Principal Preparation Project (October 17, 2016)

Table 1: Verbatim responses from 20 Advisory Team members to the homework prompt: "What key beliefs should we consider as a philosophical guide for this work?"

#	Verbatim Responses	Categories ¹	Categories ²	Categories ³	Categories ⁴	Categories ⁵
1	 Must have the necessary knowledge, skills and dispositions before taking on the position. Can and does impact student learning. Has a moral obligation to assure all students succeed. Pursues the role as a calling not a career path. Perceives instructional leadership as primary to the role. Is committed to life-long learning as a fundamental personal responsibility. 	 Capacity Expectation Incentive Opportunity Expectation Incentive 	 Access Efficiency Access Quality Efficiency Quality 	 Resource Mission Mission Power Structure Power 	 How we do it Why we exist Why we exist What we do What we do How we do it 	 Design Impact Assumption Design Impact Recruitment criteria
2	 The leader of a school building has a tremendous impact on the learning opportunities for students in that school. The quality of a building leader's preparation has a major impact on his/her ability to provide strong school leadership. We need to attract and retain the most talented pool of school leaders possible. Effective school leader preparation includes a combination of academic study and authentic, relevant field experience. Effective school leaders build, maintain, and enhance strong relationships within the school and the community. 	 Opportunity Opportunity Opportunity Capacity Expectation 	 Access Quality Quality Access Access 	 Mission Quality Resource Structure Mission 	 Why we exist How we do it How we do it How we do it What we do 	 Assumption Design Recruitment criteria Design Impact
3	 The mission of public education in New York is to help grow good people who happen to be smart people. Principals play a lead role in maximizing student achievement. Principal preparation programs should be rigorous and effective. Diversity is our only hedge against extinction; therefore, our principal cohorts should be diverse. 	 Expectation Expectation Opportunity Expectation 	 Quality Efficiency Quality Equity 	- Mission - Power - Structure - Resource	 Why we exist What we do How we do it How we do it 	 Assumption Impact Design Recruitment criteria
4	 School management is change management. How can the wealth of knowledge on change management be leveraged to better prepare new leaders? Research supports the notion that school culture is foundational to increased achievement. How are we addressing school culture in preparation and leadership development programs? Research points to specific leadership characteristics that are necessary to be successful in our lowest performing schools. How can or are we leveraging this knowledge in guiding preparation programs? Family and community engagement are significant components, especially for schools in our most challenging areas. 	 Capacity Expectation Expectation Opportunity 	 Quality Efficiency Quality Equity 	 Power Power Structure Resource 	 Why we exist What we do What we do How we do it 	 Design Assumption Assumption Impact

¹ The Council of Chief State School Officers (CCSSO) has identified four policy levers available to the leadership of State Educational Agencies (SEAs). They are expectations, incentives, capacities, and opportunities.

² Henry Braun (Director of the Center for the Study of Testing, Evaluation, and Educational Policy at Boston College) describes how every educational system is a mix of four elements: quality, efficiency, equity, and access.

³Robert Terry (former director of the Hubert Humphrey Center for Policy Studies at University of Minnesota) described how factors related to change can be placed into one of four classifications: mission, power, structure, and resources.

⁴ William J. Cook (founder of the Cambridge Group and author of *Strategic Planning for America's Schools*) describes a sequence that organizations can use to develop strategic plans.

⁵ Four themes emerged from the responses Advisory Team members provided: assumption, design, recruitment criteria, and impact

