

Application: Mott Haven Academy Charter School

Zennea Chetta - zchetta@havenacademy.org
2020-2021 Annual Report

Entry 1 School Info and Cover Page

Completed Jul 22 2021

[Instructions](#)

Required of ALL Charter Schools

Each Annual Report begins with a completed School Information and Cover Page. The information is collected in a survey format within Annual Report portal. When entering information in the portal, some of the following items may not appear, depending on your authorizer and/or your responses to related items.

Entry 1 School Information and Cover Page

(New schools that were not open for instruction for the 2020-2021 school year are not required to complete or submit an annual report this year).

Please be advised that you will need to complete this cover page (including signatures) before all of the other tasks assigned to you by your school's authorizer are visible on your task page. While completing this cover page task, please ensure that you select the correct authorizer **(as of June 30, 2021)** or you may not be assigned the correct tasks.

BASIC INFORMATION

a. SCHOOL NAME

(Select name from the drop down menu)

MOTT HAVEN ACADEMY CHARTER SCHOOL 320700860925

a1. Popular School Name

Haven Academy

b. CHARTER AUTHORIZER (As of June 30th, 2021)

Please select the correct authorizer as of June 30, 2021 or you may not be assigned the correct tasks.

NEW YORK CITY CHANCELLOR OF EDUCATION

c. DISTRICT / CSD OF LOCATION

CSD # 7 - BRONX

d. DATE OF INITIAL CHARTER

1/2008

e. DATE FIRST OPENED FOR INSTRUCTION

8/2008

f. APPROVED SCHOOL MISSION (Regents, NYCDOE, and Buffalo BOE authorized schools only)

MISSION STATEMENT

Haven Academy is redefining school by intentionally designing spaces and programs to meet the academic and social-emotional needs of all children, with a focus on those impacted by the child welfare system. United with our scholars and their families, we aim to dismantle systemic barriers to success through comprehensive support services, responsive curriculum, predictability, and an emphasis on voice and choice. We lead with empathy and forge deep relationships to build a better future in service of our resilient, resourceful, and independent scholars.

g. KEY DESIGN ELEMENTS (Regents, NYCDOE, and Buffalo BOE authorized schools only)

KEY DESIGN ELEMENTS (Briefly describe each Key Design Elements (KDE) as presented in the schools approved charter. KDEs are those general aspects of the school that are innovative or unique to the school’s mission and goals, are core to the school’s overall design, and are critical to its success.

KDE 1	Trauma-Informed Environment We maintain a safe, nurturing climate by dedicating significant time to the development of our school culture with a specific focus on social emotional (SE) programming. All staff are trained in the effects of trauma on learning and building positive attachments using approaches like Responsive Classroom, Love and Logic, and PBIS. Our SE staff use evidence-based practices such as Second Step to teach key social skills like self-regulation and problem solving.
KDE 2	Data Driven Decision Making Haven Academy thoughtfully uses assessments and data to drive our curriculum development and daily student instruction. Instructional Staff and Leadership regularly collect and analyze data on individual scholar progress and school wide trends to differentiate instruction, guide daily teaching, and inform areas that require remediation and support.

KDE 3	<p>Supportive School Culture and Climate Haven Academy is committed to teaching students to be problem solvers and critical thinkers, to respect and challenge intellectual ideas, to resolve conflicts peacefully, and form opinions in an environment that values trust. We continue to implement evidence-based Social Emotional curricula as well as school-wide Positive Behavior Supports.</p>
KDE 4	<p>Rigorous Standards-Based Curriculum Significant efforts are undertaken to align the school’s curriculum with the Common Core Learning Standards. We utilize a curriculum revision protocol in order to guarantee that all of the Common Core Learning Standards are taught in ELA and math. We have detailed scope and sequences, curriculum maps, and assessment schedules, which allow for teachers and coaches to continue the work of developing unit and lesson plans during weekly grade level meetings and check-ins.</p>
KDE 5	<p>High Attendance Percentages and Systems We believe high attendance for all students is critical to academic success. We take pride in high daily attendance rates and our reduction in chronic absenteeism. Our multi-disciplinary attendance team tracks absences on a daily basis and follows up with families by phone with each absence. We also provide more intensive coaching for families with chronic absenteeism by pairing them with a specific staff member who helps identify barriers, reduce those barriers, and reinforce attendance.</p>
KDE 6	<p>Parents as Partners Haven Academy provides comprehensive wrap around services to our families to ensure the consistency of support available both at home and school through one-on-one coaching, workshops, and other parent engagement opportunities. We value the relationship we cultivate with our families and work tirelessly to ensure that our families are not only heard but that their input informs our daily functioning and programming.</p>

KDE 7	Community Partnership At the core of our mission, is our partnership with The New York Foundling. Additionally, we work in tandem with several other child welfare agencies as well as other community based organizations that provide our families with critical needs such as housing, medical, and mental health resources. We employ several support staff members who help facilitate these partnerships and make resources available to families.
KDE 8	(No response)
KDE 9	(No response)
KDE 10	(No response)

Need additional space for variables

No

h. SCHOOL WEB ADDRESS (URL)

<http://havenacademy.org/>

i. TOTAL MAX APPROVED ENROLLMENT FOR THE 2020-2021 SCHOOL YEAR (exclude Pre-K program enrollment)

468

j. TOTAL STUDENT ENROLLMENT ON JUNE 30, 2021 (exclude Pre-K program enrollment)

472

k. GRADES SERVED IN SCHOOL YEAR 2020-2021 (exclude Pre-K program students)

Check all that apply

Grades Served	K, 1, 2, 3, 4, 5, 6, 7, 8
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l1. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

No

FACILITIES INFORMATION

m. FACILITIES

Will the school maintain or operate multiple sites in 2021-2022?

No, just one site.

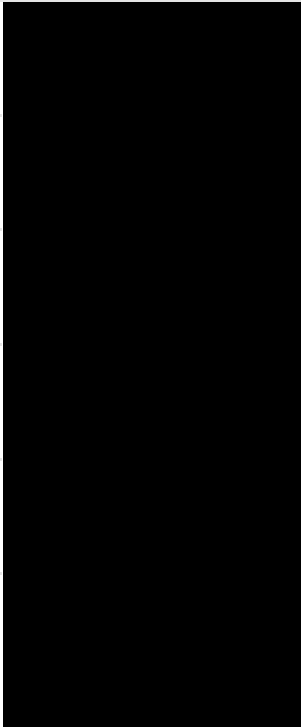
School Site 1 (Primary)

m1. SCHOOL SITES

Please provide information on Site 1 for the upcoming school year.

	Physical Address	Phone Number	District/CSD	Grades to be Served at Site for coming year (K-5, 6-9, etc.)	Receives Rental Assistance for Which Grades (If yes, enter the appropriate grades. If no, enter No).
Site 1	170 Brown Place Bronx, NY 10454	7182927015	NYC CSD 7	K-8	N/A

m1a. Please provide the contact information for Site 1.

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Jessica Nauiokas	718-292-7015		jnauiakas@havenacademy.org
Operational Leader	Milagros Torres	718-292-7015		mtorres@havenacademy.org
Compliance Contact	Zennea Chetta	718-292-7015		zchetta@havenacademy.org
Complaint Contact	Sarah Touma	718-292-7015		stouma@havenacademy.org
DASA Coordinator	Vivian Colon	718-292-7015		vcolon@havenacademy.org
Phone Contact for After Hours Emergencies	Jessica Nauiokas	917-806-0777		jnauiakas@havenacademy.org

m1b. Is site 1 in public (co-located) space or in private space?

Private Space

IF LOCATED IN PRIVATE SPACE IN NYC OR IN DISTRICTS OUTSIDE NYC

m1d. Upload a current Certificate of Occupancy (COO) and the annual Fire Inspection Report for school site 1 if located in private space in NYC or located outside of NYC .

Certificate of Occupancy and Fire Inspection. Provide a copy of a current and non-expired certificate of occupancy (if outside NYC or in private space in NYC). For schools that are not in district space (NYC co-locations), provide a copy of a current and non-expired certificate of occupancy, and a copy of the current annual fire inspection results, which should be dated on or after July 1, 2021.

Site 1 Certificate of Occupancy (COO)

[CERT OF OCCUPANCY.pdf](#)

Filename: CERT OF OCCUPANCY.pdf **Size:** 200.5 kB

Site 1 Fire Inspection Report

[Saved Service Report 515994.pdf](#)

Filename: Saved Service Report 515994.pdf **Size:** 179.5 kB

CHARTER REVISIONS DURING THE 2020-2021 SCHOOL YEAR

n1. Were there any revisions to the school's charter during the 2020-2021 school year? (Please include approved or pending material and non-material charter revisions).

No

o. Has your school's Board of Trustee's approved a budget for the 2020-2021 FY?

Yes

ATTESTATIONS

p. Individual Primarily Responsible for Submitting the Annual Report.

Name	Zennea Chetta
Position	Director of Data and Technology
Phone/Extension	516-698-9229
Email	zchetta@havenacademy.org

p. Our signatures (Executive Director/School Leader/Head of School and Board President) below attest that our school has reviewed, understands and will comply with the employee clearance and fingerprint requirements as outlined in Entry 10 and found in the [NYSED CSO Fingerprint Clearance Oct 2019 Memo](#). Click **YES to agree.**

Responses Selected:


Yes

q. Our signatures (Executive Director/School Leader/Head of School and Board President) below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Click **YES to agree and then use the mouse on your PC or the stylus on your mobile device to sign your name).**

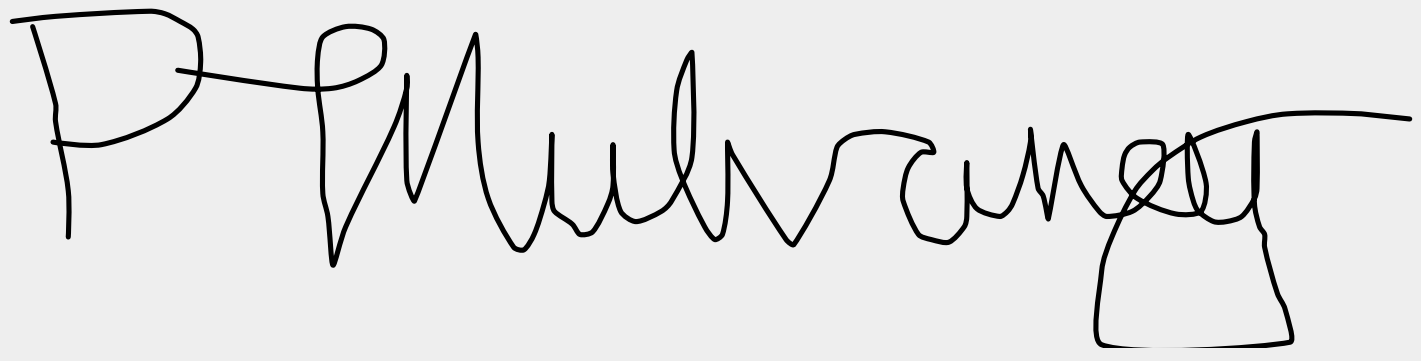
Responses Selected:

Yes

Signature, Head of Charter School

A handwritten signature in black ink on a light gray background. The signature is cursive and appears to be "John Mulvaney".

Signature, President of the Board of Trustees

A handwritten signature in black ink on a light gray background. The signature is cursive and appears to be "John Mulvaney".

Date

Jul 22 2021

Thank you.



Entry 3 Progress Toward Goals

Completed Oct 19 2021

Instructions

Regents, NYCDOE, and Buffalo BOE-authorized charter schools

For the 2020-2021 school year, any academic or organization goals that cannot be evaluated due to school closure resulting in a lack of data and changes in testing, surveying, and other usual practices should be reported as “N/A”. **Deadline is November 1, 2021.**

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

Entry 3 Progress Toward Goals

PROGRESS TOWARD CHARTER GOALS

Board of Regents-authorized and NYCDOE-authorized charter schools only.

Complete the tables provided. List each goal and measure as contained in the school’s currently approved charter, and indicate whether the school has met or not met the goal. Please provide information for all goals no later than November 2, 2021.

1. ACADEMIC STUDENT PERFORMANCE GOALS

For the 2020-2021 school year, any academic goals that cannot be evaluated due to school closure resulting in a lack of data and changes in testing, surveying, and other usual practices should be reported as “N/A”.

2020-2021 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress Toward Attainment of Goal	Goal - Met, Not Met or Unable to Assess	If not met, describe efforts the school will take to meet goal. If
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				unable to assess goal, type N/A for Not Applicable
Academic Goal 1	For each year of the school's next charter term, the school will show academic performance with a percent of students proficient at or above Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent proficient on the New York State ELA examination.	New York State ELA examination	Unable to Assess	
Academic Goal 2	For each year of the school's next charter term, the school will show academic performance with a percent of students proficient at or above Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent	New York State Math examination	Unable to Assess	

	proficient on the New York State math examination.			
Academic Goal 3	For each year of the school's next charter term, each grade-level cohort will demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS ELA exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State ELA exam. For schools in which the number of students scoring above proficiency in a grade-level cohort exceeded the CSD or citywide percent proficient (whichever is higher) on the previous year's ELA exam, the school is expected to demonstrate growth comparable to the CSD in the current year	New York State ELA examination	Unable to Assess	

Academic Goal 4	<p>For each year of the school's next charter term, each grade-level cohort will demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS math exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State math exam. For schools in which the number of students scoring above proficiency in a grade-level cohort exceeded the CSD or citywide percent proficient (whichever is higher) on the previous year's math exam, the school is expected to demonstrate growth comparable to the CSD in the current year</p>	New York State Math examination	Unable to Assess	
	<p>In each year of the charter term, 75 percent of students enrolled</p>			

Academic Goal 5	for three or more years will perform at or above a level 3 on the NYS fourth grade science exam.	NYS fourth grade science examination	Unable to Assess	
Academic Goal 6	In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by the students in the same tested grades in the district of location.	New York State ELA examination	Unable to Assess	
Academic Goal 7	In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or	New York State Math examination	Unable to Assess	

	<p>exceed the proficiency demonstrated by the students in the same tested grades in the district of location</p>			
Academic Goal 8	<p>In each year of the charter term, for general community/non-child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by students in the same tested grades in in the district of location.</p>	<p>New York State ELA examination</p>	<p>Unable to Assess</p>	
Academic Goal 9	<p>In each year of the charter term, for general community/non-child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or</p>	<p>New York State Math examination</p>	<p>Unable to Assess</p>	

	exceed the proficiency demonstrated by students in the same tested grades in in the district of location.			
Academic Goal 10				

2. Do have more academic goals to add?

No

4. ORGANIZATION GOALS

For the 2020-2021 school year, any organization goals that cannot be evaluated due to school closure resulting in a lack of data and changes in testing, surveying, and other usual practices should be reported as “N/A”.

2020-2021 Progress Toward Attainment of Organization Goals

	Organizational Goal	Measure Used to Evaluate Progress	Goal - Met, Not Met, or Unable to Assess	If not met, describe efforts the school will take to meet goal. If unable to assess goal, type N/A for Not Applicable
	Each year, the school’s “General Community and Foster Care” populations will have a daily student			

Org Goal 1	attendance rate of at least 95 percent. The school's overall attendance (including Prevention students) will be minimally 92 percent.	ATS and Internal Attendance Reporting	Unable to Assess	
Org Goal 2	Each year, 95 percent of all students enrolled during the course of the year will return the following September(excluding those who leave the school because they move out of the city, lack reasonable transportation or have been re-assigned a school placement for a more restrictive special education setting)	ATS Reports and Enrollment Records	Met	
Org Goal 3	Each year, 90 percent of all instructional staff employed during the prior school year will return and/or be asked to return the following school year.	Internal Reporting	Met	

Org Goal 4	<p>In each year of the charter term, parents will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability Handbook, the school will have a percentage of parents that agree or strongly agree that meets or exceeds citywide averages. The school will only have met this goal if 50% or more parents participate in the survey.</p>	NYC DOE School Survey	Met	
Org Goal 5	<p>In each year of the charter term, staff will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability Handbook, the school will have a</p>	NYC DOE School Survey	Met	

	percentage of staff that agree or strongly agree that meets or exceeds citywide averages. The school will only have met this goal if 50% or more staff participate in the survey.			
Org Goal 6				
Org Goal 7				
Org Goal 8				
Org Goal 9				
Org Goal 10				
Org Goal 11				
Org Goal 12				
Org Goal 13				
Org Goal 14				
Org Goal 15				
Org Goal 16				
Org Goal 17				
Org Goal 18				
Org Goal 19				
Org Goal 20				

5. Do have more organizational goals to add?

No

6. FINANCIAL GOALS

2020-2021 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	Goal - Met, Not Met, or Partially Met	If not met, describe efforts the school will take to meet goal.
Financial Goal 1	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	School financials	Met	
Financial Goal 2				
Financial Goal 3				
Financial Goal 4				
Financial Goal 5				

7. Do have more financial goals to add?

No

Thank you.

Entry 4 - Audited Financial Statements

Completed Nov 1 2021

Required of ALL Charter Schools

ALL SUNY-authorized charter schools must upload the financial statements in .pdf format into the SUNY Epicenter system no later than **November 1, 2021**. SUNY CSI will forward to NYSED CSO.

ALL Regents, NYCDOE, and Buffalo BOE authorized charter schools must upload final, audited financial statements to the [Annual Report Portal](#) no later than **November 1, 2021**. Upload the independent auditor's report, any advisory and/or management letter, and the internal controls report as one submission, combined into a .PDF file, ensuring that security features such as password protection are turned off.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[Mott Haven Academy Charter School - Financial Statements - June 30 2021](#)

Filename: Mott Haven Academy Charter School OSKkCYf.pdf **Size:** 1.2 MB

Entry 4b - Audited Financial Report Template (BOR/NYC/BOE)

Completed Nov 1 2021

[Instructions - Regents-Authorized Charter Schools ONLY](#)

Regents-authorized schools must download and complete the Excel spreadsheet entitled “Audited Financial Report Template” from the online portal or the website at [2020-2021 Charter School Annual Report webpage](#). Upload the completed file in Excel format. **Due November 1, 2021.**

Education Corporations with more than one school should complete the Excel spreadsheet for the Education Corporation as a whole, not for the individual schools. Please submit the same Excel spreadsheet for each of the schools.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[MHA DOE Audited Annual Financial Report Template FY21](#)

Filename: MHA DOE Audited Annual Financial R MP2gnjz.xlsx **Size:** 66.1 kB

Entry 4c - Additional Financial Documents

Completed Nov 1 2021

[Instructions - Regents, NYCDOE and Buffalo BOE authorized schools](#) must upload financial documents in this section **by November 1, 2021**. The items listed below should be uploaded, with an explanation if not applicable or available. For example, a “federal Single Audit was not required because the school did not expend federal funds of more than the \$750,000 Threshold.”

1. Advisory and/or Management letter
2. Federal Single Audit
3. CSP Agreed-Upon Procedure Report
4. Evidence of Required Escrow Account for each school^[1]
5. Corrective Action Plan for Audit Findings and Management Letter Recommendations

^[1] Note: For BOR schools chartered or renewed after the 2017-2018 school year, the escrow account per school is \$100,000.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[Management Representation Letter \(Template\) v2](#)

Filename: Management Representation Letter Te KFnveiW.pdf **Size:** 158.7 kB

[June TD Savings Stmt 7930 FY20 MHA](#)

Entry 4d - Financial Services Contact Information

Completed Jul 22 2021

Instructions: Please enter the contact information for school staff, firms or other entities providing financial services to the education corporation **by November 1, 2021.**

Form for "Financial Services Contact Information"

1. School Based Fiscal Contact Information

	School Based Fiscal Contact Name	School Based Fiscal Contact Email	School Based Fiscal Contact Phone
	Jessica Nauiokas	[REDACTED]	[REDACTED]

2. Audit Firm Contact Information

	School Audit Contact Name	School Audit Contact Email	School Audit Contact Phone	Years Working With This Audit Firm
	BDO, Jimmy Vora, CPA	[REDACTED]	[REDACTED]	1

3. If applicable, please provide contact information for the school's outsourced financial services firm.

	Firm Name	Contact Person	Mailing Address	Email	Phone	Years With Firm
	CSBM	Karen Daniels	237 W 35th st suite 301 New York, NY 10001	[REDACTED]	[REDACTED]	13

Entry 5 - Fiscal Year 2021-2022 Budget

Completed Jul 22 2021

Instructions - Regents, NYCDOE, and Buffalo BOE authorized charter schools should upload a copy of the school's FY21 Budget using the 2021-2022 [Projected Annual Budget template](#) in the portal or from the Annual Report website **by November 1, 2021**.

The assumptions column should be completed for all revenue and expense items unless the item is self-explanatory. Where applicable, reference the page number or section in the application narrative that indicates the assumption being made. For instance, student enrollment would reference the applicable page number in Section I, C of the application narrative.

Instructions - SUNY authorized charter schools should download the [2021-2022 Budget and Quarterly Report Template](#) on the SUNY website or Epicenter and upload the completed template into the portal **by November 1, 2021**.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[MHA - FY21-22 - Budget Grant Final](#)

Filename: MHA FY21 22 Budget Grant Final.pdf Size: 984.7 kB

Entry 6 - Board of Trustees Disclosure of Financial Interest Form

Completed Jul 22 2021

Required of ALL Charter Schools by August 2

Each member of the charter school's Board of Trustees who served on a charter school education corporation governing one or more charter schools for any period during the 2020-2021 school year must complete a signed:

- Regents, NYCDOE, and Buffalo BOE Authorized Schools: [Disclosure of Financial Interest Form](#)
- SUNY- Authorized Charter Schools: [SUNY Trustee Financial Disclosure Form](#)

All completed forms must be collected and uploaded in .PDF format for each individual member. **If a trustee is not able or available to complete the form by the deadline, the education corporation is responsible for doing so on behalf of the trustee.** (Forms completed from past years will not be accepted).

