Collaboration Information and Guidance

District Responsibilities

- Ensure PreK children, regardless of location, are provided equitable access to all aspects of programming.

Effects of Differing Rates

- District discretion per contracts with collaborating agencies
- What if multiple collaborating agencies are funded by different funding sources?



Paying Collaborating Agencies

- **Option 1**
 - **Pay the CBOs different funding-based rates.**
 - Pros & Cons?
- **Option 2**
 - **Pay the CBOs the same rate, such as an average**
 - Pros & Cons?
- **Other Options?**



Paying Collaborating Agencies

- **Sample UPK Budget**
 - **Differing Rates**
 - Match funding

PURCHASED SERVICES			
Subtotal - Code 40			\$710,500
Description of Item	Provider of Services	Calculation of Cost	Proposed Expenditure
PreK Provider	Head Start	80 Half-Day Slots X \$2,500 ea.	\$200,000
PreK Provider	PreK Provider Sample 1	9 Half-Day Slots to Be Converted X \$3,500 ea.	\$31,500
PreK Provider	YMCA Provider	94 Half-Day Slots to Be Converted X \$3,500 ea.	\$329,000
PreK Provider	PreK Provider Sample 3	30 Full Day Slots X \$5,000 ea.	\$150,000

Paying Collaborating Agencies

- **Sample SUFDPK Budget**
 - **Differing Rates**
 - Match funding

PURCHASED SERVICES				
			Subtotal - Code 40	\$703,500
Description of Item	Provider of Services	Calculation of Cost	Proposed Expenditure	
PreK Provider	PreK Provider Sample 1	9 Conversion Slots X \$4,500 ea.	\$40,500	
PreK Provider	YMCA Provider	94 Conversion Slots X \$4,500 ea.	\$423,000	
PreK Provider	PreK Provider Sample 2	30 Full Day Slots X \$8,000 ea.	\$240,000	

Paying Collaborating Agencies

- **Sample UPK Budget**
 - **Same Rates**
 - Average available funding

PURCHASED SERVICES			
Subtotal - Code 40			\$789,750
Description of Item	Provider of Services	Calculation of Cost	Proposed Expenditure
PreK Provider	Head Start	80 Half-Day Slots X \$3,250 ea.	\$260,000
PreK Provider	PreK Provider Sample 1	9 Half-Day Slots to Be Converted X \$3,250 ea.	\$29,250
PreK Provider	YMCA Provider	94 Half-Day Slots to Be Converted X \$3,250 ea.	\$305,500
PreK Provider	PreK Provider Sample 3	30 Full Day Slots X \$6,500 ea.	\$195,000

Paying Collaborating Agencies

- **Sample SUFDPK Budget**
 - **Same Rates**
 - Average available funding

PURCHASED SERVICES			
			Subtotal - Code 40
			\$529,750
Description of Item	Provider of Services	Calculation of Cost	Proposed Expenditure
PreK Provider	PreK Provider Sample 1	9 Conversion Slots X \$3,250 ea.	\$29,250
PreK Provider	YMCA Provider	94 Conversion Slots X \$3,250 ea.	\$305,500
PreK Provider	PreK Provider Sample 2	30 Full Day Slots X \$6,500 ea.	\$195,000

Paying Collaborating Agencies

- **Contingencies**
 - **Teacher Certification**
 - **Staffing Changes**
 - **Enrollment Changes**

 - **Design Contracts to Account for Contingencies**



Conclusion



Did we accomplish our objectives?

- **Examine funding requirements and contingencies.**
- **Required forms and their procedures and due dates**
- **Management of multiple funding sources and their effects**

Office of Early Learning

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**NYSED's
Office of Early Learning
(518) 474-5807
OEL@nysed.gov**

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