#	Verbatim Responses	Categories	Categories	Categories	Categories	Categories
5	 Each building level administrator must be able to: Believe in ALL learners Think critically through unexpected situations that arise Anticipate unintended outcomes of decisions made Know and understand the research of teaching and learning as it pertains to brain research and poverty Build leadership capacity within the school Reflect upon their practices in an open and honest manner - embrace continuous improvement Build & maintain positive relationships with community partners; families; students; colleagues; Board members See the bigger picture - district-wide perspective (need to understand their individual role is part of tm of leaders) Understand P role is to encourage/support/supervise Ts for purpose of improving S achievement; must be instr'l ldrs 	 Expectation Capacity Expectation Expectation Opportunity Expectation Expectation Capacity Expectation Expectation Expectation 	 Equity Quality Efficiency Access Efficiency Access Access Access Efficiency Quality Quality Quality 	 Resource Power Power Resource Power Mission Structure Power Mission Mission 	 How we do it What we do What we do What we do What we do Why we exist What we do 	 Recruitment criteria Design Design Impact Assumption Design Assumption Design Assumption Design Design
6	 Through their actions and guidance principals are responsible for developing a professional culture where: Growth mindset is evident. Everyone can learn and there are many different ways to learn; Adults hold high expectations for themselves and others to promote excellence; Trust, respect, responsibility, caring, and encouragement are present; All adults are positive role models who profoundly influence students and each other; Decision making involves a process with those affected/impacted by decisions. Staff is empowered and committed to develop and implement plans and are held accountable for the results. There are clear expectations (mission/vision/commitments) that are developed/shared with community stakeholders Leadership is shared to inspire/support staff to improve culture/instruction by monitoring/discussing progress & Irng 	 Expectation 	 Equity Quality Quality Access Access Efficiency Access Efficiency Efficiency Efficiency 	 Mission Mission Power Power Power Power Structure Power 	 Why we exist Why we exist How we do it How we do it How we do it How we do it What we do What we do 	 Assumption Assumption Assumption Assumption Design Design Impact Impact
7	 Every effort should be made to have ALL children attend the school and the class they would have attended had they not had disabilities. Thus, all children would be welcomed into our schools and our classrooms. NYS has highest %age of students in segregated placements (appalling); must be addressed immediately at every level Families have more influence on the path their children take in life than we do as prof'ls; thus we need to welcome them in all aspects as equal contributing members, not guest that are invited to hear what was already decided Building administrators should be responsible for all children in who attend their schools. There is a wealth of innovation behind our neighborhood, county, state, and country. We need to look at what works on other places and consider it for use in our schools - rather than to look at the next district as our yard stick. Educational technologies should be treated as a medical treatment that warrant controlled studies and evidence that they are effective and when those technologies are identified, they should be mandated. Free choice of interventions leaves children at risk for receiving decades of ineffective teaching strategies. Similarly, how we address behavior in all of our schools should be through the use of evidence based interventions. Administrators need mentors who have desired qualities, not just a title; titles don't always mean a person is qualified If we want certain qualities in school leaders there must be careful screening process & potential leaders must receive experiences & instruction in content we desire to have in the repertoire (requires oversight of preparation programs) Never underestimate power of small grp of committed ppl to change world; its the only thing that ever has (M Mead) 	 Expectation Expectation Opportunity Expectation Opportunity Incentives Expectation Capacity Incentives Opportunity 	 Access Access Access Equity Quality Quality Quality Access Access Access Efficiency 	 Structure Power Power Mission Resource Power Power Resource Structure Power 	 Why we exist What we do What we do Why we exist How we do it How we do it How we do it What we do What we do What we do What we do 	 Assumption Assumption Impact Design Assumption Design Design Recruitment criteria Recruitment criteria Impact