Trustees serving on an education corporation that governs more than one school are not required to complete a separate disclosure for each school governed by the **education** corporation. In the Disclosure of Financial Interest Form, trustees must disclose information relevant to any of the schools served by the governing education corporation. Note: Docusign is accepted.

[20-21 Board DOFI forms](#)

Filename: 20 21 Board DOFI forms.pdf Size: 14.6 MB

Entry 7 BOT Membership Table

Completed Jul 22 2021

Instructions

Required of All charter schools

ALL charter schools or education corporations governing multiple schools must complete the Board of Trustees Membership Table within the online portal. Please be sure to include and identify parents who are members of the Board of Trustees and indicate whether parents are voting or non-voting members.

Entry 7 BOT Table

1. SUNY-AUTHORIZED charter schools are required to provide information for VOTING Trustees only.
2. REGENTS, NYCDOE, and BUFFALO BOE-AUTHORIZED charter schools are required to provide information for all --VOTING and NON-VOTING-- trustees.

1. 2020-2021 Board Member Information (Enter info for each BOT member)

	Trustee Name	Trustee Email Address	Position on the Board	Committee Affiliations	Voting Member Per By-Laws (Y/N)	Number of Terms Served	Start Date of Current Term (MM/DD/YYYY)	End Date of Current Term (MM/DD/YYYY)	Board Meetings Attended During 2020-2021
1	Patricia Mulvaney		Chair	Finance	Yes	13	03/01/2008	08/01/2020	12
2	William F. Baccaglioni		Trustee/Member	Eduction/Fundraising	Yes	13	03/01/2008	08/01/2020	11
	Janet								

3	Campaign a	[REDACTED]	Treasurer	Finance	Yes	13	03/01/2008	08/01/2070	11
4	Jessica Nauioaka s	[REDACTED]	Secretary	Education	No	13	03/01/2008	08/01/2070	12
5	Whitney Kneisley	[REDACTED]	Trustee/ Member	Education/ Fundraising	Yes	11	11/01/2010	08/01/2070	9
6	Kathleen Chiechi Flores	[REDACTED]	Trustee/ Member	Education/ Fundraising	Yes	8	05/01/2013	08/01/2070	9
7	Meghan Mackay	[REDACTED]	Trustee/ Member	Education/ Fundraising	Yes	12	11/01/2009	08/01/2070	12
8	Christine Stokes	[REDACTED]	Trustee/ Member	Finance	Yes	3	8/30/2018	08/01/2070	11
9		[REDACTED]							

1a. Are there more than 9 members of the Board of Trustees?

No

2. INFORMATION ABOUT MEMBERS OF THE BOARD OF TRUSTEES

1. SUNY-AUTHORIZED charter schools provide response relative to VOTING Trustees only.
2. REGENTS, NYCDOE, and BUFFALO BOE-AUTHORIZED charter schools provide a response relative to all trustees.

a. Total Number of BOT Members on June 30, 2021	8
b.Total Number of Members Added During 2020-2021	0
c. Total Number of Members who Departed during 2020-2021	0
d.Total Number of members, as set in Bylaws, Resolution or Minutes	8

3. Number of Board meetings held during 2020-2021

12

4. Number of Board meetings scheduled for 2021-2022

12

Thank you.

Entry 8 Board Meeting Minutes

Completed Jul 22 2021

Instructions - Required of Regents, NYCDOE, and Buffalo BOE Authorized Schools ONLY

Schools must upload a complete set of monthly board meeting minutes (July 2020-June 2021), which should match the number of meetings held during the 2020-2021 school year, as indicated in the above table. The minutes provided must be the final version approved by the school's Board of Trustees and may be uploaded individually or as one single combined file. Board meeting minutes must be submitted by August 2, 2021.

[2020-2021 Signed Minutes FULL](#)

Filename: 2020 2021 Signed Minutes FULL.pdf **Size:** 10.5 MB

Entry 9 Enrollment & Retention

Completed Jul 22 2021

[Instructions for submitting Enrollment and Retention Efforts](#)

ALL charter schools must complete this section. Describe the good faith efforts the charter school has made in 2020-2021 toward meeting targets to attract and retain the enrollment of Students with Disabilities (SWDs), English Language Learners (ELLs), and students who are economically disadvantaged. In addition, describe the school's plans for meeting or making progress toward meeting its enrollment and retention targets in 2021-2022.

Entry 9 Enrollment and Retention of Special Populations

[Instructions for Reporting Enrollment and Retention Strategies](#)

Describe the efforts the charter school has made in 2020-2021 toward meeting targets to attract and retain enrollment of students with disabilities, English language learners, and students who are economically disadvantaged. In addition, describe the school's plans for meeting or making progress toward meeting its enrollment and retention targets in 2021-2022.

Recruitment/Attraction Efforts Toward Meeting Targets

	Describe Recruitment Efforts in 2020-2021	Describe Recruitment Plans in 2021-2022
	97% of our student population is eligible for Free or Reduced Price	

<p>Economically Disadvantaged</p>	<p>lunch. Our school is situated in the South Bronx in one of the poorest congressional districts in the country. We work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Since we opened a middle school during this school year, we were planful about middle school design and recruitment efforts to ensure that our seats are filled by our target population (especially economically disadvantaged.) Efforts included: Filling seats with mission fit students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p>	<p>Since our efforts have been effective we will continue to work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Efforts include: Filling seats with mission fit students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p>
<p>English Language Learners</p>	<p>16% of our student population are ELLs, the vast majority being Spanish speaking, which is comparable to our District (7). We publish all school recruitment material in Spanish and English in order to reach all families in our community. We employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Our school offers appropriate ELL services for all students required to participate in these services. For our new Middle School we continued to make application and/or orientation processes</p>	<p>Since our efforts have been effective we will continue to publish all school recruitment material in Spanish and English in order to reach all families in our community. Employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Offer appropriate ELL services for all students required to participate in these services. For Middle School we continue to make application and/or orientation processes accessible for non-English speaking</p>

	accessible for non-English speaking community members. Additional staff members have been added who bring experience with this population.	community members. Additional staff members have been added who bring experience with this population.
Students with Disabilities	24% of our students are classified as Students with Disabilities, which is comparable to our District (7). Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school.	Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school.

Retention Efforts Toward Meeting Targets

	Describe Retention Efforts in 2020-2021	Describe Retention Plans in 2021-2022
Economically Disadvantaged	97% of our population is economically disadvantaged and that number is mirrored closely in our surrounding community. We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. While designing our Middle School we collected input from our constituents to ensure the school's program met their educational priorities. Due to our efforts we successfully matriculated 98% of our Elementary School students of middle school age.	We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. Our goal is to successfully matriculate 100% of our Elementary School students of middle school age to middle school.
	Haven Academy will continue to	Haven Academy will continue to

English Language Learners	offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment.	offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment.
Students with Disabilities	Haven Academy has a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We worked closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. Our Middle School design included a process for academic programming that can be accessible to all students, regardless of disability. With appropriate supports, 99% of our IEP students are matriculated to our Middle School.	Haven Academy will continue to have a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We continue to work closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. With appropriate supports, 100% of our IEP students will be matriculated to our Middle School.

Entry 10 - Teacher and Administrator Attrition

Completed Jul 22 2021

Form for "Entry 10 - Teacher and Administrator Attrition" Revised to Employee Fingerprint Requirements Attestation

A. TEACH System - Employee Clearance

Charter schools must ensure that all prospective employees^[1] receive clearance through [the NYSED Office of School Personnel Review and Accountability](#) (OSPRA) prior to employment. **This includes paraprofessionals and other school personnel that are provided or assigned by the district of location, or related/contracted service providers.** After an employee has been cleared, schools are required to maintain proof of such clearance in the file of each employee. For the safety of all students, charter schools must take immediate steps to terminate the employment of individuals who have been denied clearance. Once the employees have been terminated, the school must terminate the request for clearance in the TEACH system.

In the Annual Report, charter schools are asked to confirm that all employees have been cleared through the NYSED TEACH system; and, if denied clearance, confirm that the individual or employee has been removed from the TEACH system, and is not employed by the school.

^[1] Employees that must be cleared include, but are not limited to, teachers, administrative staff, janitors, security personnel and cafeteria workers, and other staff who are present when children are in the school building. **This includes paraprofessionals and other school personnel that are provided or assigned by the district of location, as well as related/contracted service providers.** See NYSED memorandum dated October 1, 2019 at <http://www.p12.nysed.gov/psc/aboutcharterschools/lawsandregs/EmployeeFingerprintOct19.pdf> or visit the NYSED website at: <http://www.highered.nysed.gov/tsei/ospra/fingerprintingcharts.html> for more information regarding who must be fingerprinted. Also see, 8 NYCRR §87.2.

B. Emergency Conditional Clearances

Charter schools are **strongly discouraged** from using the emergency conditional clearance provisions for prospective employees. This is because the school must simultaneously request clearance through NYSED TEACH, and the school's emergency conditional clearance of the employee terminates automatically once the school receives notification from NYSED regarding the clearance request. Status notification is provided for all prospective employees through the NYSED TEACH portal within 48 hours after the clearance request is submitted. Therefore, at most, a school's emergency conditional clearance will be valid for only 48 hours after approval by the board.

Schools are not permitted to renew or in any way re-establish a prospective employee's emergency conditional clearance after status notification is sent by NYSED through the TEACH portal.

Schools are asked to attest that they have reviewed and understand these requirements. More information can be found in the memo at

<http://www.p12.nysed.gov/psc/aboutcharterschools/lawsandregs/EmployeeFingerprintOct19.pdf>.

Attestation

Responses Selected:

I hereby attest that the school has reviewed, understands, and will comply with these requirements.

Entry 11 Percent of Uncertified Teachers

Completed Jul 22 2021

Instructions

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY

The table below reflects the information collected through the online portal for compliance with New York State Education Law 2854(3)(a-1) for teaching staff qualifications. Uncertified teachers are those not certified pursuant to the State Certification Requirements established by the NYSED Commissioner of Education.

Enter the relevant full-time equivalent (FTE) count of teachers in each column. For example, a school with 20 full-time teachers and 5 half-time teachers would have an FTE count of 22.5.

Entry 11 Uncertified Teachers

School Name:

Instructions for Reporting Percent of Uncertified Teachers

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools

The table below reflects the information collected through the online portal for compliance with New York State Education Law 2854(3)(a-1) for teaching staff qualifications. Uncertified teachers are those not certified pursuant to the State Certification Requirements established by the NYSED Commissioner of Education. Enter the relevant full-time equivalent (FTE) count of teachers in each column. For example, a school with 20 full-time teachers and 5 half-time teachers would have an FTE count of 22.5.

If more than one line applies to a teacher, please include in only one FTE uncertified category. Please do not include paraprofessionals, such as teacher aides.

CATEGORY A. 30% OR 5 UNCERTIFIED TEACHERS WHICHEVER IS LESS

	FTE Count
i. FTE count of uncertified teacher with at least three years of elementary, middle or secondary classroom teaching experience (as of June 30, 2021)	5
ii. FTE count of uncertified teachers who are tenured or tenure track college faculty (as of June 30, 2021)	0
iii. FTE count of uncertified teachers with two years of Teach for America experience (as of June 30, 2021)	0
iv. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (as June 30, 2021)	0
Total Category A: 5 or 30% whichever is less	5.0

CATEGORY B. PLUS FIVE UNCERTIFIED TEACHERS IN MATHEMATICS, SCIENCE, COMPUTER SCIENCE, TECHNOLOGY OR CAREER AND TECHNICAL EDUCATION.

	FTE Count
i. FTE count of uncertified teacher with at least three years of elementary, middle or secondary classroom teaching experience (as of June 30, 2021)	5
ii. FTE count of uncertified teachers who are tenured or tenure track college faculty (as of June 30, 2021)	0
iii. FTE count of uncertified teachers with two years of Teach for America experience (as of June 30, 2021)	0
iv. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (as June 30, 2021)	0
Total Category B: not to exceed 5	5.0

CATEGORY C: PLUS 5 ADDITIONAL UNCERTIFIED TEACHERS

	FTE Count
i. FTE count of uncertified teacher with at least three years of elementary, middle or secondary classroom teaching experience (as of June 30, 2021)	4
ii. FTE count of uncertified teachers who are tenured or tenure track college faculty (as of June 30, 2021)	0
iii. FTE count of uncertified teachers with two years of Teach for America experience (as of June 30, 2021)	0
iv. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (as June 30, 2021)	0
Total Category C: not to exceed 5	4.0

CATEGORY D: TOTAL FTE COUNT OF UNCATEGORIZED, UNCERTIFIED TEACHERS

(Include teachers who do not fit in one of these categories or if did fit would exceed the numerical limits for that category)

	FTE Count
Total Category D	2

CATEGORY E: TOTAL FTE COUNT OF CERTIFIED TEACHERS

	FTE Count
Total Category E	37

CATEGORY F: TOTAL FTE COUNT OF ALL TEACHERS

Please do not include paraprofessionals, such as teacher aides.

	FTE Count
Total Category F	53



Thank you.

Entry 12 Organization Chart

Completed Jul 22 2021

[Instructions](#)

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY

Upload the 2020-2021 **Organization Chart**. The organization chart should include position titles and reporting relationships. Employee names should not appear on the chart

[Haven Org Chart 2020-21 \(3\)](#)

Filename: Haven Org Chart 2020 21 3.pdf **Size:** 88.7 kB

Entry 13 School Calendar

Completed Sep 14 2021

[Instructions for submitting School Calendar](#)

Required of ALL Charter Schools

Given these uncertain and changing times, charter schools may or may not have a school calendar ready to upload by the submission deadline this year of August 2, 2021. If the charter school has a tentative calendar based on available information and guidance at the time, please submit with the August 2nd submission. Charter schools will be able to upload an updated school calendar into the portal at any time but no later than **September 15, 2021**.

School calendars must meet the [minimum instructional requirements](#) as required of other public schools *"... unless the school's charter requires more instructional time than is required under the regulations."*

Board of Regents-authorized charter schools are required to submit school calendars that clearly indicate the start and end date of the instructional year AND the number of instructional hours and/or instructional days for each month.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[2021-2022 Simple School Calendar \(1\)](#)

Filename: 2021 2022 Simple School Calendar 1.pdf Size: 62.1 kB

Entry 14 Links to Critical Documents on School Website

Completed Jul 22 2021

Instructions

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY

By law, each charter school is required to maintain certain notices and policies listed on its website. Please insert the link from the school's website for each of the items:

1. Most recently filed Annual Report (i.e., 2019-2020 Annual Report);
2. Most recent board meeting notice, documents to be discussed at the meeting (if any), and webcast of Board meetings (if held virtually per Governor's Executive Order);
3. Link to New York State School Report Card;
4. Lottery Notice announcing date of lottery;
5. Authorizer-approved DASA Policy;
6. District-wide safety plan and Authorizer-approved Discipline Policy (as per August 29, 2019 [Emergency Response Plan Memo](#));
7. Authorizer-approved FOIL Policy; and
8. Subject matter list of FOIL records.
9. Link to School Reopening Plan

Form for Entry 14 Links to Critical Documents on School Website

School Name: **Mott Haven Academy Charter School**

Required of ALL Charter Schools noting that SUNY-authorized charter schools are not required to submit item 5: Authorizer-approved DASA policy

By law, each charter school is required to maintain certain notices and policies listed on its website. Please insert the link from the school's website for each of the items:

	Link to Documents
1. Most Recent Annual Report (i.e., 2019-20)	https://havenacademy.org/wp-content/uploads/2020/10/0000000086_202010300852.pdf
2. Most recent board meeting notice, documents to be discussed at the meeting (if any)	https://havenacademy.org/staff-board/board/
2a. Webcast of Board Meetings (per Governor's Executive Order)	https://meet.google.com/ivm-kgng-kmf
3. Link to NYS School Report Card	https://havenacademy.org/about-us/annual-accountability-reports/
4. Lottery Notice announcing date of lottery	https://havenacademy.org/join-us/students/
5. Authorizer-approved DASA Policy (For Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY);	https://havenacademy.org/resources/parent-resources/
6. District-wide Safety Plan	https://havenacademy.org/resources/parent-resources/
6a. Authorizer-Approved Discipline Policy (as per August 29, 2019 Emergency Response Plan Memo)	https://havenacademy.org/resources/parent-resources/
7. Authorizer-Approved FOIL Policy	https://havenacademy.org/resources/parent-resources/
8. Subject matter list of FOIL records	https://havenacademy.org/resources/parent-resources/

Thank you.





**MOTT HAVEN ACADEMY
CHARTER SCHOOL**

**FINANCIAL STATEMENTS AND
SUPPLEMENTARY INFORMATION**

JUNE 30, 2021 AND 2020

MOTT HAVEN ACADEMY CHARTER SCHOOL

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INDEPENDENT AUDITOR'S REPORT

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2021, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the School's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Haven Academy Charter School as of June 30, 2021, and the changes in its net assets (deficit) and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.



Other Matters

June 30, 2020 Financial Statements

The financial statements of Mott Haven Academy Charter School as of and for the year ended June 30, 2020 were audited by MBAF CPAs, LLC ("MBAF"), whose partners and professional staff joined BDO USA, LLP as of January 16, 2021, and has subsequently ceased operations. MBAF expressed an unmodified opinion on those statements in their report dated October 29, 2020.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, is presented for purposes of additional analysis and is not a required part of the financial statements. The accompanying schedule of expenditures of federal awards is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 25, 2021, on our consideration of Mott Haven Academy Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Mott Haven Academy Charter School's internal control over financial reporting and compliance.

BDO USA, LLP

New York, NY
October 25, 2021

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENTS OF FINANCIAL POSITION
JUNE 30, 2021 AND 2020

ASSETS	2021	2020
Cash	\$ 1,492,068	\$ 2,362,017
Cash - restricted	70,360	70,327
Grants and other receivables	849,965	272,855
Due from New York Foundling Hospital	738,867	-
Due from NYC Department of Education	3,368	56,663
Prepaid expenses and other assets	33,906	41,115
Property and equipment, net	<u>359,297</u>	<u>161,805</u>
TOTAL ASSETS	<u>\$ 3,547,831</u>	<u>\$ 2,964,782</u>
LIABILITIES AND NET ASSETS (DEFICIT)		
LIABILITIES		
Accounts payable and accrued expenses	\$ 677,295	\$ 218,291
Accrued salaries and other payroll related expenses	615,932	582,822
Due to New York Foundling Hospital	-	1,056,376
Loan payable - Paycheck Protection Program	<u>-</u>	<u>1,608,000</u>
TOTAL LIABILITIES	<u>1,293,227</u>	<u>3,465,489</u>
NET ASSETS (DEFICIT)		
Net assets (deficit) - without donor restrictions	2,146,420	(606,359)
Net assets - with donor restrictions	<u>108,184</u>	<u>105,652</u>
TOTAL NET ASSETS (DEFICIT)	<u>2,254,604</u>	<u>(500,707)</u>
TOTAL LIABILITIES AND NET ASSETS (DEFICIT)	<u>\$ 3,547,831</u>	<u>\$ 2,964,782</u>

See accompanying notes to the financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30, 2021 AND 2020

	2021	2020
CHANGES IN NET ASSETS WITHOUT DONOR RESTRICTIONS		
OPERATING REVENUE		
State and local per pupil operating revenue	\$ 10,164,588	\$ 9,876,053
Government grants and contracts	<u>904,929</u>	<u>833,108</u>
	<u>11,069,517</u>	<u>10,709,161</u>
EXPENSES		
Program services		
General education	8,470,684	8,500,222
Special education	2,582,209	2,413,894
Pre-K education	66,330	125,487
Management and general	762,404	828,343
Fundraising	<u>20,129</u>	<u>75,342</u>
	<u>11,901,756</u>	<u>11,943,288</u>
DEFICIT FROM SCHOOL OPERATIONS	<u>(832,239)</u>	<u>(1,234,127)</u>
SUPPORT AND OTHER INCOME		
Contributions and other grants	199,718	175,177
Forgiveness of debt, Paycheck Protection Program	1,608,000	-
New York Foundling Hospital contributions and grants	48,451	331,749
In-kind building lease and rent	573,143	346,437
Interest and other income	33	35
Net assets released from restrictions	<u>1,155,673</u>	<u>798,435</u>
	<u>3,585,018</u>	<u>1,651,833</u>
INCREASE IN NET ASSETS WITHOUT DONOR RESTRICTIONS	<u>2,752,779</u>	<u>417,706</u>
CHANGES IN NET ASSETS WITH DONOR RESTRICTIONS		
Contributions and other grants	354,205	446,000
New York Foundling Hospital contributions and grants	804,000	304,000
Net assets released from restrictions	<u>(1,155,673)</u>	<u>(798,435)</u>
INCREASE (DECREASE) IN NET ASSETS WITH DONOR RESTRICTIONS	<u>2,532</u>	<u>(48,435)</u>
CHANGE IN NET ASSETS	<u>2,755,311</u>	<u>369,271</u>
NET DEFICIT - BEGINNING OF YEAR	<u>(500,707)</u>	<u>(869,978)</u>
NET ASSETS (DEFICIT) - END OF YEAR	<u>\$ 2,254,604</u>	<u>\$ (500,707)</u>

See accompanying notes to the financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2021

	No. of Positions	Program Services				Supporting Services		2021
		General Education	Special Education	Pre-K Education	Total	Management and General	Fundraising	
Personnel service costs								
Administrative staff personnel	13	\$ 734,135	\$ 222,797	\$ -	\$ 956,932	\$ 294,433	\$ 16,171	\$ 1,267,536
Instructional personnel	85	4,368,323	1,335,690	31,922	5,735,935	-	-	5,735,935
Non-instructional personnel	6	163,224	46,870	10,823	220,917	10,823	-	231,740
Total salaries and staff	104	5,265,682	1,605,357	42,745	6,913,784	305,256	16,171	7,235,211
Payroll taxes and employee benefits		1,076,620	328,231	8,739	1,413,590	62,413	3,306	1,479,309
Retirement benefits		116,726	35,586	948	153,260	6,767	358	160,385
Legal services		-	-	-	-	3,000	-	3,000
Accounting and audit services		-	-	-	-	159,099	-	159,099
Other purchased, professional, and consulting services		139,478	42,632	139	182,249	2,168	-	184,417
Building lease and rent		813,632	248,053	6,605	1,068,290	49,666	-	1,117,956
In-kind building lease and rent		417,125	127,170	3,386	547,681	25,462	-	573,143
Repairs and maintenance		12,927	3,941	105	16,973	789	-	17,762
Insurance		40,909	12,472	332	53,713	2,497	-	56,210
Supplies and materials		131,330	40,134	201	171,665	1,509	-	173,174
Equipment and furnishings		8,409	2,533	38	10,980	739	2	11,721
Staff development		91,878	28,011	746	120,635	5,608	-	126,243
Marketing and recruitment		27,712	8,449	225	36,386	1,692	-	38,078
Technology		90,573	27,613	735	118,921	5,529	-	124,450
Food service		76,142	22,733	549	99,424	-	-	99,424
Student services		59,507	18,186	9	77,702	-	-	77,702
Office expense		16,639	5,073	135	21,847	123,538	292	145,677
Depreciation and amortization		84,320	25,707	684	110,711	5,148	-	115,859
Other		1,075	328	9	1,412	1,524	-	2,936
		\$ 8,470,684	\$ 2,582,209	\$ 66,330	\$ 11,119,223	\$ 762,404	\$ 20,129	\$ 11,901,756

See accompanying notes to the financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2020

	No. of Positions	Program Services				Supporting Services		2020
		General Education	Special Education	Pre-K Education	Total	Management and General	Fundraising	
Personnel service costs								
Administrative staff personnel	14	\$ 795,209	\$ 223,557	\$ -	\$ 1,018,766	\$ 327,584	\$ 61,448	\$ 1,407,798
Instructional personnel	87	4,335,152	1,232,942	73,244	5,641,338	-	-	5,641,338
Non-instructional personnel	7	225,321	64,274	10,462	300,057	10,462	-	310,519
Total salaries and staff	108	5,355,682	1,520,773	83,706	6,960,161	338,046	61,448	7,359,655
Payroll taxes and employee benefits		1,061,042	301,288	16,583	1,378,913	66,972	12,174	1,458,059
Retirement benefits		101,236	28,747	1,582	131,565	6,390	1,162	139,117
Accounting and audit services		-	-	-	-	207,711	-	207,711
Other purchased, professional, and consulting services		50,451	14,340	304	65,095	34,551	-	99,646
Building lease and rent		813,547	231,010	12,715	1,057,272	60,684	-	1,117,956
In-kind building lease and rent		252,106	71,586	3,940	327,632	18,805	-	346,437
Repairs and maintenance		14,379	4,083	225	18,687	1,073	-	19,760
Insurance		37,318	10,597	583	48,498	2,784	-	51,282
Supplies and materials		141,561	40,250	393	182,204	1,875	-	184,079
Equipment and furnishings		17,813	5,064	55	22,932	2,901	22	25,855
Staff development		165,243	46,940	1,959	214,142	9,352	-	223,494
Marketing and recruitment		32,071	9,106	501	41,678	2,392	-	44,070
Technology		62,761	17,850	-	80,611	-	-	80,611
Food service		154,817	43,961	2,420	201,198	11,548	-	212,746
Student services		183,235	52,101	429	235,765	2,050	-	237,815
Office expense		-	-	-	-	58,612	536	59,148
Depreciation		51,088	14,530	-	65,618	-	-	65,618
Other		5,872	1,668	92	7,632	2,597	-	10,229
		\$ 8,500,222	\$ 2,413,894	\$ 125,487	\$ 11,039,603	\$ 828,343	\$ 75,342	\$ 11,943,288

See accompanying notes to the financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENTS OF CASH FLOWS
FOR THE YEARS ENDED JUNE 30, 2021 AND 2020

	2021	2020
CASH FLOWS FROM OPERATING ACTIVITIES		
Cash received from operating revenue	\$ 9,806,835	\$ 10,878,690
Other cash received	1,979,550	1,603,398
Cash paid to employees and suppliers	<u>(12,342,950)</u>	<u>(11,939,520)</u>
NET CASH (USED IN) PROVIDED BY OPERATING ACTIVITIES	<u>(556,565)</u>	<u>542,568</u>
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of property and equipment	<u>(313,351)</u>	<u>(83,754)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Proceeds from Paycheck Protection Program loan payable	<u>-</u>	<u>1,608,000</u>
NET (DECREASE) INCREASE IN CASH	(869,916)	2,066,814
CASH AND CASH - RESTRICTED - BEGINNING OF YEAR	<u>2,432,344</u>	<u>365,530</u>
CASH AND CASH - RESTRICTED - END OF YEAR	<u>\$ 1,562,428</u>	<u>\$ 2,432,344</u>
Reconciliation of change in net assets to net cash (used in) provided by operating activities:		
Change in net assets	\$ 2,755,311	\$ 369,271
Adjustments to reconcile change in net assets to net cash (used in) provided by operating activities:		
Depreciation and amortization	115,859	65,618
Forgiveness of debt, Paycheck Protection Program	(1,608,000)	-
Changes in operating assets and liabilities:		
Grants and other receivables	(577,110)	199,324
Due from New York Foundling Hospital	(738,867)	-
Due from NYC Department of Education	53,295	(29,795)
Prepaid expenses and other assets	7,209	21,354
Accounts payable and accrued expenses	459,004	(43,835)
Accrued salaries and other payroll related expenses	33,110	53,794
Due to New York Foundling Hospital	<u>(1,056,376)</u>	<u>(93,163)</u>
NET CASH (USED IN) PROVIDED BY OPERATING ACTIVITIES	<u>\$ (556,565)</u>	<u>\$ 542,568</u>
Cash and cash - restricted consist of:		
Cash	\$ 1,492,068	\$ 2,362,017
Cash - restricted	<u>70,360</u>	<u>70,327</u>
Total	<u>\$ 1,562,428</u>	<u>\$ 2,432,344</u>

See accompanying notes to the financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

1. NATURE OF THE ORGANIZATION

Mott Haven Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on January 15, 2008 to operate a charter school pursuant to Article 56 of the Educational Law of the State of New York. The School was granted a provisional charter on January 15, 2008, valid for a term of five years, by the Board of Regents of the University of the State of New York. The charter was renewed through June 30, 2025.

The School opened its doors in the fall of 2008 in South Bronx with a rigorous academic program and a highly structured and supportive school culture. While the School is comprised of students from many backgrounds, it is uniquely designed to meet the needs of at-risk students who receive foster care and prevention services through the New York City child welfare system.

The School is exempt from federal income tax under Section 501(a) of the Internal Revenue Code ("IRC") as an organization described in Section 501(c)(3) of the IRC and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) of the IRC and qualifies for deductible contributions as provided in Section 170(b)(1)(A)(ii) of the IRC.

The School operates classes for students in kindergarten through eighth grade. The eighth grade was added in fiscal year 2020. The School also has a Pre-K program named "Little Haven" which is funded by the New York City Department of Education ("NYCDOE").

2. SIGNIFICANT ACCOUNTING POLICIES

Financial Statement Presentation

The School's financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP").

The classification of the School's net assets and its support, revenues, and expenses is based on the existence or absence of donor-imposed restrictions. It requires that the amounts for each of the two classes of net assets – with donor restrictions or without donor restrictions – be displayed in a statement of financial position and that the amount of change in each of those classes of net assets be displayed in a statement of activities.

These classes are defined as follows:

Net Assets with Donor Restrictions consist of contributions and other inflows of assets whose use is subject to donor-imposed restrictions that are more specific than broad limits reflecting the nature of the not-for-profit entity, the environment in which it operates and the purposes specified in its articles of incorporation or bylaws or comparable documents. Donor-imposed restrictions may be temporary in nature, such as stipulating that resources may be used only after a specified date or limited to specific programs or services. Certain donor-imposed restrictions are perpetual in nature.

Net Assets without Donor Restrictions consist of contributions and other inflows of assets whose use is not subject to donor-imposed restrictions. This net asset category includes both contributions not subject to donor restrictions and exchange transactions, and are, therefore, available for general operations.

The School had \$108,184 and \$105,652 of net assets with donor restrictions at June 30, 2021 and 2020, respectively.

Cash – Restricted

An escrow account in the amount of \$70,360 and \$70,327 was held aside under the provisions of the School's charter to pay for legal and audit expenses that would be associated with a dissolution should it occur, as required by the New York State Education Department as of June 30, 2021 and 2020, respectively.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Grants and Other Receivables

Grants and other receivables represent unconditional promises to give by donors. Grants and other receivables that are expected to be collected within one year and are recorded at net realizable value are \$849,965 and \$272,855 at June 30, 2021 and 2020, respectively. The School determined that no allowance for uncollectible accounts for grants and other receivables is necessary at June 30, 2021 and 2020. Such estimate is based on management's assessments and historical information, the aged basis of its receivables, as well as current economic conditions.

Contributions

Transfers of cash or other assets or settlement of liabilities that are both voluntary and nonreciprocal are recognized as contributions.

Contributions may either be conditional or unconditional. A contribution is considered conditional when the donor imposes both a measurable barrier and a right of return. Conditional contributions are recognized as revenue on the date all donor-imposed barriers are overcome or explicitly waived by the donor. Barriers may include specific and measurable outcomes, limitations on the performance of an activity and other stipulations related to the contribution. A donor has a right of return of any assets transferred or a right of release of its obligation to transfer any assets in the event the School fails to overcome one or more barriers. Assets received before the barrier is overcome are accounted for as refundable advances.

Unconditional contributions may or may not be subject to donor-imposed restrictions. Donor-imposed restrictions limit the use of the donated assets as to time or purpose restrictions.

Contributions subject to donor restrictions are recognized in changes in net assets with donor restrictions. When a purpose restriction is satisfied or when a time restriction expires, the contribution is reported as net assets released from restrictions and is recognized in changes in net assets without donor restrictions in the statement of activities.

Revenue Recognition

Per-Pupil Revenue

The School recognizes revenues from per-pupil funding in the fiscal year in which the academic programs are provided. Per-pupil revenue is billed and received based on the total number of full-time equivalent (FTE) students and the basic charter school tuition rate for the school district of residence of the students attending the School in any given fiscal year for general education and special education. The FTE is formula-driven and based on the number of days the student has been with the School as a proportion of the number of days in the entire school year (the calculation is done by using the New York State calculator online). The School's total student population includes general education and special education students. The School has determined that revenue from its students has the same performance obligations, types of contract, and services rendered. As a result, the student body is viewed as one customer base for revenue purposes. The School uses a portfolio approach to account for per-pupil contracts as a collective group rather than recognizing revenue on an individual-contract basis. The School believes that revenue recognized by utilizing the portfolio approach approximates the revenue that would have been recognized if an individual contract approach were used.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Revenue Recognition (continued)

Per-Pupil Revenue (continued)

Per-pupil invoicing is managed on a bi-monthly basis to the funding source (local school district). Billing is a function of student enrollment for the upcoming fiscal year, which is the basis for the first two invoices per-pupil due June 1st and July 31st, which is a projection. Subsequent invoices are due bi-monthly. With the implementation of an automated-invoicing process through a dedicated website, the submission of each invoice is done online. After the year is complete, the School submits the FTE per-pupil reconciliation, listing every student who attended any part of the year, and the FTE each represents. Based on this final count, it calculates how much should have been paid to the School and included in the reconciliation will be any amounts due from the funding source included in grants and other receivables on the statement of financial position at year end, or any amounts payable to the funding source included as a liability on the statement of financial position at year end, as amounts are trued up to actual based on actual numbers submitted at year end.

Additional funding is also provided to support special education services. All students who are identified to need special education services or settings have an Individualized Education Program (“IEP”), formalized for his or her unique needs. Based on this IEP, the student is categorized into one of three levels of service: 0-20% service, 20-60% service, or 60% or more service required and provided by the School. For a student receiving less than 20% in services, no additional funding is received. For a student receiving services between 20% and 60% and 60% or more services of the school day, additional funding per FTE is received. Billing for this support is incorporated into the per-pupil invoices and is also settled in the same FTE per-pupil reconciliation process.

As the students receive the benefit of these services simultaneously as the School is providing them, the School recognizes per-pupil revenue from these services over time. The School believes that this method provides a reasonable depiction of the transfer of services over the term of the performance obligation based on the services needed to satisfy the obligation. Generally, performance obligations satisfied over time relate to students receiving academic or school services. The School measures the performance obligation from admission or enrollment into the School to the point when the student is discharged or the end of the school year where it is no longer required to provide services to the student, which is generally at the time of discharge or the completion of the school year. All of these services are bundled and considered a single-performance obligation, and as such, the School accounts for these bundled-performance obligations under state and local per pupil operating revenue in the statement of activities and recognizes the per-pupil revenue over time.

Government Grants

Revenue from federal, state, and local government grants and contracts is recognized by the School when qualifying expenditures are incurred and billable to the government, or when required services have been provided.

Contract Assets and Contract Liabilities

In accordance with Accounting Standards Codification (ASC) 606, contract assets are to be recognized when an entity has the right to receive consideration in exchange for goods or services that have been transferred to a customer when that right is conditional on something other than the passage of time. The School does not recognize contract assets, as the right to receive consideration is unconditional in accordance with the passage of time criteria. Also, in accordance with ASC 606, contract liabilities are to be recognized when an entity is obligated to transfer goods or services for which consideration has already been received. The School does not receive consideration prior to the transfer of goods or services and, therefore, does not recognize contract liabilities.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Contributions of Nonfinancial Assets

The School receives contributed goods and services that are an integral part of its operations. Such support is recorded as contributions in-kind, at their fair value, provided it meets the criteria for recognition. In-kind contributions consist of rent subsidies and are reflected as both income and expense in the accompanying financial statements.

Property and Equipment

Property and equipment are stated at cost and are depreciated on the straight-line method over the estimated useful lives of the assets. Leasehold improvements are amortized over the lesser of the useful life of the asset or the lease term. The School has established a \$1,000 threshold above which assets are evaluated to be capitalized. Property and equipment acquired with certain government contract funds is recorded as an expense pursuant to the terms of the contract in which the government funding source retains ownership of the property. Maintenance and repairs are charged to expense as incurred; major renewals and betterments are capitalized, based on the established threshold.

Impairment

The School reviews long-lived assets to determine whether there has been any permanent impairment whenever events or circumstances indicate the carrying amount of an asset may not be recoverable. If the sum of the expected future undiscounted cash flows is less than the carrying amount of the assets, the School recognizes an impairment loss. No impairment losses were recognized for the years ended June 30, 2021 and 2020.

Advertising

The School expenses advertising costs as incurred. The School incurred \$38,078 and \$44,070 of advertising costs for the years ended June 30, 2021 and 2020, respectively, which is included in the accompanying statements of functional expenses under marketing and recruitment.

Functional Allocation of Expenses

The costs of providing programs and other activities have been summarized on a functional basis in the accompanying statement of activities. Accordingly, certain costs have been allocated among the respective programs and activities according to the functional categories, as follows:

Program Services – This category represents expenses related to general education and special education for certain students requiring additional attention and guidance. These costs are allocated based on the FTE allocation method.

Management and General – This category represents expenses related to the overall administration and operation of the School that are not specific to any program services or development. These costs are allocated based on the FTE allocation method.

Estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Reclassifications

Certain accounts in the June 30, 2020 financial statements have been reclassified to conform to the June 30, 2021 presentation. These reclassifications had no effect on net income or net assets.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Subsequent Events

The School has evaluated events through October 25, 2021, which is the date the financial statements were available to be issued.

Income Taxes

The School is exempt from federal, state and local income taxes under Section 501(c)(3) of the Internal Revenue Code (the "IRC") and, therefore, has made no provision for income taxes in the accompanying financial statements. In addition, the School has been determined by the Internal Revenue Service not to be a "private foundation" within the meaning of Section 509(a) of the IRC. There was no unrelated business income for the year ended June 30, 2021.

Under U.S. GAAP, an organization must recognize the tax benefit associate with tax positions taken for tax-return purposes when it is more likely than not that the position will not be sustained upon examination by a taxing authority. The School does not believe it has taken any material uncertain tax positions and, accordingly, it has not recorded any liability for unrecognized tax benefits. The School is subject to routine audits by a taxing authority. As of June 30, 2021, the School was not subject to any examination by a taxing authority.

Adopted Accounting Pronouncement

Revenue from Contracts with Customers (Topic 606)

During the year ended June 30, 2021, the School adopted Accounting Standards Update (ASU) 2014-09, *Revenue from Contracts with Customers* (Topic 606), as of July 1, 2020 using the modified-retrospective approach. This guidance requires an entity to recognize revenue to depict the transfer of promised goods and services to customers in an amount that reflects the consideration to which the entity expects to be entitled in exchange for these goods or services. The School's revenue is derived primarily from per-pupil revenue for services provided to students. Per-pupil revenues are recognized as revenue over the course of the academic school year or program for which it is earned. The adoption of ASU 2014-09 did not result in a material change to the timing of when revenue is recognized.

Recent Accounting Pronouncements

Lease Accounting

In February 2016, the Financial Accounting Standards Board ("FASB") issued ASU 2016-02, *Leases*, which will require lessees to recognize a lease liability, which is a lessee's obligation to make lease payments arising from a lease, measured on a discounted basis; and a right-of-use asset, which is an asset that represents the lessee's right to use, or control the use of, a specified asset for the lease term. The standard is effective for non-public business entities for fiscal years beginning after December 15, 2021. Th School is currently evaluating the impact of the adoption of ASU 2016-02.

Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets

In September 2020, the FASB issued ASU 2020-07, *Presentation and Disclosures by Not-For-Profit Entities for Contributed Nonfinancial Assets* (Topic 958). The update requires not-for-profits to present contributed nonfinancial assets as a separate line item on the statement of activities, and to disclose information regarding each type of contributed nonfinancial assets. The update is effective for financial statements issued for fiscal years beginning after June 15, 2021, and interim periods within fiscal years beginning after June 15, 2022, with early application permitted. The School is currently evaluating the impact of the adoption of ASU 2020-07.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2021 AND 2020

3. LIQUIDITY AND AVAILABILITY OF RESOURCES

The School maintains a policy of structuring its financial assets to be available as its general expenditures, liabilities and other obligations come due.

The School's financial assets available within one year of the statements of financial position date for general expenditures are as follows:

June 30,	2021	2020
Cash	\$ 1,492,068	\$ 2,362,017
Cash – restricted	70,360	70,327
Due from New York Foundling Hospital	738,867	-
Grants and other receivables	849,965	272,855
Due from NYC Department of Education	<u>3,368</u>	<u>56,663</u>
Total financial assets	3,154,628	2,761,862
Less amounts unavailable for general expenditures within one year due to:		
Restricted by contract	(70,360)	(70,327)
Restricted by donors with purpose restrictions	(58,184)	(55,652)
Restricted by donors with time restrictions	<u>(50,000)</u>	<u>(50,000)</u>
Total financial assets available to management for general expenditures within one year	<u>\$ 2,976,084</u>	<u>\$ 2,585,883</u>

The School had a surplus of approximately \$2,755,000 and \$369,000 for the years ending June 30, 2021 and 2020. The New York Foundling Hospital ("NY Foundling"), a related party that shares board members with the School, is committed to provide financial support through November 2022.

NY Foundling subsidizes rent and facility costs (see Note 4). In addition, NY Foundling provided contributions and grants to the School totaling \$1,425,594 and \$635,749 for the years ending June 30, 2021 and 2020, respectively.

4. RELATED PARTY

The School is located at 170 Brown Place, Bronx, New York, 10454. The new LEED certified, environmentally friendly building is leased by NY Foundling. The School subleases a portion of the building from NY Foundling. NY Foundling occupies the remaining space in the building. NY Foundling is a related party and shares two board members with the School.

The School is obligated under a non-cancelable operating sublease for office and classroom space, which originally was to expire on August 31, 2020 but was amended and renewed through August 31, 2025.

Future minimum lease payments are as follows for the years ending June 30,:

2022	\$ 1,117,956
2023	1,117,956
2024	1,117,956
2025	1,117,956
2026	<u>465,815</u>
	<u>\$ 4,937,639</u>

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2021 AND 2020

4. RELATED PARTY (CONTINUED)

For the years ending June 30, 2021 and 2020, the School incurred building lease and rent costs of \$1,691,099 and \$1,464,393, respectively, of which \$573,143 and \$346,437 was contributed by NY Foundling as a subsidy. Such subsidy has been recorded as contributions in-kind at fair value. These amounts are reflected as both income and expense in the accompanying financial statements. The School owed NY Foundling approximately \$186,000 and \$1,056,000 in building lease and rent costs as of June 30, 2021 and 2020, respectively.