#	Verbatim Responses	Categories	Categories	Categories	Categories	Categories
8	- Principal prep programs should offer real-life, job-ready experiences and training opportunities.	- Expectation	- Access	- Structure	- What we do	- Design
	- Universities/principal prep programs should do all they can to evaluate and reevaluate their programs.	- Incentive	- Quality	- Structure	- What we do	- Impact
	- Universities/principal prep programs should seek regular feedback from districts, program participants & practitioners	- Opportunity	- Access	- Resource	- How we do it	- Design
9	- I believe that good leaders understand that in order for a school to thrive and show progress leadership has to be	- Expectation	- Access	- Power	- What we do	- Design
	distributive. Specifically, every person has to be accountable and play a role within the school community; parent					
	leaders, teacher leaders, student leaders, community leaders and of course the administrators have to work together.					
	In essence, a principal preparation program should prepare candidates for what effective distributive leadership looks					
	like and have them engage in a mini-project to experience how important work gets successfully completed at the					
	school level when all stakeholders come together to do their part. If we are being charged with this work, then we					
	should believe or agree to come to consensus about the importance of distributive leadership.					
	- I believe that every student that attends school is unique with a story to share, and can learn, therefore it is important	- Expectation	- Equity	- Mission	 Why we exist 	- Impact
	that the principal ensures the learning environment is welcoming to all students regardless of socio-economic status,					
	religion, race, sexual orientation, native language, disability, and level of knowledge/skill. As we engage in this work					
	we should consider <u>putting into place an area of principal preparation that allows principals to focus on what their</u>					
	beliefs are about education and how they will either establish or maintain a culture of high expectations in a					
	respectful, safe and nurturing environment that supports and celebrates creativity, risk-taking and sharing of ideas. As					
	an advisory team for principal preparation we should be able to come to consensus about how students learn best					
	and incorporate those ideas, or at least have them guide our work.					
	- This may be controversial, but I personally believe that it's becoming a bit too easy to become a principal. In my	- Incentive	- Quality	- Power	 How we do it 	- Recruitment criteria
	opinion, a principal preparation program is not enough unless we add some mandated components. An idea that may					
	guide our work is making the selection process for getting into these principal preparation programs more rigorous by					
	adding additional pre-requisites and also adding an "exit" requirement. In New York City, all candidates must be part					
	of a principal's pool and cannot be hired as a principal without being accepted into this pool. Candidates receive a					
	score which superintendents review when making leadership decisions. As an advisory team we may want to come to					
	consensus about what qualifies a person to gain entry into a principal prep program and ultimately the "pool" for					
	prospective employment.			_		
	- We discussed at our last meeting that <u>a mentoring and/or internship/service training program would be beneficial for</u>	- Capacity	- Access	- Resource	- What we do	- Design
	prospective leaders. We should work on making that the norm across the state, but adding extra years of mentoring					
	once they become principals (at least in year one).					
	- Although we have not fully agreed yet on what a principal preparation program should offer, I think we could all agree	- Opportunity	- Access	- Structure	- How we do it	- Recruitment criteria
	that these programs are expensive and may exclude certain groups from entering these programs due to cost. If we					
	could agree that there may be great candidates who may never be eligible to become principals in their communities					
	merely because of their financial status, then it may be possible to <u>consider a "give back" incentive where candidates</u>					
	pay half for committing to their community. This needs to be fleshed out more but the philosophy here is that there					
	are great individuals who have the potential to be great leaders if only they had the opportunity.	Canaaitu	Efficience:	Charles the set		Design
	- I believe that being the leader of ANYTHING is quite stressful, but being the instructional leader of a school that may	- Capacity	- Efficiency	- Structure	- What we do	- Design
	or may not be in a successful district is beyond stressful. We have to teach our leaders which battles to "fight" and					
	how not to burn out. As a first year principal, I had to learn how to take criticism, negotiate, be diplomatic, ask					
	questions, research best practices and programs, and present school data in a friendly and understandable manner to					
	parents, students, and teachers. There is sometimes an assumption that the principal knows it all, and that's a scary					
	place to be, so we have to add a component of emotional intelligence to all principal prep programs or run the risk					
	that many will begin this career, but not make it. How do we ensure we give our leaders the tools to survive?					

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10 .	We must develop leaders who are child centered and believe that everything we do must be based on the best interests of the students and result in better learning opportunities for all children.	- Expectation	- Quality	- Mission	- Why we exist	- Assumption
	We must believe that every child can learn and can make significant progress based on their individual needs and abilities.	- Expectation	- Equity	- Mission	- Why we exist	- Impact
	We must believe that every teacher can teach and can reach their full potential with the right guidance, support and staff development opportunities.	- Expectation	- Access	- Structure	- What we do	- Impact
	We must strive to build positive relationships and trust with the entire school community.	- Expectation	- Access	- Structure	- What we do	- Design
	We must build sustainable leadership capacity by appropriately empowering others and creating a culture of shared decision making and collaborative teaming.	- Expectation	- Quality	- Power	- How we do it	- Design
	We must implement data based decision making utilizing multiple forms and measures of assessment. Assessment must also be constant and ongoing.	- Expectation	- Efficiency	- Power	- What we do	- Design
	We must always act with integrity, fairness and respect for all.	- Expectation	- Quality	- Power	- How we do it	- Recruitment criteria
	We must foster a caring culture that promotes kindness, patience, and empathy.	- Expectation	- Quality	- Power	- What we do	- Design
.	We must live by the rule "seek first to understand and then be understood".	- Expectation	- Efficiency	- Power	- How we do it	- Design
	We must always strive for excellence knowing that progress is a process with ups and downs that takes time.	- Expectation	- Quality	- Mission	- Why we exist	- Recruitment criteria
11 .	Effective leading and teaching requires community engagement	- Expectation	- Access	- Power	- What we do	- Recruitment criteria
	Commitment from all stakeholders to engage and be engaging	- Opportunity	- Quality	- Power	- What we do	- Design
	Transparency from administrators regarding expectations, requests, and deliverables	- Incentive	- Access	- Power	- What we do	- Design
-	Effective leadership is a process of enculturating teachers and staff	- Incentive	- Access	- Structure	- What we do	- Design
	Leadership should be proactive and inclusive	- Expectation	- Access	- Power	- How we do it	- Design
	Effective leadership seeks short, mid, and long term goals to be in place for all in the building and community	- Expectation	- Efficiency	- Structure	- What we do	- Design
	Effective leadership assumes the position of change in society and keeping up with the changes that are inevitable	- Expectation	- Quality	- Power	- Why we exist	- Impact
	Culturally responsive leadership will lead to better understanding & guiding the development of school & community	- Capacity	- Equity	- Power	- How we do it	- Assumption
	Meaningful leadership requires nurturing, interaction, and constant communication with everyone in the building	- Opportunity	- Access	- Power	- How we do it	- Assumption
	Effective leadership consists of reflections from all for better preparation and execution in the future	- Opportunity	- Access	- Structure	- How we do it	- Assumption
12 .	Focus on Instruction – Aspiring Building Administers must be trained on instructional pedagogy that leads to increased student achievement. Aspiring administers must learn how to conduct classroom observations, observe strengths and areas of growth, and provide meaningful and targeted professional development.	- Capacity	- Quality	- Mission	- Why we exist	- Impact
	Focus on Managerial - Operations of School Systems is a critical skill to ensure an environment and culture of learning.	- Capacity	- Efficiency	- Structure	- What we do	- Assumption
	Field of Study – Aspiring Administers must be trained in elem, middle and/or secondary curriculum. For instance, elementary principals will focus on early literacy instruction. Primary instruction looks different from AP chemistry.	- Capacity	- Quality	- Structure	- What we do	- Design
	Internships— A growing body of research supports the effectiveness of long-term internships to support, observe and mentor aspiring building leaders.	- Opportunity	- Access	- Structure	- How we do it	- Design
	Mentorships – Researching the effectiveness of mentorship during the entire probationary period of aspiring administers. Regular observations by assigned mentor	- Opportunity	- Access	- Structure	- How we do it	- Design
	Professional Development – Targeted and meaningful PD offered to teachers to improve instructional practices. In addition, PD offered to sitting administers on ways to improve their day-to-day practices.	- Capacity	- Access	- Resource	- How we do it	- Design
.	How to involve and engage parents in the learning process	- Capacity	- Access	- Power	- What we do	- Design
.	Working with Students with Disabilities – Focus on instructional concerns and support to special education.	- Capacity	- Equity	- Mission	- What we do	- Design
.	Community Based Partners – Working and partnering with CBOs to support the mission and vision of our schools	- Opportunity	- Efficiency	- Resource	- What we do	- Design
	Meaningful Feedback and Evaluations – the objective is to support instructional improvement and inform opportunities to grow and develop.	- Incentive	- Efficiency	- Mission	- What we do	- Design