5. PROPERTY AND EQUIPMENT

Property and equipment consist of the following as of June 30,:

	2021	2020	Estimated Useful Lives
Furniture and fixtures	\$ 335,102	\$ 331,882	7 years
Computer hardware and software	537,819	447,627	3 years
Musical instruments	12,470	12,470	3 years
			Lesser of the useful life of the asset or the
Leasehold improvements	160,752	158,526	lease term
Equipment	<u>263,271</u>	<u>189,577</u>	3 years
	1,309,414	1,140,082	
Less: accumulated depreciation	<u>(950,117)</u>	<u>(978,277)</u>	
	<u>\$ 359,297</u>	<u>\$ 161,805</u>	

Depreciation expense for the years ended June 30, 2021 and 2020 was \$115,859 and \$65,618, respectively.

6. LOAN PAYABLE - PAYCHECK PROTECTION PROGRAM

In May 2020, the School received a loan in the amount of \$1,608,000 through the Paycheck Protection Program of the 2020 CARES Act ("PPP") administered by the Small Business Administration ("SBA"). The loan is due on May 13, 2022 and bears interest at 1 percent. Monthly payments of principal and interest commence on December 5, 2020. The School may prepay the loan at any time prior to maturity with no prepayment penalties. Funds from the loan may only be used for certain purposes, including payroll, benefits, rent, and utilities. Under the terms of the PPP, all or a portion of the loan may be forgiven based on certain requirements being met. On June 14, 2021, the School received full forgiveness of the loan from the SBA.

7. EMPLOYEE BENEFITS

The School adopted a 401(k) retirement plan (the "Plan") which covers most of the employees. The Plan is a defined contribution plan. Employees are eligible to enroll in the Plan either the first day of the Plan year or the first day of the seventh month of the Plan year. Those employees who have completed at least one full year of service are also eligible for employer contributions. The Plan provides for the School to contribute up to 4% of participating employee salaries. The School contribution becomes fully vested after the first year. For the years ended June 30, 2021 and 2020, employer contribution expense for the School was \$160,385 and \$139,117, respectively, which is included in retirement benefits in the accompanying statements of functional expenses.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2021 AND 2020

8. RISK MANAGEMENT

- A. The School is exposed to various risks of loss related to torts; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters. The School maintains commercial insurance to help protect itself from such risks. The School also intends to defend its positions on these matters. As of June 30, 2021, there are no matters for which the School believes the ultimate outcome would have a material adverse effect on the School's financial position.
- B. The School entered into contractual relationships with certain governmental funding sources. The governmental agencies may request return of funds as a result of noncompliance by the School, as well as additional funds for the use of facilities. The accompanying financial statements make no provision for the possible disallowance or refund. The School is of the opinion that such cost disallowances, if any, will not have a material effect in the School's financial statements.
- C. On March 27, 2020, the Coronavirus Aid, Relief, and Economic Security Act ("CARES") was signed into law in response to the coronavirus (COVID-19) pandemic. The CARES Act includes many measures to provide relief to companies and organizations. Under the CARES Act, the Local Education Agencies (LEAs) received funds from the Elementary and Secondary School Emergency Relief ("ESSER") fund to provide equitable services to students and teachers in non-public schools. The School was granted funds to defray the COVID-19 outbreak costs under the aforementioned ESSER fund during the year ended June 30, 2021.

9. CONCENTRATIONS

Financial instruments that potentially subject the School to a concentration of credit risk include cash accounts at a major financial institution that, at times, exceeded the Federal Deposit Insurance Corporation insured limit of \$250,000.

The School received approximately 69% and 80% of its total revenue from per pupil funding from the NYCDOE during the years ending June 30, 2021 and 2020, respectively. The School received approximately 10% and 5% of its total revenue from NY Foundling during both the years ending June 30, 2021 and 2020.

10. NET ASSETS WITH DONOR RESTRICTIONS

Net assets with donor restrictions are purpose and time restricted and consist of the following at June 30,:

	2021	2020
Family coordinator and school nurse	\$ 5,048	\$ 32,052
Haven Kids rock music program	29,512	23,600
Mental health services	12,624	-
COVID-19	11,000	-
Time restriction - 2022	50,000	-
Time restriction - 2021	-	50,000
	<u>\$ 108,184</u>	<u>\$ 105,652</u>

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2021 AND 2020

10. NET ASSETS WITH DONOR RESTRICTIONS (CONTINUED)

Net assets were released from restrictions during the years ended June 30, 2021 and 2020 by incurring expenses and the passing of time, thus satisfying the restrictions as follows:

	2021	2020
Alumni support and college readiness	\$ 300,000	\$ -
Family coordinator and school nurse	152,504	173,522
Mental health services	187,375	-
Support services/Social worker and behavior specialist	126,000	126,000
After school program	178,000	178,000
COVID-19	60,000	-
Blended literacy program	45,000	-
Haven Kids rock music program	21,794	50,913
Arts and music	30,000	-
Academic tutoring	5,000	-
Playground equipment	-	10,000
Dissemination project	-	150,000
Remote learning	-	30,000
Time restriction – 2021	50,000	-
Time restriction – 2020	-	80,000
	<u>\$ 1,155,673</u>	<u>\$ 798,435</u>

SUPPLEMENTARY INFORMATION

MOTT HAVEN ACADEMY CHARTER SCHOOL
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2021

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	PROVIDED TO SUBRECIPIENTS	FEDERAL EXPENDITURES
<u>U.S. Department of Education:</u>				
Passed through the New York State Education Department:				
Title I - Grants to Local Educational Agencies (Title I Part A of the ESEA)	84.010	Not Applicable	\$ - \$	277,300
Title II - Supporting Effective Instruction State Grants	84.367	Not Applicable	-	38,752
Title IV - Student Support and Academic Enrichment Program	84.424	Not Applicable	-	21,519
Elementary and Secondary School Emergency Relief Fund (ESSER)	84.425D	Not Applicable	-	259,581
Special Education Cluster (IDEA):				
Education - Grants to States (IDEA, Part B)	84.027	Not Applicable	-	69,033
Charter Schools Programs - Response to COVID-19 Grants	84.424	Not Applicable	-	49,950
Total U.S. Department of Education				716,135
<u>U.S. Department of Agriculture:</u>				
Passed through the New York State Education Department:				
School Breakfast Program	10.553	Not Applicable	-	32,862
National School Lunch Program	10.555	Not Applicable	-	58,972
Fresh Fruit and Vegetable Program	10.582	Not Applicable	-	20,520
Total U.S. Department of Agriculture				112,354
Total Expenditures of Federal Awards			\$ - \$	828,489

See accompanying notes to this schedule.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
JUNE 30, 2021

1. BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal award activity of Mott Haven Academy Charter School under programs of the federal government for the year ended June 30, 2021. The information in this Schedule is presented in accordance with the requirements of Title 2 *U.S. Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* ("Uniform Guidance"). Because the Schedule presents only a selected portion of the operations of the School, it is not intended to and does not present the financial position, changes in net assets or cash flows of the School.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or limited as to reimbursement.

3. INDIRECT COST RATE

The School has elected not to use the 10% de minimis indirect cost rate allowed under the Uniform Guidance.



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Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With Government Auditing Standards

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2021, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements and have issued our report thereon dated October 25, 2021.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting ("internal control") as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the School's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

BDO USA, LLP

New York, NY
October 25, 2021



Tel: 212-576-1400
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www.bdo.com

600 Third Avenue, 3rd Floor
New York, NY 10016

Independent Auditor's Report on Compliance for Each Major Federal Program and Report on Internal Control Over Compliance Required by the Uniform Guidance

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

Report on Compliance for Each Major Federal Program

We have audited Mott Haven Academy Charter School's (the "School") compliance with the type of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the School's major federal programs for the year ended June 30, 2021. The School's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the School's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the School's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the School's compliance.

Opinion on Each Major Federal Program

In our opinion, the School compiled, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.

Report on Internal Control Over Compliance

Management of the School is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the School's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the School's internal control over compliance.



Report on Internal Control Over Compliance (continued)

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

BDO USA, LLP

New York, NY
October 25, 2021

MOTT HAVEN ACADEMY CHARTER SCHOOL

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2021

1. SUMMARY OF AUDITOR'S RESULTS

Financial Statements

Type of report the auditor issued on whether the financial statements audited were prepared in accordance with generally accepted accounting principles:

Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? Yes No
- Significant deficiency(ies) identified? Yes None reported

Noncompliance material to financial statements noted? Yes No

Federal Awards

Internal control over major federal programs:

- Material weakness(es) identified? Yes No
- Significant deficiency(ies) identified? Yes None reported

Type of auditor's report issued on compliance for major federal programs:

Unmodified

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200 516(a)? Yes No

Identification of major federal programs:

CFDA Number	Name of Federal Program or Cluster
84.010	Title IA - Grants to Local Education Agencies
84.425D	Elementary and Secondary School Emergency Relief Fund (ESSER)

Dollar threshold used to distinguish between type A and type B programs: \$750,000

Auditee qualified as low-risk auditee? Yes No

2. FINANCIAL STATEMENT FINDINGS

There were no findings related to the financial statements that are required to be reported in accordance with generally accepted government auditing standards.

MOTT HAVEN ACADEMY CHARTER SCHOOL

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2021

3. FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

There were no findings and questioned costs for federal awards (as defined in 2 CFR 200.516(a)) that are required to be reported.

October 25, 2021

BDO USA, LLP
600 Third Avenue 3rd Floor,
New York, New York 10016

Ladies and gentlemen:

We are providing this letter in connection with your audit of the statement of financial position as of June 30, 2021, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, for the purpose of expressing an opinion as to whether the financial statements present fairly, in all material respects, the financial position, changes in net assets, and cash flows of Mott Haven Academy Charter School (the "School") in conformity with accounting principles generally accepted in the United States of America. We confirm that we are responsible for the preparation and fair presentation in the financial statements of financial position, changes in net assets, and cash flows in conformity with accounting principles generally accepted in the United States of America.

Certain representations in this letter are described as being limited to matters that are material. Items are considered material, regardless of size, if they involve an omission or misstatement of accounting information that, in the light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

We confirm, to the best of our knowledge and belief, as of the date of this representation letter, as entered on the first page, the following representations made to you during your audit:

- (1) We have fulfilled our responsibilities, as set out in the terms of the audit engagement letter dated September 9, 2021, for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America.
- (2) We have fulfilled our responsibility, as set out in the terms of the aforementioned audit engagement letter, for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

- (3) The financial statements include all assets and liabilities under the entity's control.
- (4) We have made available to you:
- (a) All financial records, and related data and federal awards (including amendments, if any, and any other correspondence with federal agencies or pass-through entities relevant to federal programs and related activities), including the names of all related parties and all relationships and transactions with related parties, as agreed upon in the terms of the aforementioned audit engagement letter.
 - (b) All additional information that you have requested from us for the purpose of the audit.
 - (c) Unrestricted access to persons within the entity from whom you determined it necessary to obtain audit evidence.
 - (d) Minutes of the meetings of directors and committees of directors that were held from (beginning of the period under audit) to the date of this letter, or summaries of actions of recent meetings for which minutes have not yet been prepared.
- (5) There have been no communications from regulatory agencies concerning noncompliance with or deficiencies in financial reporting practices.
- (6) There are no material transactions that have not been properly recorded in the accounting records underlying the financial statements or schedule of expenditures of federal awards (SEFA). The financial statement misstatements relating to accounts and disclosures identified and discussed with us in the course of the audit that are included in the attached schedule of corrected misstatements, have been corrected. We have evaluated the propriety of the corrected misstatements based on a review of both the applicable authoritative literature and the underlying supporting evidence from our files and confirm our responsibility for the decision to correct them.
- (7) We acknowledge our responsibility for the design, implementation, and maintenance of internal control to prevent and detect fraud or noncompliance. We have disclosed to you the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud or noncompliance. We have no knowledge of any:
- (a) Fraud or suspected fraud involving management or involving employees who have significant roles in internal control, whether or not perceived to have a material effect on the financial statements.
-

- (b) Fraud or suspected fraud involving others where the fraud could have a material effect on the financial statements.
 - (c) Allegations of fraud or suspected fraud affecting the School received in communications from employees, former employees, regulatory agencies, grantors, law firms, predecessor accounting firms, or others.
 - (d) Instances of noncompliance or suspected noncompliance with provisions of laws, regulations, contracts or grant agreements, or abuse, whose effects, both quantitatively and qualitatively, should be considered when preparing the financial statements.
- (8) We have no plans or intentions that may materially affect the carrying value or classification of assets, liabilities, or net asset balances.
- (9) The following, where applicable and material, have been properly recorded or disclosed in the financial statements:
- (a) The identity of all related parties and all related party relationships and transactions of which we are aware (e.g., transactions with unconsolidated subsidiaries; affiliates under common control with the entity or that are directly or indirectly controlled by the entity; directors, management, and members of their immediate families), including sales, purchases, loans, transfers, leasing arrangements, and guarantees, and amounts receivable from or payable to related parties.
 - (b) Arrangements with financial institutions involving compensating balances or other arrangements involving restrictions on cash balances and line-of-credit or similar arrangements.
 - (c) All derivative instruments and any embedded derivative instruments that require bifurcation, in accordance with FASB ASC 815, *Derivatives and Hedging*.
 - (d) Guarantees, whether written or oral, under which Mott Haven Academy Charter School is contingently liable.
 - (e) Significant estimates and material concentrations known to management that are required to be disclosed in accordance with FASB ASC 275-10, *Risk and Uncertainties - Overall*. In that regard, all accounting estimates that could be material to the financial statements, including key factors and significant assumptions underlying those estimates, have been identified, and we believe the estimates are reasonable in the circumstances. The methods, significant assumptions, and the data used in making the accounting estimates and the related disclosures are appropriate to achieve recognition, measurement, and disclosure that is in accordance with accounting principles generally accepted
-

in the United States of America (other comprehensive basis of accounting). (Significant estimates are estimates at the statement of financial position (balance sheet) date that could change materially within the next year. Concentrations refer to volumes of business, revenues, available sources of supply, or markets or geographic areas for which events could occur that would significantly disrupt normal finances within the next year.).

(f) The effects of all known actual or possible litigation, claims, and other liabilities or gain or loss contingencies that are required to be accrued or disclosed by FASB ASC 450, *Contingencies*, including:

- Pending or anticipated tax assessments or refunds, other potential or pending claims, lawsuits by or against any branch of government or others;
- Written or oral guarantees, endorsements, or unused letters of credit;
- Unusual warranties or guarantees; or
- Labor claims or negotiations.

FASB ASC 450-20, *Loss Contingencies*, requires loss contingencies to be accrued if it is probable an asset has been impaired or a liability incurred at the statement of financial position date and the amount of loss can be reasonably estimated. Such contingencies must be disclosed, but may not be accrued, if the loss is reasonably possible (but not probable) or the loss is probable but the amount of loss cannot be reasonably estimated.

(g) Commitments, such as:

- Major fixed asset purchase agreements;
- More-than-one-year employment arrangements or contracts with suppliers or customers, or one-year-or-longer term leases;
- Deferred compensation, bonuses, pension and profit-sharing plans, or severance pay; or
- Pending sale or merger of all or a portion of the business or of an interest therein or acquisition of all or a portion of the business, assets or securities of another entity;

(h) Joint ventures or other participations, the detailed transactions of which are not carried on our books.

(i) Foreign currency transaction gains or losses, as well as translation of foreign currency financial statements.

- (10) There are no:
- (a) Violations or possible violations of laws or regulations and provisions of contracts and grant agreements (including the failure to file reports required by regulatory bodies (e.g., EPA, OCC, FDIC, DOL, Medicare, U.S. Customs Service, HIPAA, IRS, Dept. of Commerce, state and municipal authorities) when the effects of failing to file could be material to the financial statements) whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency.
 - (b) Unasserted claims or assessments that our lawyer has advised us are probable of assertion and must be disclosed in accordance with FASB ASC 450.
 - (c) Side agreements or other arrangements (either written or oral) that have not been disclosed to you.
 - (d) Designation of net assets disclosed to you that were not properly authorized and approved, or reclassifications of net assets that have not been properly reflected in the financial statements.
- (11) Receivables recorded in the financial statements represent valid claims against debtors or grantors for sales, contributions, pledges, or other charges arising on or before the statement of financial position date and have been appropriately reduced to their estimated net realizable value.
- (12) During the fiscal year ended June 30, 2021, the NYCDOE owed the School Per Pupil, Title I, Title II, Title IV, CSP, and ESSER grant funds totaling \$589,949.
- (13) With regard to items reported at fair value: (a) the underlying assumptions are reasonable and they appropriately reflect management's intent and ability to carry out its stated courses of action, (b) the measurement methods and related assumptions used in determining fair value are appropriate in the circumstances and have been consistently applied, (c) the disclosures related to fair values are complete, adequate, and in conformity with U.S. GAAP and (d) there are no subsequent events that require adjustments to the fair value measurements and disclosures included in the financial statements.
- (14) Mott Haven Academy Charter School has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets nor has any asset been pledged as collateral.
- (15) We have complied with all aspects of grant agreements and other contractual agreements, including debt covenants, that would have a material effect on the financial statements in the event of noncompliance.
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- (16) No discussions have taken place with your firm's personnel regarding employment with Mott Haven Academy Charter School.
- (17) Mott Haven Academy Charter School is an exempt organization under Section 501(c)(3) of the Internal Revenue Code as evidenced by determination letter dated June 6, 2008. Any activities of which we are aware that would jeopardize our tax-exempt status, all activities subject to tax on unrelated business income or excise or other tax, have been disclosed to you. All required filings with tax authorities are up-to-date.
- (18) We have complied with all restrictions on resources, including donor restrictions, and all aspects of contractual and grant agreements that would have a material effect on the financial statements in the event of noncompliance. This includes complying with donor requirements to maintain a specific asset composition necessary to satisfy their restrictions.
- (19) Net assets with donor restrictions of Mott Haven Academy Charter School at June 30, 2021 consist of the following:

	<u>2021</u>
Family coordinator and school nurse	5,048
Haven Kids rock music program	29,512
Mental health services	12,624
COVID-19	11,000
Time restriction - 2022	50,000
Time restriction - 2021	-
	<u>\$ 108,184</u>

- (20) The basis used for the allocation of functional expenses is reasonable, and is in compliance with the provisions of ASU 2016-14.
- (21) As part of your audit, you assisted with the preparation of the financial statements and related notes and the schedule of expenditures of federal awards. We acknowledge our responsibility as it relates to those nonattest/nonaudit services, including that we assume all management responsibilities; oversee the services by designating an individual, preferably within senior management, who possesses suitable skill, knowledge, or experience; evaluate the adequacy and results of the services performed; and accept responsibility for the results of the services. We have reviewed, approved, and accepted responsibility for the financial statements and related notes and the schedule of expenditures of federal awards.
- (22) We are responsible for compliance with laws, regulations, and provisions of contracts and grant agreements applicable to us and we have identified and

disclosed to you all laws, regulations, and provisions of contracts and grant agreements that we believe have a direct and material effect on the determination of financial statement amounts or other financial data significant to the audit objectives.

(23) With respect to federal award programs:

- (a) We are responsible for understanding and complying with, and have complied with, the requirements of Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), as applicable.
- (b) We have, in accordance with the Uniform Guidance, identified and disclosed to you, in the schedule of expenditures of federal awards (SEFA), expenditures made during the audit period for all government programs and related activities provided by federal agencies in the form of federal awards, grants, federal cost-reimbursement contracts, loans, loan guarantees, property (including donated surplus property), cooperative agreements, interest subsidies, insurance, food commodities, direct appropriations, and other assistance.
- (c) We acknowledge our responsibility for the preparation of the SEFA and related notes in accordance with the requirements of the Uniform Guidance, and we believe the SEFA, including its form and content, is fairly presented in accordance with the Uniform Guidance. The methods of measurement or presentation of the SEFA have not changed from those used in the prior period.
- (d) We have notified you of federal awards and funding increments that were received for awards received before December 26, 2014, and differentiated those awards from awards received on or after December 26, 2014, and subject to the audit requirements of the Uniform Guidance.
- (e) We will include the auditor's report on the SEFA in any document that contains the SEFA and that indicates you have reported on such information.
- (f) If the SEFA is not presented with the audited financial statements, we will make the audited financial statements readily available to the intended users of the SEFA no later than the date we issue the SEFA and the auditor's report thereon.
- (g) We are responsible for understanding and complying with the requirements of federal statutes, regulations, and the terms and conditions of federal

awards related to each of our federal programs and have identified and disclosed to you the requirements of federal statutes, regulations, and the terms and conditions of federal awards that are considered to have a direct and material effect on each major program.