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13	I feel that the beliefs we should focus on are:					
	 Understanding instruction and being an educational leader to improve instruction 	- Expectation	- Quality	- Mission	- Why we exist	- Impact
	- An understanding of 21 st century skills to build programs that will help benefit our students (course offerings)	- Capacity	- Quality	- Resource	- How we do it	- Design
	- Collaboration with the building and the community (all stakeholders)	- Opportunity	- Access	- Power	- What we do	- Design
	- Compassion for the staff and students of the building	- Expectation	- Equity	- Power	- How we do it	- Recruitment criteria
	- Shared decision-making with true transparency	- Incentive	- Efficiency	- Power	- How we do it	- Design
14	- Principals must believe and articulate that all students can achieve at high levels. It is the job of the Principal to	- Expectation	- Quality	- Mission	- Why we exist	- Impact
	support this vision.					
	- Principals are not just instructional leaders or organizational managers; they are both. I would like us to get away	- Expectation	- Efficiency	- Mission	- Why we exist	- Assumption
	from the argument that the job is one thing or another.	-				
	- Effective adult learning requires differentiation; effective learning requires the use of different modalities of	- Capacity	- Equity	- Resource	- How we do it	- Design
	instruction					
	- Principals are responsible for cultivating an ethic of care in their schools, both for students and adults.	- Expectation	- Access	- Power	- What we d0	- Recruitment criteria
15	- Every student is entitled to a well-educated and engaged school leadership team, including teachers, principals and	- Expectation	- Access	- Mission	- Why we exist	- Recruitment criteria
	support staff	-				
	- School leaders make the difference in overall school success or failure, along with active family and community	- Opportunity	- Quality	- Power	- How we do it	- Impact
	engagement. Engaging families should be at the cornerstone of every school leader's agenda and they should make					
	every effort to connect and interact with families in their communities in meaningful and mutually beneficial ways					
16	- the role of the principal will continue to evolve	- Expectation	- Access	- Mission	- What we do	- Assumption
	- principal certification should contain initial and ongoing requirements to achieve and maintain certification	- Incentive	- Access	- Structure	- What we do	- Design
	- principal certification may want to have some element of variability for elementary and secondary	- Incentive	- Efficiency	- Structure	- How we do it	- Design
	- certified principals should feel an obligation to give back to principals seeking certification	- Expectation	- Equity	- Mission	- Why we exist	- Assumption
	 principal certification should contain some element of private sector exposure 	- Opportunity	- Quality	- Structure	- How we do it	- Assumption
	- principal certification requirements should be on a multi-year review rhythm including key stakeholders	- Incentive	- Access	- Power	- Why we exist	- Design
	- principal certification should contain some element of community service	- Expectation	- Quality	- Mission	- How we do it	- Assumption
	- successful principals have many and varied skillsour work should reflect this	- Capacity	- Quality	- Structure	- What we do	- Design
	- the many and varied skills a successful principal possesses should be weighted to determine appropriate emphasis	- Incentive	- Access	- Power	- What we do	- Design
	during certification					_
	- the weighting of the many and varied skills of a successful principal will change over time and require updating	- Incentive	- Quality	- Structure	- How we do it	- Design
17	- Leaders are born and made!	- Expectation	- Access	- Mission	- Why we exist	- Assumption
	- If we can describe the type of behaviors we believe are useful and effective, future leaders can imitate those behaviors	- Expectation	- Access	- Structure	- What we do	- Design
	- GRIT is essential. We need to find ways to find out whether they have it. We need to find ways to help future leaders	- Opportunity	- Equity	- Resource	- What we do	- Recruitment criteria
	develop it.					
	- Our programs have to provide deliberate leadership practice.	- Capacity	- Quality	- Structure	- What we do	- Design
	- Teacher leadership as a form of that practice needs to be defined and opportunities provided. (Career ladders?)	- Opportunity	- Access	- Power	- What we do	- Recruitment criteria
	- We have to help future leaders develop an experimental frame of mind.	- Capacity	- Quality	- Structure	- What we do	- Design
	- They also have to develop an evidence based frame of mind for decisions, accountability, planning.	- Capacity	- Quality	- Structure	- What we do	- Design
	- They need a pattern for design thinking	- Capacity	- Quality	- Structure	- What we do	- Design
	- We all need to share ideas about what works, how we know it works, is there something we can try together, and can	- Capacity	- Quality	- Structure	- How we do it	- Design
	we compare results. (We, too, need an experimental frame of mind and engage in design thinking!)					
	- It's not about leadership, and it's not about followership, it is about participantship. Participating with full engagement	- Incentive	- Access	- Mission	- Why we exist	- Impact
	permits various levels of leadership. That way, future leaders lead with others to transform the organization.					