- (h) We are responsible for establishing and maintaining, and have established and maintained, effective internal control over compliance for federal programs that provides reasonable assurance that we are managing our federal awards in compliance with federal statutes, regulations, and the terms and conditions of federal awards that could have a material effect on our federal programs. We believe the internal control system is adequate and is functioning as intended. Also, subsequent to the date of the auditor's report as of which compliance was audited, no changes have occurred in internal control over compliance or other factors that might significantly affect internal control, including any corrective action we have taken regarding significant deficiencies and material weaknesses in internal control over compliance as reported in the schedule of findings and questioned costs.
- (i) We have made available to you all federal awards (including amendments, if any) and any other correspondence relevant to federal programs and related activities that have taken place with federal agencies or pass-through entities.
- (j) We have received no requests from a federal agency to audit one or more specific programs as a major program.
- (k) We have complied, in all material respects, with the direct and material compliance requirements (except for noncompliance disclosed to you), including, when applicable, those set forth in the OMB *Compliance Supplement*, relating to federal awards and have identified and disclosed to you all amounts questioned and all known noncompliance with the direct and material compliance requirements of federal awards, **or** confirmed that there were no amounts questioned and no known noncompliance with the direct and material compliance requirements of federal awards. We also know of no instances of noncompliance occurring subsequent to the end of the period audited.
- (l) We have disclosed to you any communications from federal awarding agencies and pass-through entities concerning possible noncompliance with the direct and material compliance requirements, including communications received from the end of the period covered by the compliance audit to the date of the auditor's report.
- (m) Amounts claimed or used for matching were determined in accordance with relevant guidelines in Uniform Guidance [and OMB Circular A-122, "*Cost Principles for Nonprofit Organizations*," and Subpart C, "*Cost Sharing and Matching*," of OMB Circular A110, "*Grants and Agreements with Institutions of*

Higher Education, Hospitals, and Other Nonprofit Organizations”, if applicable].

- (n) We have disclosed to you our interpretations of compliance requirements that are subject to varying interpretations, if any.
- (o) We have made available to you all documentation related to compliance with the direct and material compliance requirements, including information related to federal program financial reports and claims for advances and reimbursements.
- (p) We have disclosed to you the nature of any subsequent events that provide additional evidence with respect to conditions that existed at the end of the reporting period that affect noncompliance during the reporting period.
- (q) Federal program financial reports and claims for advances and reimbursements are supported by the books and records from which the basic financial statements have been prepared, and are prepared on a basis consistent with the schedule of expenditures of federal awards.
- (r) The copies of federal program financial reports provided to you are true copies of the reports submitted, or electronically transmitted, to the respective federal agency or pass-through entity, as applicable.
- (s) We have charged costs to federal awards in accordance with applicable cost principles.
- (t) We are responsible for, and have accurately completed, the appropriate sections of the Data Collection Form as required by the Uniform Guidance. The final version of the applicable audit reporting package, which includes your signed auditor’s reports, that we will submit to the Federal Audit Clearinghouse (FAC) will be identical to the final version of such documents that you provided to us.
- (u) We have identified and disclosed to you the findings received and related corrective actions taken for previous audits, attestation engagements, internal or external monitoring, and other studies directly related to the audit objectives of the compliance audit, including findings received and corrective actions taken from the end of the audit period covered by the compliance audit report to the date of the auditor’s report.
- (v) We are responsible for and have ensured the reporting package does not contain protected personally identifiable information.
- (w) As part of your audit, you assisted with the preparation of the financial statements and related notes and schedule of expenditures of federal

awards. We acknowledge our responsibility as it relates to those nonattest/nonaudit services, including that we assume all management responsibilities; oversee the services by designating an individual, preferably with senior management, who possesses suitable skill, knowledge, or experience; evaluate the adequacy and results of the services performed; and accept responsibility for the results of the services. We have reviewed, approved, and accepted responsibility for those financial statements and related notes and schedule of expenditures of federal awards.

- (24) There have been no known or suspected breaches of sensitive information (e.g., personnel files) caused by cyber-attack or other means, or other cybersecurity incidents, where the breach or other incidents could have a material effect on the financial statements.
- (25) In conjunction with your audit of the financial statements of Mott Haven Academy Charter School as of June 30, 2021 for the purpose of expressing an opinion as to whether the financial statements present fairly, in all material respects, the financial position, results of operations, and cash flows of Mott Haven Academy Charter School in conformity with accounting principles generally accepted in the United States of America, we confirm, to the best of our knowledge and belief, as of the date of this representation letter, the following representations made to you during our audit:
- To the extent our normal procedures and controls related to our financial close or other reporting processes at any of our locations were adversely impacted by the COVID-19 outbreak, we took appropriate actions and safeguards to reasonably ensure the fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America.
 - Other than as disclosed in note 8 to the financial statements, no other impacts from COVID-19 outbreak are necessary to be reflected in those financial statements.
 - Disclosures included in the financial statements regarding relevant significant business, financial, and reporting impacts of the COVID-19 outbreak accurately reflect management's full consideration of such impacts.
- (26) In connection with any electronic presentation of the financial statements and your audit report thereon on our web site, we acknowledge that:
- We are responsible for the preparation, presentation, and content of the financial statements in the electronic format.
 - If your audit report is presented on our web site, the full financial statements upon which you reported and to which you appended your signed report will be presented.

- We will clearly indicate in the electronic presentation on our web site the financial information that is subject to your audit report. We will clearly differentiate any information that may also be presented by us on or in connection with our web site that was contained in the published version of the financial statements and other supplementary information, but which is not part of the audited financial statements or other financial information covered by your audit report.
- We have assessed the security over financial statement information and the audit report presented on our web site, and are satisfied that procedures in place are adequate to ensure the integrity of the information provided. We understand the risk of potential misrepresentation inherent in publishing financial information on our web site through internal failure or external manipulation.
- If the electronic financial statements are generally made available to the public on our web site, we will include a notification to the reader that such financial statements are presented for convenience and information purposes only, and while reasonable efforts have been made to ensure the integrity of such information, they should not be relied on. A copy of the printed financial statements will be provided on request.

- (27) The accounting policies reflected in the opening balances have been consistently applied in the current period's financial statements.
- (28) We have fully disclosed to you all terms of contracts with customers that affect the amount and timing of revenue recognized in the financial statements, including delivery terms, rights of return or price adjustments, side agreements, implicit provisions, unstated customary business practices, and all warranty provisions.
- (29) We are aware that Marc Taub is the engagement partner and is responsible for supervising the engagement and signing the report.

To the best of our knowledge and belief, no events, have occurred subsequent to the statement of financial position date and through the date of this representation letter, as entered on the first page, that would require adjustment to or disclosure in the aforementioned financial statements (except as follows):



Very truly yours,

A handwritten signature in blue ink that reads "Jessica Nauiokas".

10/25/2021

Jessica Nauiokas, Head of School & Founder

A handwritten signature in black ink that reads "Janet Campagna".

10/25/2021

Janet Campagna, Board Treasurer



MOTT HAVEN ACADEMY CHARTER SCHOOL
170 BROWN PL
BRONX NY 10454-4140

Page: 1 of 2
Statement Period: Apr 01 2021-Jun 30 2021
Cust Ref #: [REDACTED]
Primary Account #: [REDACTED]

Commercial Savings

MOTT HAVEN ACADEMY CHARTER SCHOOL

Account # 00008920837930

Upcoming Changes Effective April 1, 2021

We are making changes to the way we're managing transaction limits on our non-transactional accounts. All non-transactional savings and money market accounts are allowed six (6) pre-authorized, automatic, electronic (including computer or mobile initiated) telephone withdrawals or transfers, payments by check, draft, debit card, or similar order payable to third parties or made payable to yourself each month. Your account will be charged a \$9 fee for the seventh (7th) and each additional transaction for the remainder of the month. Your account will no longer be converted to a transactional account. For more information, please refer to the supplement of the Business Deposit Account Agreement or Personal Deposit Account Agreement, both available at td.com

ACCOUNT SUMMARY

Statement Balance as of 04/01		70,353.04
Plus	0 Deposits and Other Credits	0.00
Plus	Interest Paid	7.09
Less	0 Checks and Other Debits	0.00
Statement Balance as of 06/30		70,360.13

ACCOUNT ACTIVITY

Transactions by Date

DATE	DESCRIPTION	DEBIT	CREDIT	BALANCE
04/30	INTEREST PAID		2.89	70,355.93
05/31	INTEREST PAID		2.99	70,358.92
06/02	RATE CHANGE TO 0.02%	0.00		70,358.92
06/30	INTEREST PAID		1.21	70,360.13

INTEREST SUMMARY

Beginning Interest Rate	0.05%
Number of days in this Statement Period	91
Interest Earned this Statement Period	7.09
Annual Percentage Yield Earned	0.04%
Interest Paid Year to date	15.76

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

1. Your ending balance shown on this statement is:
2. List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.
3. Subtotal by adding lines 1 and 2.
4. List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.
5. Subtract Line 4 from 3. This adjusted balance should equal your account balance.

1	Ending Balance		70,360.13
2	Total Deposits	+	
3	Sub Total		
4	Total Withdrawals	-	
5	Adjusted Balance		

2

DEPOSITS NOT ON STATEMENT	DOLLARS	CENTS
Total Deposits		2

4

WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS
Total Withdrawals		4

WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS
Total Withdrawals		4

FOR CONSUMER ACCOUNTS ONLY — IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to:

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared. When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed. Please include:

- Your name and account number.
- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY — BILLING RIGHTS SUMMARY

In case of Errors or Questions About Your Bill:

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights. In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error. If you need more information, describe the item you are unsure about.

You do not have to pay any amount in question while we are investigating, but you are still obligated to pay the parts of your bill that are not in question. While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.



Student Enrollment including UPK
 Student Enrollment - Haven Academy
 Grades Served

Approved 2020-2021 BUDGET	PROJECTED 2020-2021 ACTUALS	PROPOSED 2021-2022 BUDGET	VARIANCE
504	501	502	
468	468	466	
UPK - 8	UPK-8	UPK - 8	

Revenue

Total 4100 State Grants	\$ 9,568,055	\$ 9,787,936	\$ 10,128,293	\$ 340,358
Total 4200 Federal Grants	\$ 850,783	\$ 872,894	\$ 2,043,572	\$ 1,170,678
Total 4300 Contributions:				
Unrestricted Contributions	600,000	601,531	600,000	
NY Founding Foundation	304,000	304,000	304,000	
Deerfield Partnership Foundation Grant	145,000	125,500	77,700	
Haven Alumni Support Program	-	300,000	300,000	
Dissemination Grant	-	-	-	
Haven Kids Rock	25,000	28,840	25,000	
Walton - Dissemination Sharing Growth	75,000	-	-	
Blended Literacy	25,000	-	-	
Additional MS startup	120,000	-	-	
Total 4300 Contributions:	1,294,000	1,359,871	1,306,700	\$ (53,171)
Total 4400 Misc. Income (Interest)	250,175	250,175	250,175	\$ -
Total 4500 Pre K Funding	464,526	420,299	464,526	\$ 44,227
Total Income	\$ 12,427,539	\$ 12,691,174	\$ 14,193,266	\$ 1,502,092

Expenses

Total 5100 Instructional Staff Compensation	6,001,212	5,982,138	6,899,047	\$ 916,909
Total 5200 Non-Instructional Staff	1,073,703	1,077,663	1,297,354	\$ 219,691
Total 5300 Incentives	100,000	165,755	212,000	\$ 46,246
Total 5400 Benefits	1,693,043	1,703,374	1,829,466	\$ 126,092
<i>Personnel & Benefits to Total Expenses</i>	<i>71%</i>	<i>73%</i>	<i>73%</i>	
Total 6100 Administrative	271,889	330,057	294,023	\$ (36,034)
Total 6200 Professional Services	387,000	369,173	417,000	\$ 47,827
Total 6300 Professional Development	170,000	76,500	182,000	105,500
Total 6400 Marketing and Staff/Student Rec	18,500	30,678	31,500	\$ 822
Total 6600 Student Services	378,521	113,267	413,521	\$ 300,254
Total 7100 Curriculum and Classroom	312,312	260,761	350,144	\$ 89,383
Total 8100 Facility				
Rent	1,213,308	1,213,308	1,230,490	\$ 17,182
Operating Expenses	327,804	327,804	327,804	\$ -
In-Kind Rent & Operating Expenses - NYF	250,000	250,000	250,000	\$ -
Maintenance/ Elevator/ Janitorial/ Security	53,000	46,123	65,500	\$ 19,377
Total 8200 Technology	86,000	150,000	150,000	\$ -
Total 8800 Miscellaneous Expenses	77,500	133,575	117,500	\$ (16,075)
Total Expenses	\$ 12,413,791	\$ 12,230,175	\$ 14,067,349	\$ 1,837,174

Net Income	\$ 13,748	\$ 461,000	\$ 125,917	
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Total Fixed Assets	\$ 90,000	\$ 220,834	\$ 120,000	
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Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8	VARIANCE
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REVENUE & EXPENSE DETAILS

REVENUE	4100 State Grants				
	4101 Per Pupil Allocations	7,545,564	7,545,564	7,849,304	303,740
	4102 Per Pupil Allocations for SPED (20-59%)	124,680	174,500	176,630	2,130
	4102 Per Pupil Allocations for SPED (> 60%)	1,104,842	1,287,998	1,276,283	(11,715)
	4103 NYSTL	28,829	36,756	28,706	(8,050)
	4104 NYSSL	7,394	-	7,363	7,363
	4105 NYSLIB	3,089	3,119	3,076	(43)
	4109 Per Pupil Facilities Assistance	744,883	736,824	778,193	41,368
	4112 Food Service Program - State	8,774	3,174	8,740	5,566
	Total 4100 State Grants	\$ 9,568,055	\$ 9,787,936	\$ 10,128,293	
4200 Federal Grants					
4201 IDEA for SPED	58,000	69,033	69,033	-	
4203 E-Rate for Tech/Comm	42,002	47,922	104,624	56,703	
4204 Title I	280,437	277,300	277,310	10	
4205 Title IIA	38,281	38,752	38,991	239	
4207 Title IV	21,129	21,519	21,519	-	
4212 Food Service Program - Federal	410,934	108,837	409,304	300,467	
4213 NYS Grants Assistance	-	309,531	1,122,791	813,260	
Total 4200 Federal Grants	\$ 850,783	\$ 872,894	\$ 2,043,572		
4300 Contributions					
4301 Unrestricted Contributions	600,000	601,531	600,000	(1,531)	
4306 NY Foundling Foundation Soc/Emot Program Support	126,000	126,000	126,000	-	
43xx NY Foundling Foundation Afterschool Reimbursement	178,000	178,000	178,000	-	
4307 Deerfield Partnership Foundation Grant	145,000	125,500	77,700	(47,800)	
4315 Haven Alumni Support Program	-	300,000	300,000	-	
Additional MS funding/planning	120,000	-	-	-	
Dissemination Grant	-	-	-	-	
Haven Kids Rock	25,000	28,840	25,000	(3,840)	
Blended Literacy TNTP Partnership	25,000	-	-	-	
Walton - Dissemination Sharing Growth	75,000	-	-	-	
Total 4300 Contributions	\$ 1,294,000	\$ 1,359,871	\$ 1,306,700		
4400 Misc. Income					
4401 Interest Income	175	175	175	-	
4309 In-Kind Contribution	250,000	250,000	250,000	-	
Total 4400 Misc. Income	\$ 250,175	\$ 250,175	\$ 250,175		

BUDGET DETAILS

Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8		VARIANCE
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4500 Pre K Funding

4500 Pre K Funding	458,526	420,299	458,526	38,227
4501 PreK Afterschool	6,000	-	6,000	6,000
4502 PreK - Start-up One-time		-		-
Total 4500 Pre K Funding	\$ 464,526	\$ 420,299	\$ 464,526	
Total Income	\$ 12,427,539	\$ 12,691,174	\$ 14,193,266	

	Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8		VARIANCE
Total 5100 Instructional Staff	6,001,212	5,982,138	6,899,047		916,909
Total 5200 Non-Instructional Staff	1,073,703	1,077,663	1,297,354		219,691
5300 Incentives					
5302 Merit/Performance Bonus	50,000	80,000	50,000		(30,000)
5304 Summer Academy Stipend	5,000	5,000	52,000		47,000
5306 Academies Stipend	45,000	80,755	110,000		29,246
Total 5300 Incentives	100,000	165,755	212,000		
5400 Benefits					
5401 FUTA	-		-		-
5402 NY State Unemployment Insurance	65,778	65,778	70,395		4,617
5403 Social Security EmployER	444,845	447,984	521,321		73,336
5405 Medicare EmployER	104,036	104,771	121,922		17,151
5407 Worker's Compensation	60,000	60,000	60,000		-
5408 NY State Disability Insurance	25,000	25,000	25,000		-
5409 Medical/Health Insurance	647,019	660,639	680,459		19,819
5410 Dental/Vision Insurance	12,719	11,779	12,132		353
5412 Retirement 401(k) Fees	3,000	5,000	3,000		(2,000)
5413 Retirement 401(k) Match	178,551	178,551	178,551		-
5416 Long Term Disability	14,717	9,801	9,800		(1)
5417 Life / AD&D	5,234	13,176	13,000		(176)
5421 TransitChek Fees	5,000	1,666	2,000		334
5427 Benefits / PEO Fees	99,895	95,329	107,031		11,702
5428 NYC Bronx County	27,249	23,899	24,855		956
Total 5400 Benefits	1,693,043	1,703,374	1,829,466		
Total 5000 Personnel Expenses	\$ 8,867,958	\$ 8,928,929	\$ 10,237,867	13%	
6100 Administrative					
6101 Office Supplies including Copy Paper	42,000	120,000	42,000		(78,000)
6102 Office Furniture	2,500	-	2,500		2,500
6103 Office Equipment	3,000	2,000	3,000		1,000
6107 Postage and Delivery	4,000	4,000	4,000		-
6108 Insurance - General	55,505	56,210	60,640		4,430
6109 After School Program Supplies	3,500	-	3,500		3,500
6110 Staff Travel/Transportation	3,500	1,750	3,500		1,750
6112 Dues & Subscriptions	33,000	33,000	45,000		12,000
6114 Team Building/Staff Lunch/Staff Appreciation	65,000	65,000	65,000		-
6115 Special Assemblies	17,000	17,000	17,000		-

	Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8	VARIANCE
6116 Family Outreach Expenses	5,000	-	10,000	10,000
6117 Family Council Expenses	500	-	500	500
6119 Student Information System	8,000	8,000	8,000	-
6120 Health / Medical Supplies	4,500	-	4,500	4,500
6121 Signage (Indoor and Outdoor)	2,000	214	2,000	1,786
6122 Copier Lease	22,883	22,883	22,883	-
Total 6100 Administrative	\$ 271,889	\$ 330,057	\$ 294,023	

Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8	VARIANCE
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EXPENSES

6200 Professional Services

6201 Accounting and Legal Services	4,000	4,000	4,000	-
6202 Audit Services	25,000	25,000	25,000	-
6204 Financial Management Services	160,000	152,844	160,000	7,156
6205 Student Support Services (NYF)	130,000	40,000	130,000	90,000
6206 Enrichment Consultants	40,000	100,000	40,000	(60,000)
6207 Substitute Teacher Services	10,000	41,320	40,000	(1,320)
6211 Temporary Staffing Services	10,000	509	10,000	9,491
6213 Medical / Dental Services	1,000	-	1,000	1,000
6217 Erate Consulting Services	7,000	5,500	7,000	1,500
Total 6200 Professional Services	\$ 387,000	\$ 369,173	\$ 417,000	

6300 Professional Development

6301 School wide Professional Development (External)	150,000	50,000	150,000	100,000
6305 Board Expenses & Strategic Planning	5,000	5,000	5,000	-
6306 Tuition and Certification Reimbursement	15,000	21,500	27,000	5,500
Total 6300 Professional Development	\$ 170,000	\$ 76,500	\$ 182,000	

6400 Marketing and Staff/Student Rec

6401 Staff Recruitment	17,000	30,000	30,000	-
6404 Printing	1,500	678	1,500	822
Total 6400 Marketing and Staff/Student Rec	\$ 18,500	\$ 30,678	\$ 31,500	

6600 Student Services

6601 Transportation	15,000	-	45,000	45,000
6603 Summer School Supplies	-	-	5,000	5,000
6604 Kitchen Equipment	5,000	7,500	5,000	(2,500)
6605 Uniforms & Apparel	21,000	806	21,000	20,194
6606 Student Meals & Snacks	332,521	104,961	332,521	227,560
6608 Translation Services	5,000	-	5,000	5,000
Total 6600 Student Services	\$ 378,521	\$ 113,267	\$ 413,521	

7100 Curriculum and Classroom

7101 Books & Other Educational Materials	70,000	70,000	70,000	-
7102 Classroom Furniture	2,500	458	2,500	2,042
7103 Instructional Equipment	2,500	3,428	8,000	4,572
7104 Student Field Trips	70,000	20,000	100,000	80,000
7105 Classroom Supplies	50,000	50,000	50,000	-
7107 Athletic/Sports Equipment	2,500	-	2,500	2,500
7108 Arts Supplies	4,000	5,000	4,000	(1,000)
7109 Music Supplies	1,500	2,000	4,000	2,000
7111 Standardized Test/ Assessment Expenses	70,000	70,000	70,000	-
7112 NYSTL Expense	28,829	36,756	28,706	(8,050)
7113 NYSSL Expense	7,394	-	7,363	7,363

	Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8		VARIANCE
7114 NYSLIB Expense	3,089	3,119	3,076		(43)
Total 7100 Curriculum and Classroom	\$ 312,312	\$ 260,761	\$ 350,144		
8100 Facility					
8101 Rent	1,213,308	1,213,308	1,230,490		17,182
8102 Building Ops Expenses - NYF	327,804	327,804	327,804		-
8102A In-Kind Rent & Operating Expenses - NYF	250,000	250,000	250,000		-
8107 Porter / Maintenance Services	15,000	18,140	22,000		3,860
8108 Elevator Maintenance/ Services	-	-	-		-
8109 Janitorial Supplies	2,500	2,500	2,500		-
8110 Moving Expenses	500	-	6,000		6,000
8111 Security	35,000	25,484	35,000		9,516
Total 8100 Facility	\$ 1,844,112	\$ 1,837,235	\$ 1,873,794		

Budget 2020-2021 UPK - 8	Projected Actual 2020-2021 UPK-8	PROPOSED 2021-2022 UPK - 8	VARIANCE
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8200 Technology					
8201 Telephone & Telecommunications / Websites	65,000	85,000	85,000		-
8205 Technology Supplies	21,000	65,000	65,000		-
Total 8200 Technology	\$ 86,000	\$ 150,000	\$ 150,000		
8800 Miscellaneous Expenses					
8801 Bank Service Charges	1,000	1,075	1,000		(75)
8802 Interest/Late Fees	1,500	17,500	1,500		(16,000)
8807 Depreciation Expense	75,000	115,000	115,000		-
8813 Bad Debt Expense	-	-	-		-
Total 8800 Miscellaneous Expenses	\$ 77,500	\$ 133,575	\$ 117,500		
Total Expenses	12,413,791	12,230,175	14,067,349		
Net Operating Income	\$ 13,748	\$ 461,000	\$ 125,917		

CAPITAL EXPENDITURES

1510 Computer Equipment	55,000	177,608	50,000		(127,608)
1511 Printers	-	-	-		-
1512 Software	3,500	3,500	3,500		-
1514 Furniture	-	3,220	-		(3,220)
1516 Musical Instruments	1,500	1,500	1,500		-
1517 Leasehold Improvements	15,000	15,000	40,000		25,000
1518 Equipment	15,000	20,006	25,000		4,994
Total 1500 Total Fixed Assets	\$ 90,000	\$ 220,834	\$ 120,000		

**Disclosure of Financial Interest by a Current or Proposed Board of Trustees
Member**

Name:

Christine Stokes

Name of Charter School Education Corporation (the Charter School Name,
if the charter school is the only school operated by the education
corporation):

Mott Haven Academy Charter School

1. List all positions held on the education corporation Board of Trustees ("Board")
(e.g. president, treasurer, parent representative).

Board Trustee

2. Are you an employee of any school operated by the education corporation?

Yes No

If **Yes**, for each school, please provide a description of the position(s) you
hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

No

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any
student currently enrolled in the school?

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

No

5. Are you related, by blood or marriage, to any person that could otherwise
benefit from your participation as a board member of this school?

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

No

6. Are you a past, current, or prospective employee of the charter school,
education corporation, and/or an entity that provides comprehensive

management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
None			

8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write "**None**."

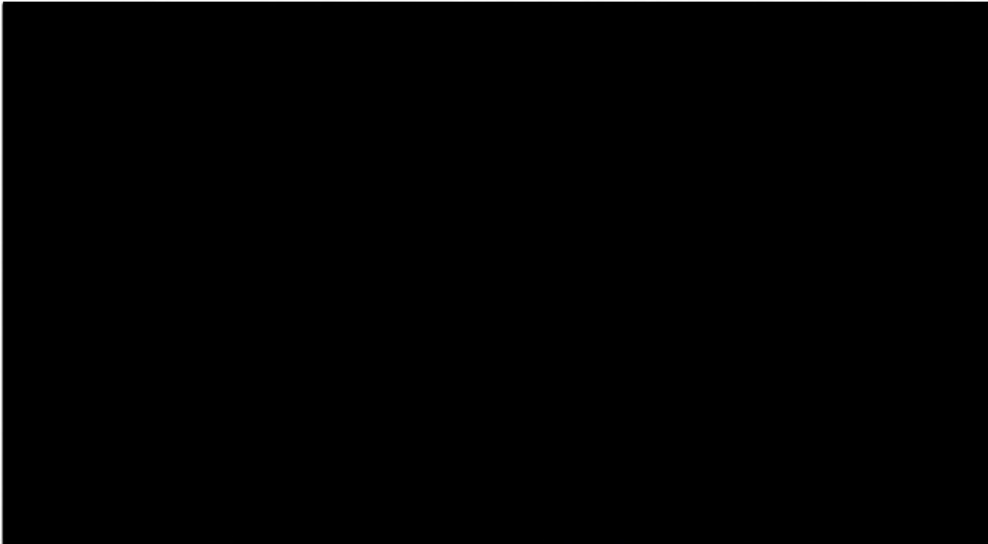
Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
None				

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Christina Scales
Signature

7/22/21
Date

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.



Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name:

Bill Baccaglino

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

Mott Haven Academy

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

member

2. Are you an employee of any school operated by the education corporation?
 Yes X No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

No

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

No

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school?

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

NO

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If Yes, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.


The New York Funding, President & CEO

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

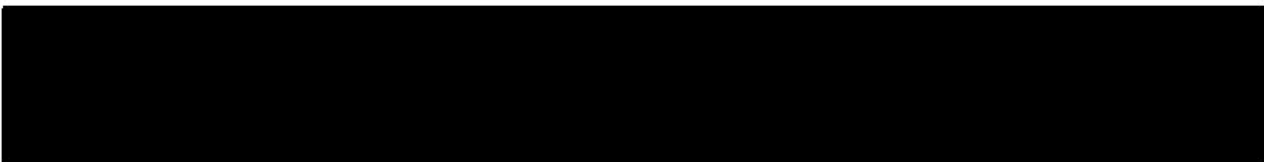
Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
<i>none</i>	<i>none</i>	<i>none</i>	<i>none</i>

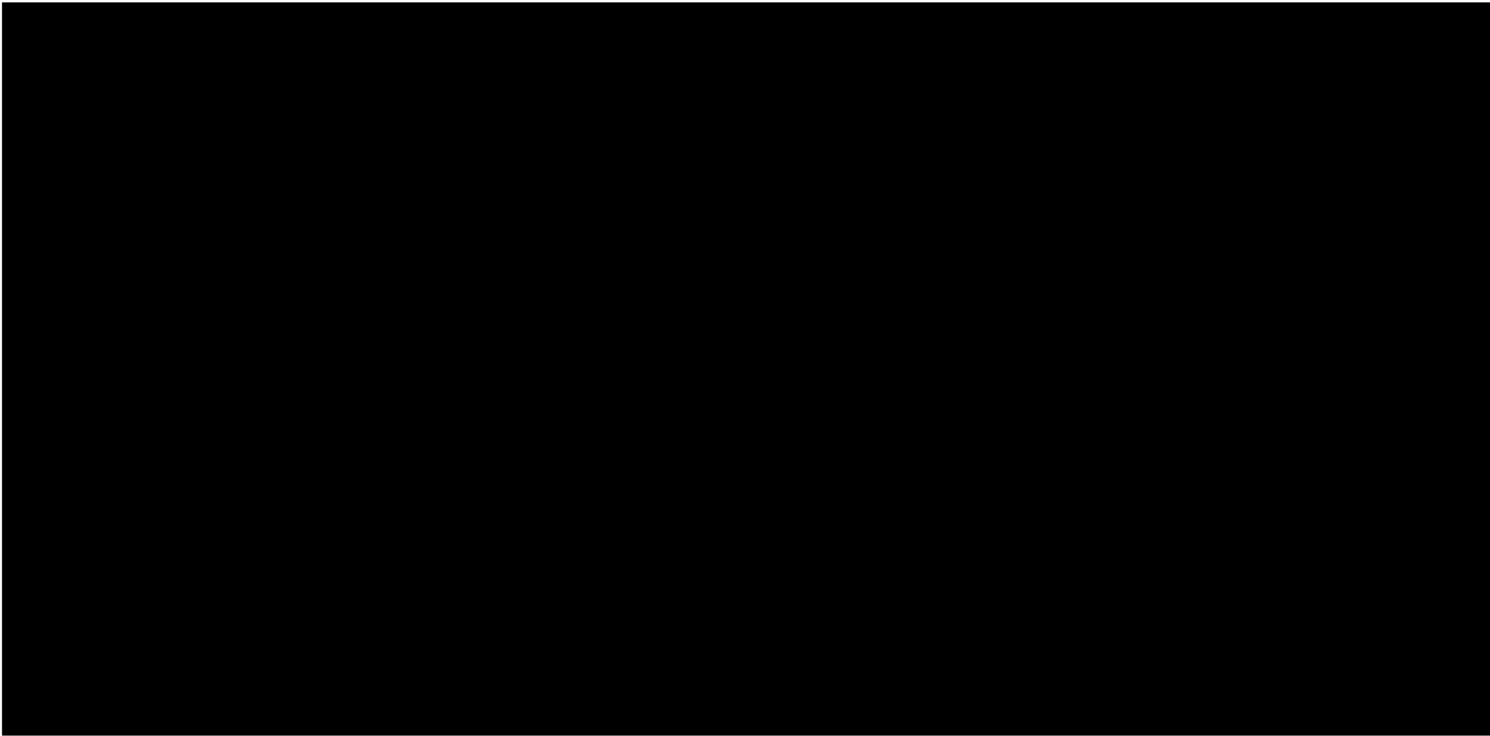
8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write "None."

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
<i>none</i>	<i>none</i>	<i>none</i>	<i>none</i>	<i>none</i>


 Signature _____ Date 7/12/21

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.





Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name:

Janet Campagna_____

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

__Mott Haven Academy_____

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

Treasurer

2. Are you an employee of any school operated by the education corporation?
___Yes __X__No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

No

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

No

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school?

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

No

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services (“CMO”), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
<p>None <i>Please write "None" if applicable. Do not leave this space blank.</i></p>			

8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write “None.”

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
<p>None</p> <p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				

Janet Campagna

June 30, 2021

Signature

Date

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.





Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name: Jessica Nauiokas

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

Board, school employee

2. Are you an employee of any school operated by the education corporation?
Y Yes ___ No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

Employee, Head of School, August 2008 Salary approx \$210K

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

No

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school? No

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school? No

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services (“CMO”), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

Employee, Head of School, August 2008 Salary approx \$210K

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you

8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write “None.”

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
None				



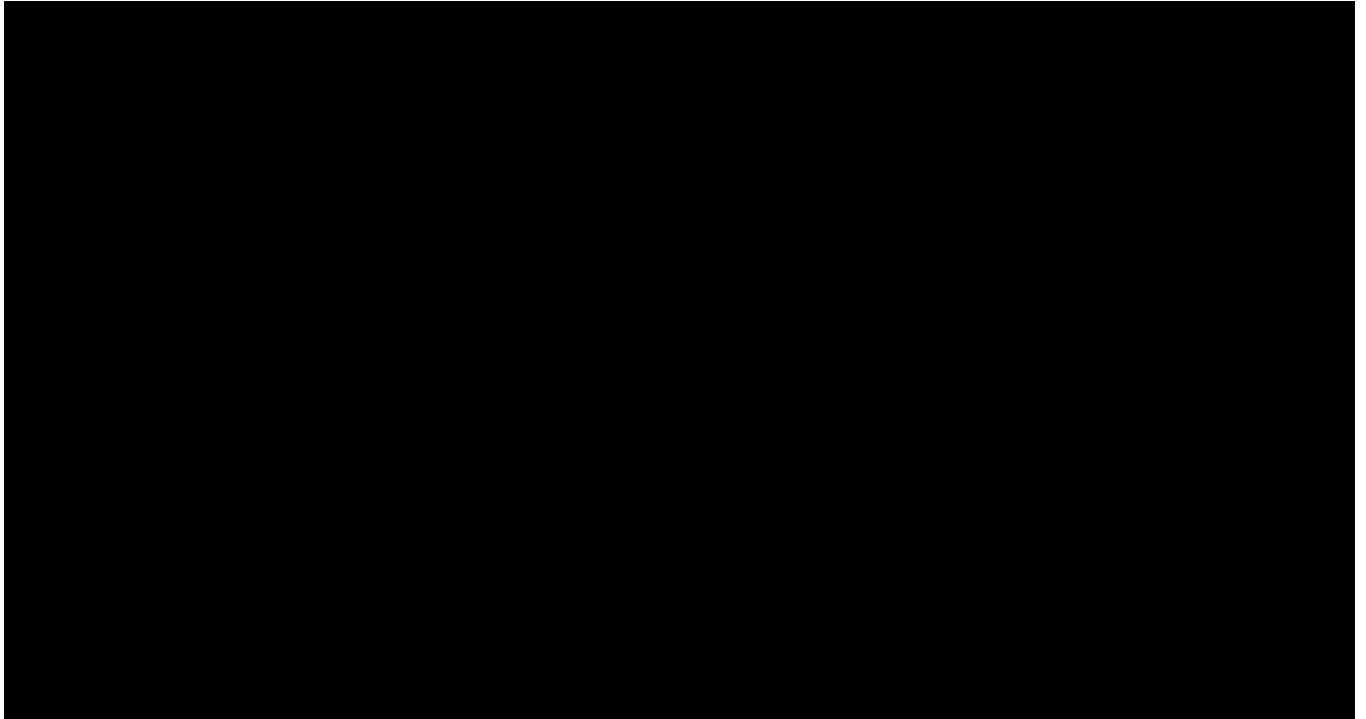
July 8, 2021

Signature

Date

Please write "None" if applicable. Do not leave this space blank.

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.



Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name:

Kat Chiechi Flores

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

Vice Chair

2. Are you an employee of any school operated by the education corporation?
 Yes X No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

NO

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school?

NO

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school?

NO

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No


If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p> <p style="text-align: center; color: blue; font-size: 1.5em;">NONE</p>			

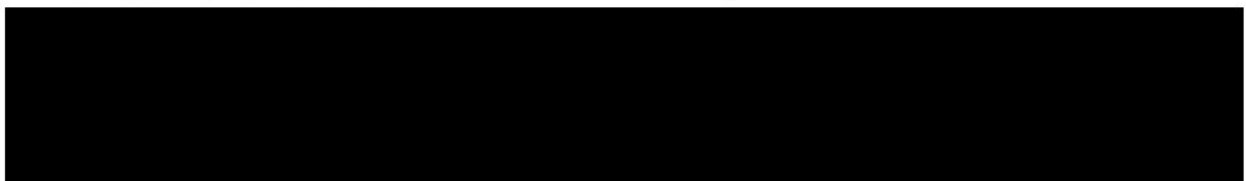
8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write "None."

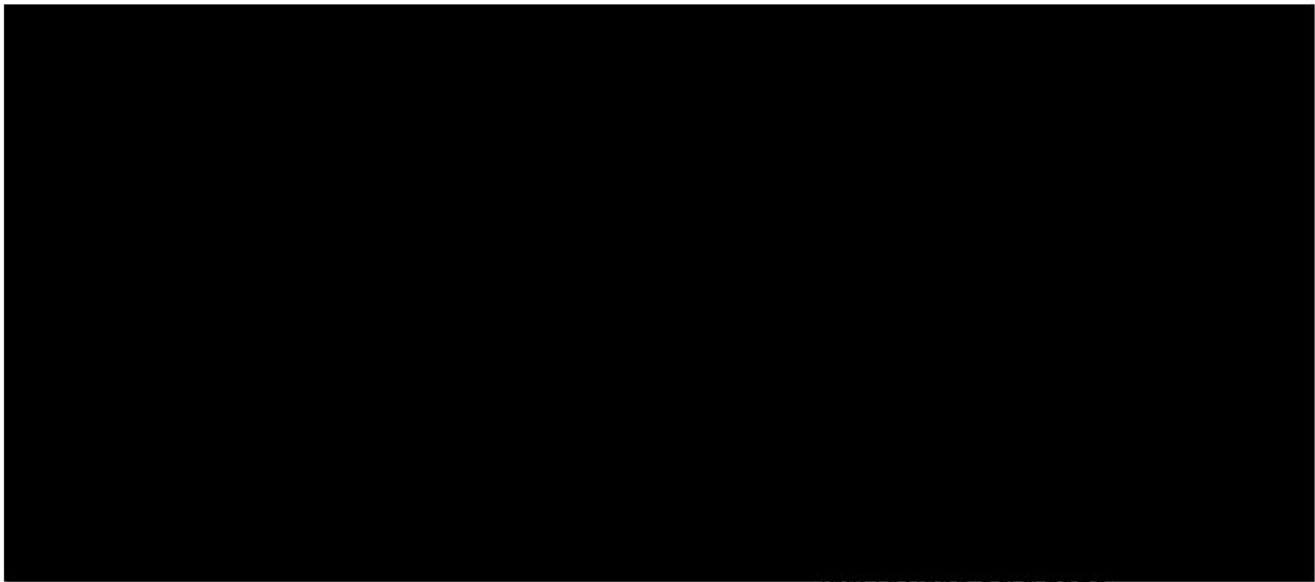
Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p> <p style="text-align: center; color: blue; font-size: 1.5em;">NONE</p>				

Signature 

Date 6/29/21

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.





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Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name:

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

2. Are you an employee of any school operated by the education corporation?
___ **Yes** ___ **No**

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school?

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school?

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services (“CMO”), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

___ **Yes** ___ **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

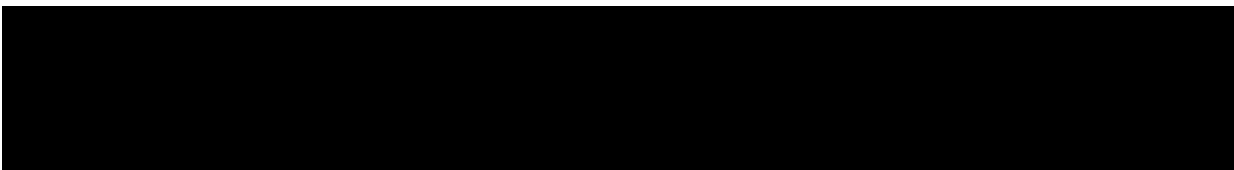
Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
<i>Please write “None” if applicable. Do not leave this space blank.</i>			

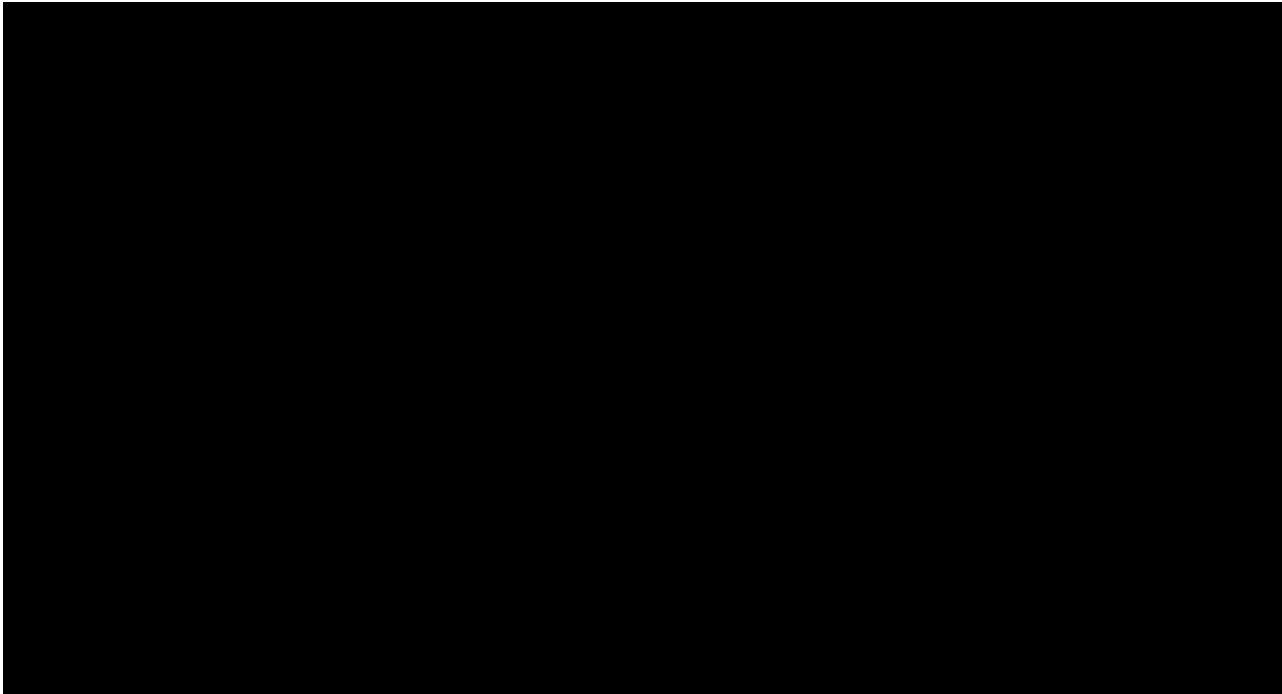
8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write “None.”

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				

William E. Bradley _____
 Signature Date

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Disclosure of Financial Interest by a Current or Proposed Board of Trustees Member

Name:

PATRICIA MULVANEY

Name of Charter School Education Corporation (the Charter School Name, if the charter school is the only school operated by the education corporation):

MOTT HAVEN ACADEMY CHARTER SCHOOL

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g. president, treasurer, parent representative).

President / Board Chair

2. Are you an employee of any school operated by the education corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school? **NO**

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any student currently enrolled in the school? **NO**

If **Yes**, please describe the nature of your relationship and how this person could benefit from your participation.

5. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of this school? **NO**

If Yes, please describe the nature of your relationship and how this person could benefit from your participation.

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
<i>Please write</i>	NONE	<i>Do not leave this space blank.</i>	

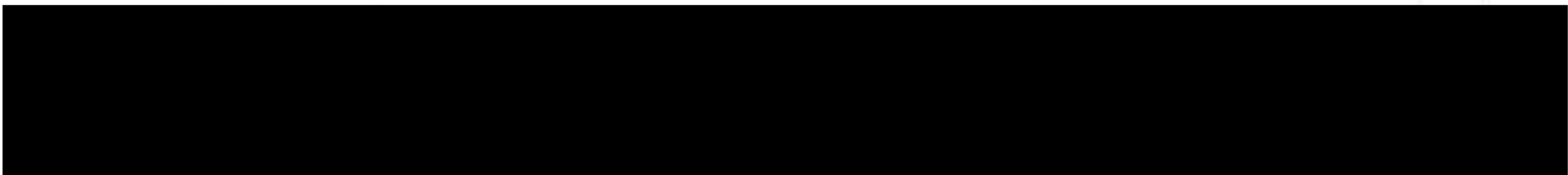
8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write "**None.**"

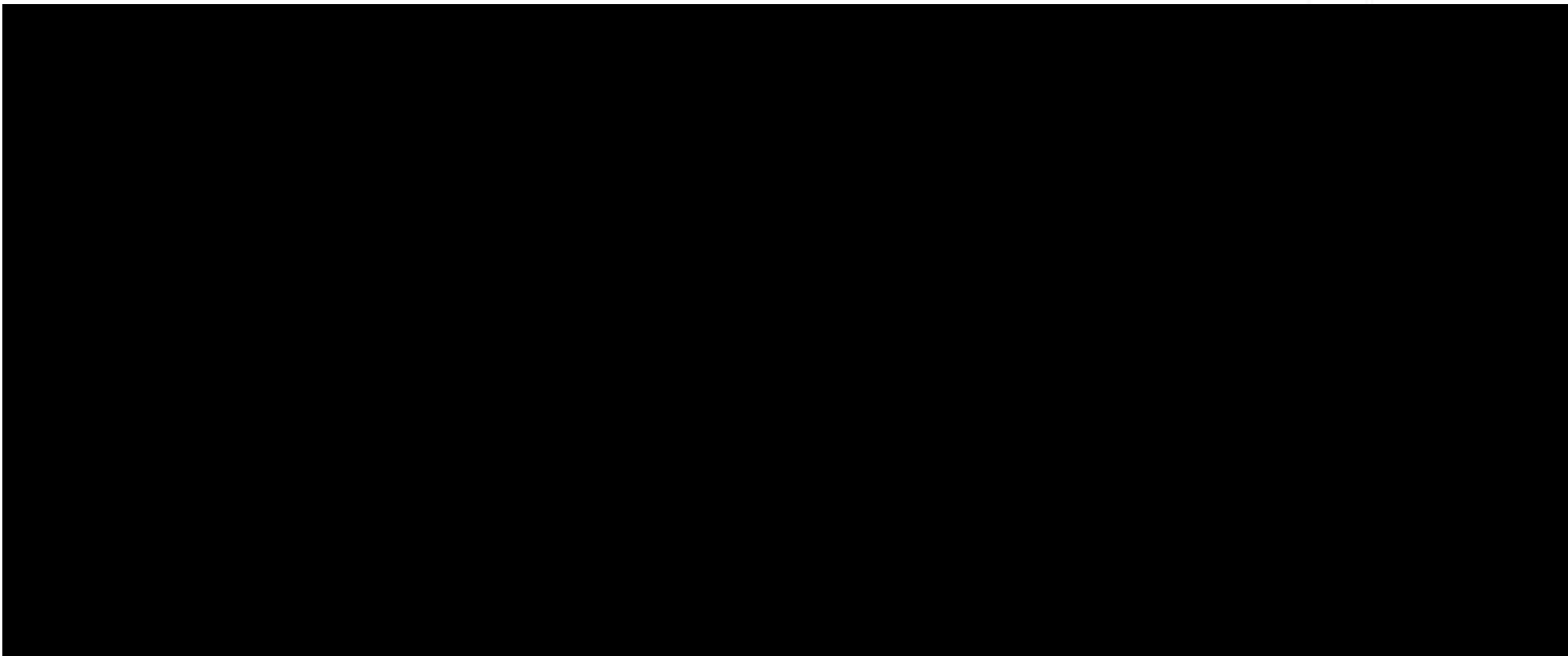
Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps taken to avoid conflict of interest
<i>Please write "None" if applicable. Do not leave this space blank.</i> - NONE -				

Patricia Mulvaney
 Signature

July 19, 2021
 Date

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.





Disclosure of Financial Interest by a Current or Proposed Board of Trustees
Member

Name:

Whitney Kneisley

Name of Charter School Education Corporation (the Charter School Name,
if the charter school is the only school operated by the education
corporation):

North Haven Academy Charter School

1. List all positions held on the education corporation Board of Trustees ("Board")
(e.g. president, treasurer, parent representative).

Board of Trustees - Board Member

2. Are you an employee of any school operated by the education corporation?

Yes No

If **Yes**, for each school, please provide a description of the position(s) you
hold, your responsibilities, your salary and your start date.

3. Are you related, by blood or marriage, to any person employed by the school? **NO**

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

4. Are you related, by blood, marriage, or legal adoption/guardianship, to any
student currently enrolled in the school? **NO**

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

5. Are you related, by blood or marriage, to any person that could otherwise
benefit from your participation as a board member of this school? **NO**

If **Yes**, please describe the nature of your relationship and how this person
could benefit from your participation.

6. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

7. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six-month period prior to such service. If there has been no such interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-3 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of financial interest/transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to you
	n/a	n/a	n/a

8. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write "**None**."

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the	Steps taken to avoid conflict of interest
n/a	n/a	n/a	n/a	n/a

			interest	
n/a				

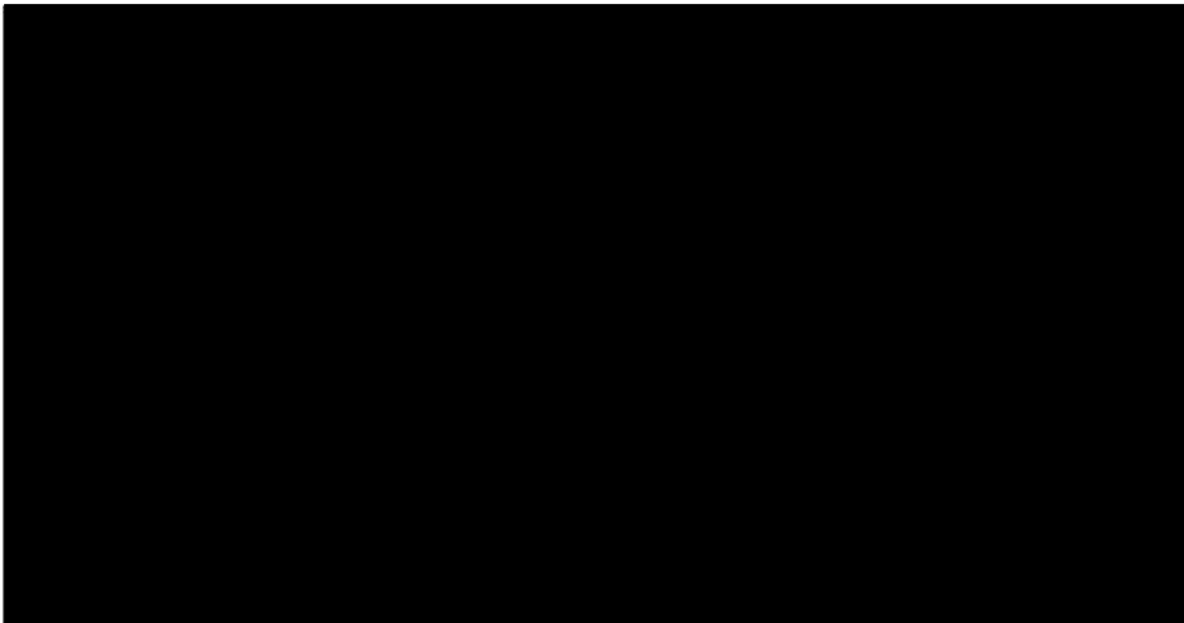


Signature

7/2/2021

Date

Please note that this document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
July 20, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Kathleen Flores, Janet Campagna, Christine Stokes and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. Guests included: Ashlyn Field, Elementary School Principal; Misty Awan, Middle School Assistant Principal, Zennea Chetta, Director of Data and Technology; and Dawnisha Lane, CSBM.

1. Approval of Prior Board Meeting Minutes

- A. June 22, 2020 minutes were approved
- B.

2. Personnel, Operational and Financial Matters

- A. Reopening prep and scenarios- school leaders discussed reopening plans for students and staff as well preparing the building for opening. Disposable masks have been ordered for students, as well as clear masks and face shields. Additional PPE has been ordered including but not limited to hand sanitizer, sanitizing wipes, plexiglass, hand washing stations, gloves, bathroom dividers, digital thermometers, toilet seat covers etc. Haven Academy is getting some information on thermal cameras as well for temperature checking in a less invasive manner. Haven Academy will be consulting with Dr. Joe Saccoccio on our reopening plans and safety measures. Haven Academy shared potential plans and scenarios for reopening. The working plan includes elementary school in the building 4 days per week and middle school being in the building on Mondays only and engaging in distance learning the rest of the week. Based on the recommendations of Dr. Socciccio, Students will be kept in "pods" where they are only engaging only within their pod to keep less risk of spread with fewer adults and children being exposed to each other. The board discussed safe transportation options.
- B. Staff survey data- survey was sent to staff asking about their ability to return to in person teaching or if they are asking for an accommodation for health reasons to work from home. 30% of the staff that has responded would like to have an accommodation for health reasons to work from home. We will need to determine how many students will be returning to in person learning to make the staff arrangements. Haven Academy will submit their reopening plans to the state before July 31.

3. **Legal, Regulatory and Governance Matters**

A. Board meeting dates for next year confirmed

4. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
August 31, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Janet Campagna, Christine Stokes, Whitney Kneisley, Bill Baccaglini and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Ashlyn Field, Elementary School Principal; Sarah Touma, Middle School Principal, Michael Windram, Elementary School Principal, Zennea Chetta, Director of Data and Technology.

1. Approval of Prior Board Meeting Minutes

- A. July 20, 2020 minutes were approved

2. Personnel, Operational and Financial Matters

- A. Review of Virtual Opening Plans - Haven Academy updated the board on their plans to open school virtually on September 2. Haven Academy is committed to supporting our families with internet, technology, social emotional needs along with their academic needs while attending school virtually. Haven Academy is working with families who are in need of internet hotspots to supply them with internet for learning. Teachers will be teaching both synchronous and asynchronous lessons throughout the day. Families have been given their schedules. Haven Academy is planning for virtual assessment.
- B. Review of Plans For In-Person - Plan remains the same as we discussed previously but we are not sure when in-person learning will resume yet. Haven Academy is going to make decisions based on data from the NYC DOE.

3. Academic and Social-Emotional Program Matters

- A. [Introduction to the Haven Portal](#) - Haven Academy engaged in work with LeverageEd to create a website to codify training materials as well as to share our mission work and engage new staff and stakeholders in our work.
- B. Haven Academy and The New York Foundling has partnered to create an alumni tutoring program to offer academic support to our Recent 8th grade graduates.

4. Legal, Regulatory and Governance Matters

- A. Budget Adjustments- Enrollment is stable, SPED numbers are steady and the budget is close to what was expected. Pre-K enrollment has changed now to 15 children max/class which caused a lack of revenue for a total of 6 Pre-K students. Budget adjustments will continue to be addressed for virtual learning.

5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
September 21, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Christine Stokes, Whitney Kneisley, Bill Baccaglini and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Ashlyn Field, Elementary School Principal; Sarah Touma, Middle School Principal, Michael Windram, Elementary School Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Dawnisha Lane, CSBM, Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- A. August 31, 2020 minutes

2. Personnel, Operational and Financial Matters

- A. Review of budget changes and standing - Financials were reviewed by the board. We will be operating in a surplus in our budget this year. We plan to stay fully enrolled as our charter allows. Haven Academy has received state aid per student at \$200 per student for last fiscal year and will make adjustments in the audit. Budget will be kept as if we are in person, but have adjusted the food budget to match actuals for now. Haven Academy will make adjustments in the budget once we can obtain more data on food costs.
- B. Fundraising- The New York Foundling is beginning to support donation management and fundraising for Haven Academy.

3. Academic and Social-Emotional Program Matters

- A. Summary of Sept 2 launch - Haven Academy presented to the board what our virtual remote learning looks like and how we are ensuring our students are learning. Haven shared daily schedules, synchronous and asynchronous lessons and social emotional support that is provided virtually. Also, how Haven is supporting teachers and families through virtual learning. The Haven Academy principals shared positive noticings they have witnessed in virtual learning. Middle School is working on strategic ways to have time for social interaction together and build a positive culture together. Haven Elementary reports that K-2 has been working on individual one-on-one assessment time to assess virtually. The introduction of the Seesaw platform has been very successful in engaging and providing feedback for Prek-2 students. Grades 3-5 is sending home weekly progress reports to ensure that parents are understanding what is required and completed by their children.
- B. Community input and feedback was shared

- C. Continued planning for in-person- Haven Academy is working on support for families of essential workers and also planning for full in-person instruction. Haven Academy is following data on positive Covid-19 cases to make a decision for opening. The board discussed staff returning to in-person and how to handle staff with preexisting medical conditions.
4. **Legal, Regulatory and Governance Matters**
- A. Changes to laws to allow for operations- Summary of changes to the laws shared.
 - B. School day count waiver, Regents, etc
5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
October 19, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Janet Campagna, Christine Stokes, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Ashlyn Field, Elementary School Principal; Sarah Touma, Middle School Principal, Michael Windram, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Dawnisha Lane, CSBM, Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. September 21, 2020 minutes approved

2. Personnel, Operational and Financial Matters

- a. Review of budget changes and standing - Haven is making slight adjustments to budgeting lines based on covid related distance learning and PPE etc. Should leave the budget with a surplus for unexpected expenses. SPED is on target for what is budgeted as of now but we are tracking closely so we can make sure evaluations are still done in a timely manner.
- b. Update on PPP loan application for forgiveness of our loan - Haven expects, after preparing all documents, to receive a full forgiveness for this loan, or worst case, having to pay a small amount back.

3. Academic and Social-Emotional Program Matters

- a. Launch of Learning Lab October 5, 2020- The purpose of the learning lab is to offer families who have identified barriers to virtual learning with a space and support staff to increase success in online learning. These barriers include: child care/work schedules and other identified needs. One member of the leadership team will supervise the assistant teachers and students each day. Lunch is served each day. All Haven Covid-19 procedures are in effect including health surveys, temperatures checks, social distancing and masks. A nurse is also in the building each day.
- b. Summary of virtual school quality and data- The Haven Academy Principals reported on how the elementary and middle school are collecting data, building community, supporting SE needs and collecting student data during virtual learning. Middle School is reporting a 96% average attendance rate.
- c. Community input and feedback - We have collected data from the staff on returning to the building for teaching and will begin to collect data from families once we have an opening date.

- d. Continued planning for in person - The building has been prepared for opening. Haven is still watching the Covid-19 numbers in the community to plan a date for opening.

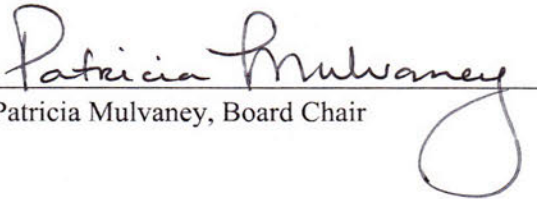
4. Legal, Regulatory and Governance Matters

- A. Changes to FPP and Memorandum- Allow for approved vendor purchases of supplies and materials and frequent approved purchases under a cluster purchase order. Haven will send exact details on the formal process in written form, the board approves this change with the note that Finance Chair JC will be reviewing the FPP changes and new procedure.

5. Executive Session- None held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
November 18, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Whitney Kneisley, Kathleen Flores, Janet Campagna, Christine Stokes, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Michael Windram, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Dawnisha Lane, CSBM, Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. October 19, 2020 minutes approved

2. Personnel, Operational and Financial Matters

- a. Review of Budget and COVID impact areas (food, trips, assets for tech, personnel): Budget is running a surplus for the year. We continue to adjust the budget lines for COVID spending especially in the area of technology. Haven Academy is on target for fundraising goals.
- b. Audit Summary - Audit was successfully completed and submitted to the State and City as needed. The school will look into the need for an audit of our 401k plan for next year.

3. Academic and Social-Emotional Program Matters

- a. Progress on Virtual Program- Haven Academy shared the success of nearly all families attending parent-teacher conferences. This was a big increase compared to our middle school conference attendance in the past and both families and teachers reported really liking the option of virtual conferences.
- b. Data from MS NWEA assessment-Haven Academy shared Fall NWEA data from our middle schoolers and some research on what the experts expected the COVID learning loss to be, how we are using the data and the interventions we are employing based on the data and covid learning losses. Haven Academy shared how students will be monitored, additional interventions put in place and the launching of an evening academy for our students who are showing learning losses over the COVID period.
- c. 3 Term Plan for IPL in January- Haven Academy shared their plans to open in January for in-person learning (IPL). Haven has paused on announcing the plan to parents because of the uncertainty of NYC DOE closing due to COVID during the week before Thanksgiving. Haven will keep the same plan in place but has not had families choose just yet to avoid confusion. Haven Elementary will be coming back first, then after review of the program and data we will decide if

middle school will return for IPL as well. The board discussed the timeline for telling families and opening while monitoring NYC school decisions.

4. Legal, Regulatory and Governance Matters

- a. DOH inquiry and follow up action (Discussion moved to next meeting)
- b. Haven Kids Rock and Unstoppable Option (Discussion moved to next meeting)

5. Executive Session- None held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
December 16, 2020**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Whitney Kneisley, Kathleen Flores, Janet Campagna, Christine Stokes, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Michael Windram, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Dawnisha Lane, CSBM, Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. November 18, 2020 minutes approved

2. Personnel, Operational and Financial Matters

- a. Budget Check In - The Board reviewed the monthly financials.

3. Academic and Social-Emotional Program Matters

- a. Data on Attendance and Engagement- Haven academy reported daily attendance for virtual learning at over 90% on average. Middle School achieving 95% daily attendance virtually. Comparing the growth from Winter 2020-Fall 2020, Haven Academy reported that NWEA map results are on par with the national average and better than the national average in some grades.
- b. Data from our Team Survey- Haven Academy shared data with the board on the staff returning to in person instruction.
- c. Implementation Dashboard Review -Jessica shared the schools goals dashboard. The goal of this dashboard is to provide regular data of how Haven Academy is making progress toward its goals during the 2020-21 school year by reporting key leading indicators of academic achievement and tracking major milestones.

4. Legal, Regulatory and Governance Matters

- a. DOH status-Haven academy is submitting DOH Covid data daily and keeping up with all covid compliance. Haven Academy is currently considering options for testing students when in the building. We are looking into options to do the testing in the building for the required testing when in-person.
- b. Unstoppable The Musical Option- Haven Academy discussed next steps for the Unstoppable The Musical and some options that they would like to look into for telling the story in other formats.

5. Executive Session- None held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
January 20, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Meghan Mackay, Whitney Kneisley, Kathleen Flores, Janet Campagna, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Michael Windram, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. December 16, 2020 minutes approved

2. Personnel, Operational and Financial Matters

- a. Budget Check In - Enrollment is over maximum budgeted enrollment. Haven Academy is evaluating surplus in budget and additional costs for food and technology as well as Covid Testing. Haven Academy is proud to ensure that every student has access to educational technology to participate in their virtual learning.

3. Academic and Social-Emotional Program Matters

- a. In-Person instruction update - Haven Academy has been working with staff teams to plan for in-person return for elementary school in February , including scheduling, Covid-19 procedures, class lists, preparing the building etc. The staff has had the opportunity now to schedule appointments for their Covid-19 vaccines. About 50% of the elementary students have chosen to come back in February to in-person learning. Families have chosen if they want to return to in-person learning or remain virtual when the school opens in February. They will be given another chance to change this choice sometime in March.
- b. Snapshot from staff survey - Haven Academy shared out snapshots from the survey of the instructional team showcasing the positive feelings and confidence around teaching and learning in a virtual learning environment and having the support and skills to ensure the students are making growth and are engaged in this learning model.

4. Legal, Regulatory and Governance Matters

- a. COVID Testing update-Haven Academy is providing Covid-19 testing for both students and staff and following guidelines to test 20% of the students and staff in the building every week. We have provided families and staff with materials on what the protocols are and consent forms to ensure everyone is able to be

tested when they are randomly selected.

5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
February 24, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Whitney Kneisley, Kathleen Flores, Janet Campagna, Bill Baccaglini, Christine Stokes, Meghan Mackay, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Ashlyn Field, Elementary School Principal, Michael Windram, Elementary School Assistant Principal, Gleendy Marte, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. January 20, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Budget Check In- overall revenue increase from some grant money that was taken in and was not budgeted in the beginning of the year. Technology supplies and costs will be over budget/food costs will be under budget. Covid-19 testing was not budgeted. Haven Academy will inquire with the NYC DOE in what they will cover for Covid-19 testing.
- b. State offered CSP allocation and Haven's participation (\$49K)- Haven will get about 49K for Covid spending relief through a state funded grant.

3. Academic and Social-Emotional Program Matters

- a. In-Person instruction update : Haven Academy reported that the first day of in-person learning went extremely well, safety measures are working well and able to be sustained. Staff and families are excited to be back in the building.
- b. Review of MS NWEA Data: Haven Academy shared positive results from the Winter NWEA Map testing for Middle School.

4. Legal, Regulatory and Governance Matters

- a. COVID Testing update and family communications routine- first week of Covid-19 testing for in-person learning went very smoothly. There were 0 positive tests reported after testing all staff and students for returning to in-person learning. Haven will communicate with staff and families in a timely manner upon notification of any positive test result in our community.

5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
March 24, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Whitney Kneisley, Janet Campagna, Bill Baccaglini, Christine Stokes, Kathleen Flores, Meghan Mackay, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Ashlyn Field, Elementary School Principal, Gleendy Marte, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. February 24, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Budget Summary and Review- Haven Academy reviewed the monthly financial report.
- b. Orr Group Fundraising Recommendations (Board Member Fundraising Commitments)-Anjelica Garcia from The NYF presented long term and short term goals for philanthropic growth and sustainability. The goal is to deepen our relationship with current donors and to increase the number of donors that we work with. The Orr group created a roadmap customized to meet Haven Academy's unique needs, harness opportunities and overcome current challenges. Data was collected and reviewed from key stakeholders to create this roadmap and their recommendations. The Orr group, in consultation with Haven Academy and The New York Foundling, created some "case for support" collateral for future donors.
- c. 990 Draft Approval (previously shared with Finance Committee)-Approved

3. Academic and Social-Emotional Program Matters

- a. In-Person instruction update- Elementary Principal gave an update on in-person instruction and discussed COVID-19 procedures. April 19th we will welcome back about 50 more students to our elementary in-person learning programming in the building. The leadership team is planning for their return. The Middle School principal discussed their plans for reopening middle school to our 6th graders. 6th graders will return for the first time in-person on April 5th. The Middle School team has been preparing for the opening. About 35% of our

students will remain virtual based on parent choice.

- b. StateWide academic testing update- Haven Academy presented the current information from the state on the NYS testing program.

4. **Legal, Regulatory and Governance Matters**

- a. State discussions about Teacher Vaccine reporting ongoing and reviewed by our legal counsel Kevin Quinn-Haven Academy has followed up with legal counsel and concluded that we will not legally need to report teacher vaccination status.

5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
April 28, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Whitney Kneisley, Janet Campagna, Bill Baccaglini, Christine Stokes, Kathleen Flores, Meghan Mackay, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Ashlyn Field, Elementary School Principal, Gleendy Marte, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. March 24, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Staff Changes-Ashlyn Field, Elementary Principal, announced her resignation effective July 1. Michael Windram will assume the role as Lower School Principal and Sarah Touma will expand her leadership in the role of Upper School Principal.
- b. Budget Summary and Review- Haven Academy reviewed the monthly financial report.
- c. Next Steps from Orr Group Report (Anjelica from NYF) -Anjelica reviewed recommendations from the Orr group to potentially recruit more board members and to create an advisory group within the board. Anjelica also presented a new Board of Trustees Prospectus and the board provided some feedback. Discussion held on board term changes and board supports in the form of junior board or other TBD named group.

3. Academic and Social-Emotional Program Matters

- a. In-Person instruction update- For next year, Haven Academy is planning for space and staffing for 100% of their students to be back in-person for 2021-2022.
- b. StateWide academic testing update- ELA Testing was successful and complete. Feedback from families and team confirmed the school's testing plan met student and family needs. Math test will occur in May.
- c. Year End Planning - Summer school initiatives are in the planning phase. Haven will offer academics in the morning and enrichment in the afternoon (pending provider funding and commitment). The DOE is also offering a DOE summer



program to all NYC students and we will also be sharing that with students and helping them with registration if interested. This program is also academic and enrichment.

4. **Legal, Regulatory and Governance Matters**

- a. PPP Loan Forgiveness application in progress- Haven Academy has officially requested 100% forgiveness for the PPP loan and awaits a final decision on forgiveness.

5. **Executive Session- None held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
May 26, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Whitney Kneisley, Janet Campagna, Bill Baccaglini, Christine Stokes, Kathleen Flores, Meghan Mackay, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Ashlyn Field, Elementary School Principal, Gleendy Marte, Elementary School Assistant Principal, Zenea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. April 28, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Preliminarily fiscal 2022 budget review-Haven academy shared out key assumptions and risks as they connect to the preliminary budget. Explored opportunities new revenues such as the ESSER II may present. The board was given time to review and to ask clarifying questions and give input.
- b. Next Steps on Board Development Planning (Anjelica from NYF)-Anjelica will be reaching out to board members for input. Anjelica answered some clarifying questions that came up around term-limits at the last meeting.

3. Academic and Social-Emotional Program Matters

- a. In-Person instruction update
- b. Summer Programming update (Haven invite only and DOE Summer Rising)-Haven shared out plans for summer school.
- c. Year End Planning and DOE announcement of next year 100% return- Haven will likely follow the DOE announcement on full in-person instruction for September and will share out a full plan to the board soon.
- d. Haven Kids Rock Program Update, May 31 Dress Rehearsal and June 3 Showcase-Board was invited to attend the show and dress rehearsal.

4. Legal, Regulatory and Governance Matters

- a. PPP Loan Forgiveness application in progress

5. Executive Session- Convened

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney

Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
June 23, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Janet Campagna, Bill Baccaglioni, Christine Stokes, Kathleen Flores, Meghan Mackay, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Middle School Principal, Gleendy Marte, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. May 26, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Fiscal year 2022 budget review and approval: Haven Academy shared out FY2022 budget projections and year end budget close out for FY2021. The board was given the opportunity to ask clarifying questions and give comments. The board gave preliminary budget approval, pending the finance committee's final review.
- b. Board Roles Document review : A one page document was shared with the board outlining the Board Member roles and responsibilities in general. This document will be used for recruitment going forward.

3. Academic and Social-Emotional Program Matters

- a. End of Year Data Discussion : Haven Academy shared year end academic, attrition, and attendance data. The Board and Haven discussed ways in which intervention to fill Covid related learning gaps is being planned. Haven shared high school acceptance analysis for the 8th grade graduating class. Additionally, Haven Academy's first cohort of students taking the regents had a 10/16 pass rate, and 100% of the 16 receiving HS credit for the Regent's course.
- b. Summer Programming update: There will be about 100 children served in the Summer school program this summer at Haven. The goal of the Summer Program is to identify and close the gaps related to Covid learning loss.

4. Legal, Regulatory and Governance Matters

- a. PPP Loan Forgiveness application has been approved for full forgiveness
- b. NYC DOE Reopening Plan

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was

adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

Haven Academy Board of Trustees

Head of School/Executive Director

Organizational Systems

Operations Directors:
- External Affairs
- Human Resources and Students Operations

Data and Technology

Director of Facilities

Operations Associates

Food Service Program

Elementary School Principal

Director of Social Services -Elem

Family Student Specialists

Social Work Interns

Assistant Principals -Elementary

Directors of Program

Teachers Special Area
Teachers Specialists

Associate and Assistant Teachers and School Aides

Middle School Principal

Assistant Principal Middle School

Directors of Program

Teachers Special Area
Teachers Specialists

Associate and Assistant Teachers and School Aides

Director of Social Services-Middle

Guidance Counselor

Social Work Interns

Alumni Support Program

K-8 Instructional Directors and Department Heads

2021-2022 SCHOOL CALENDAR

August 31, 2021	Tuesday	First Day of School	
September 6, 2021	Monday	Labor Day	NO SCHOOL
September 7-8	Tuesday and Wednesday	Rosh Hashanah	NO SCHOOL
September 14, 2021	Tuesday	Back to School Night	
September 16, 2021	Thursday	Yom Kippur	NO SCHOOL
October 11, 2021	Monday	Indigenous Peoples' Day	NO SCHOOL
November 11, 2021	Thursday	Veterans' Day	NO SCHOOL
November 18-19, 2021	Thurs-Friday	Pre-K to 8 Conferences	HALF DAY
November 24-26, 2021	Wednesday-Friday	Thanksgiving	NO SCHOOL
December 24, 2021- January 7, 2022	11 Days	Winter Recess (Students return to school on January 10, 2022)	NO SCHOOL
January 17, 2022	Monday	Dr. MLK Jr. Day	NO SCHOOL
February 1, 2022	Tuesday	Lunar New Year	NO SCHOOL
February 17-18, 2022	Thursday-Friday	Pre- K to 8 Conferences	HALF DAY
February 21-25, 2022	5 Days	Mid-Winter Recess (Students return to school on February 28, 2022)	NO SCHOOL
March 29-31, 2022	3 days	State Test for grades 3-8	
April 13-14, 2022	Thurs-Friday	MS Conferences	HALF DAY
April 15 - 22, 2022	6 Days	Spring Recess (Students return to school on April 25, 2022)	NO SCHOOL
April 26-28, 2022	3 days	State Test for grades 3-8	
May 2, 2022	Monday	Eid al-Fitr	NO SCHOOL
May 30, 2022	Monday	Memorial Day	NO SCHOOL
June 9, 2022	Thursday	Chancellor's Day	NO SCHOOL
June 10, 2022	Friday	Field Day	
June 16, 2022	Thursday	Last day of School	

School Hours

Pre-K:

7:45 arrival and 3:15 dismissal

Kindergarten- 8th grade:

7:45 arrival and breakfast and 3:25 dismissal

*** State Testing for grades 3-8 will occur: the week of March 29th and the week of April 26th (Attendance MANDATORY)

Calendario de 2021-2022

31 de agosto 2021	martes	Primer día de escuela	
6 de septiembre 2021	lunes	Día laboral	NO HAY CLASE
7-8 de septiembre 2021	martes y miercoles	Rosh Hashanah	NO HAY CLASE
14 de septiembre 2021	martes	Noche de Regreso a la Escuela	
16 de septiembre 2021	jueves	Yom Kippur	NO HAY CLASE
11 de octubre 2021	lunes	Indigenous Peoples' Day	NO HAY CLASE
11 de noviembre 2021	jueves	Día de los Veteranos	NO HAY CLASE
18-19 de noviembre 2021	jueves y viernes	Conferencias	MEDIO DIA
24-26 de noviembre 2021	3 Días	Acción de Gracias	NO HAY CLASE
24 de diciembre 2021- 7 de enero 2022	11 Días	Receso de Invierno (Estudiantes regresar a escuela a 10 de enero del 2022)	NO HAY CLASE
17 de enero 2022	lunes	Día de Dr. MLK, Jr.	NO HAY CLASE
1 de febrero 2022	martes	Lunar New Year	NO HAY CLASE
17-18 de febrero 2022	jueves y viernes	Conferencias	MEDIO DIA
21-25 de febrero 2022	5 Días	Receso de Invierno (Estudiantes regresar a escuela a 28 de febrero del 2022)	NO HAY CLASE
29-31 de marzo 2022	3 Días	Las pruebas estatales para los grados 3-8	
13-14 de abril 2022	miercoles y jueves	Conferencias	MEDIO DIA
15-22 de abril 2022	6 Días	Vacaciones de Primavera (Estudiantes regresar a escuela a 25 de abril del 2022)	NO HAY CLASE
26-28 de abril 2022	3 Días	Las pruebas estatales para los grados 3-8	
2 de mayo 2022	lunes	Eid al-Fitr	NO HAY CLASE
30 de mayo 2022	lunes	Día Conmemorativo	NO HAY CLASE
9 de junio 2022	jueves	Chancellor's Day	NO HAY CLASE
10 de junio 2022	viernes	Día de Campo	
16 de junio 2022	jueves	último día de clases	

Horas de Escuela:

Pre-K:

7:45 am llegada y 3:15 pm salida

Kindergarten - 8th grado

lunes a viernes 7:45 am llegada y desayuno - 3:25 pm salida

***Las pruebas estatales para los grados 3-8 se llevarán a cabo: la semana del 29 de marzo y la semana del 26 de abril
(Asistencia OBLIGATORIA)

CLIENT - SUBSCRIBER	SERVICED
NY Foundling 590 6th Avenue New York, NY 10011	170 Brown Place Bronx, NY 10454

SERVICE REQUEST

CALL AUTHORIZED BY Jonatan Reinoso; Pablo Solis; Robert	CONTRACT # SC1812	FEE SERVICE # NEW019	PO #
PHONE [REDACTED]	FAX [REDACTED]	DATE Friday 4/30/2021	TIME SCHEDULED 14:30:00
			TECHNICIAN(S) ASSIGNED LI

MATERIAL RECORD

QUANTITY	DESCRIPTION

SERVICE RECORD

TYPE OF SERVICE	TECHNICIAN(S)	S98/S78 CERT. #	DEPART	TIME IN	TIME OUT	RETURNED	TOTAL	SIGNED
Contract Service Call	Lev Iglanov		1:50 PM	2:20 PM	2:50 PM	3:20 PM	4	<i>[Signature]</i>
Edwards EST-3								

AUTHORIZATION FOR FIRE ALARM INTERRUPTION AND OTHER LEGAL NOTICES

[Signature]



Certificate of Occupancy

CO Number: 210057089F

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. *This document or a copy shall be available for inspection at the building at all reasonable times.*

A.	Borough: Bronx	Block Number: 02263	Certificate Type: Final
	Address: 170 BROWN PLACE	Lot Number(s): 1	Effective Date: 10/31/2011
	Building Identification Number (BIN): 2000023	Building Type: Altered	
<i>For zoning lot metes & bounds, please see BISWeb.</i>			
B.	Construction classification: 1-C	(1968 Code)	
	Building Occupancy Group classification: G	(1968 Code)	
	Multiple Dwelling Law Classification: None		
	No. of stories: 7	Height in feet: 97	No. of dwelling units: 0
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkler system, Fire Suppression system		
D.	Type and number of open spaces: None associated with this filing.		
E.	This Certificate is issued with the following legal limitations: None		
Borough Comments: None			

Borough Commissioner

Commissioner



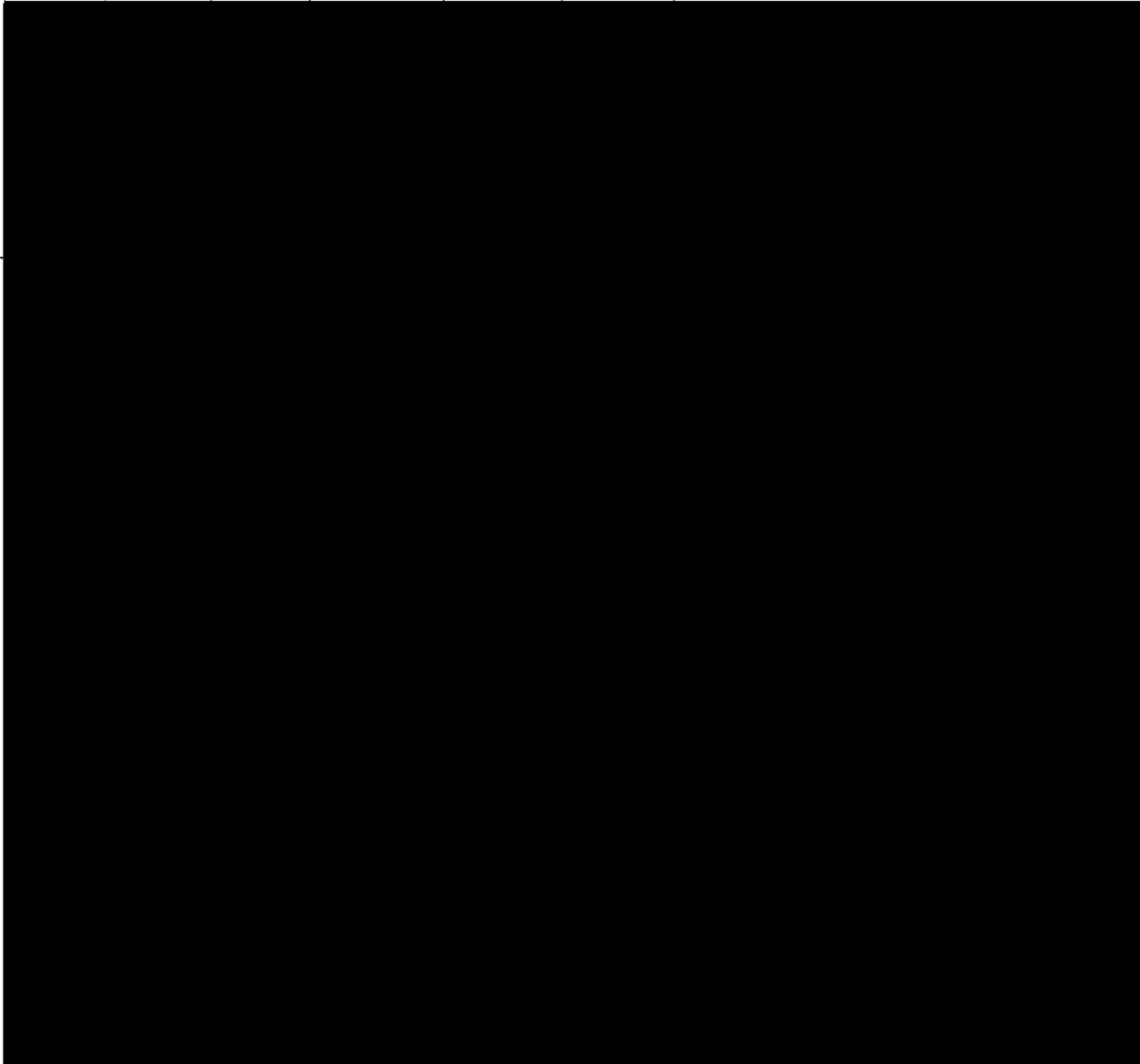
Certificate of Occupancy

CO Number: 210057089F

Permissible Use and Occupancy

All Building Code occupancy group designations are 1968 designations, except RES, COM, or PUB which are 1938 Building Code occupancy group designations.

Floor From To	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
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Borough Commissioner

Commissioner



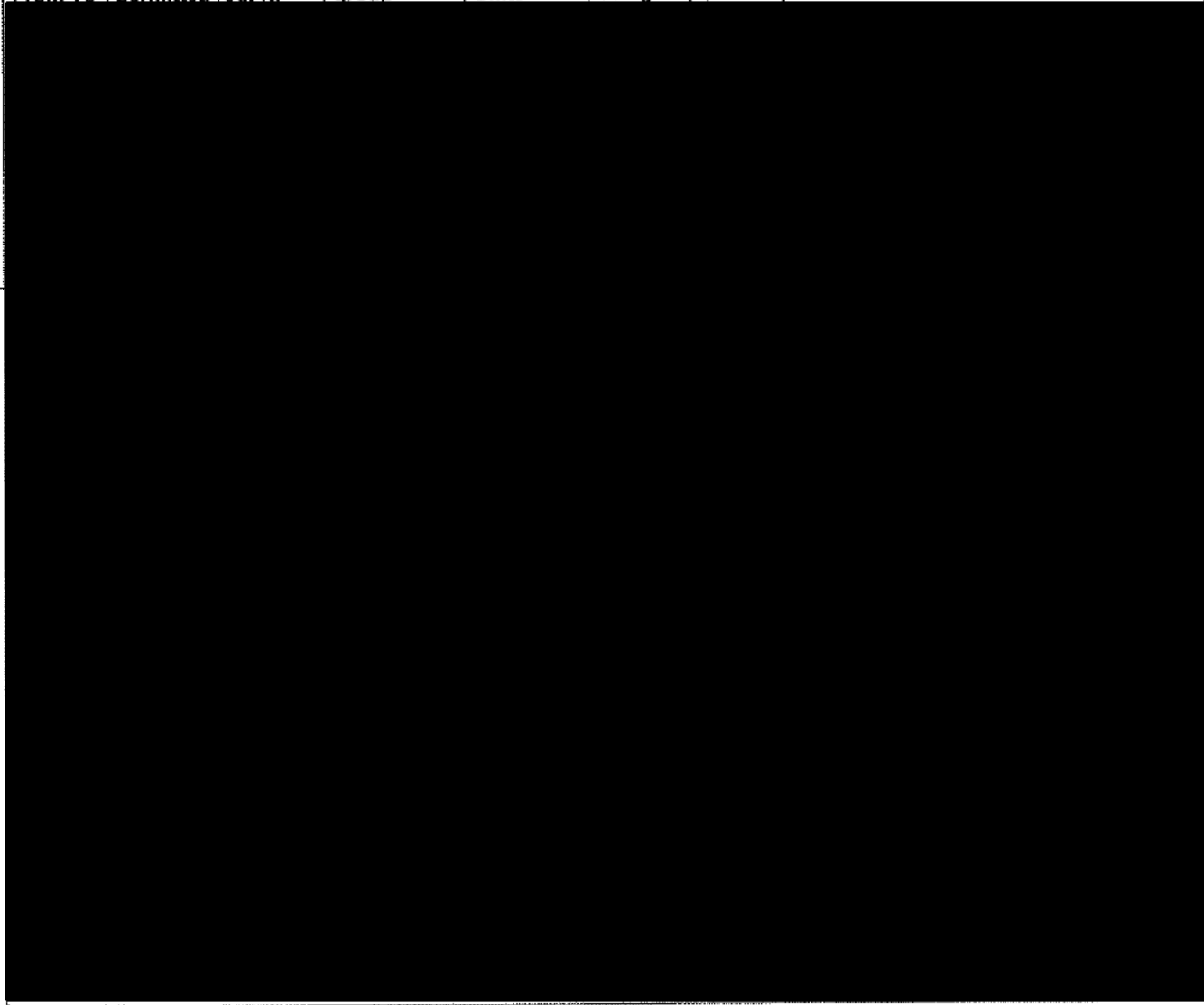
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END OF SECTION

Borough Commissioner

Commissioner

END OF DOCUMENT