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18	- Involve diverse constituents using a broad definition of diversity	- Opportunity	- Equity	- Power	- What we do	- Recruitment criteria
	- Have a longitudinal view of education by incorporating all sectors of the education system	- Opportunity	- Quality	- Structure	- How we do it	- Design
	- Promote the importance of collaborative leadership and specify what is looks like in a school	- Capacity	- Access	- Power	- What we do	- Design
	- Blend theory and practice in order to create powerful and effective learning experiences for aspiring leaders	- Expectation	- Access	- Mission	- Why we exist	- Impact
	 Emphasize the role of the college as a logical partner in leadership preparation and not only as a purveyor of degrees/certification 	- Opportunity	- Efficiency	- Power	- What we do	- Design
	 Promote the importance of leadership succession 	- Opportunity	- Access	- Structure	- What we do	- Design
	- Involve the community stakeholders as partners	- Opportunity	- Access	- Power	- What we do	- Design
	 Differentiate the needs of large and small school; urban and suburban districts 	- Incentive	- Efficiency	- Power	- What we do	- Design
	 Create an environment to learn from one another 	- Expectation	- Quality	- Mission	- Why we exist	- Impact
	- Agree to disagree	- Expectation	- Efficiency	- Power	- Why we exist	- Impact
19	 School and learning should be joyful, thus leaders should possess and demonstrate joyfulness. 	- Expectation	- Access	- Mission	- Why we exist	- Impact
	- Study the best - we should do our due diligence and study the elements of the most successful preparation programs currently in action.	- Expectation	- Quality	- Mission	- What we do	- Impact
	 Innovation can be disruptive - we should be innovative without great concern for the barriers that might be encountered - there will be short and long-term implications 	- Opportunity	- Quality	- Structure	- What we do	- Assumption
	- Hear those with differing viewpoints. The more input the better.	- Opportunity	- Equity	- Power	- What we do	- Recruitment criteria
	- To a degree, plan for a world that currently does not exist - whether this implies anticipating and/or embracing technological or other solutions.	- Expectation	- Access	- Power	- Why we exist	- Impact
	- Context matters - consider WHERE leaders will work, and plan for them being prepared for the context in which they will find themselves	- Capacity	- Efficiency	- Structure	- What we do	- Design
	- Be prepared for all sessions - which means we ALL need to be working in between meetings. This also implies that we need to leave meetings with a clear expectation of what will be accomplished prior to the next meeting.	- Capacity	- Efficiency	- Structure	- How we do it	- Assumption
	- Leaders have different needs within their developmental journey - any solutions need to address those differentiated needs both in preparation programs and once leaders are in the field.	- Expectation	- Access	- Mission	- Why we exist	- Impact
	 Partnerships will be essential between higher education and schools/districts - we need to strategically plan for their development 	- Opportunity	- Efficiency	- Power	- What we do	- Design
	 Preparation needs to be cross-disciplinary within HED - which likely implies looking outside of Schools of Education 	- Opportunity	- Efficiency	- Resource	- What we do	- Design
	when considering what knowledge and competencies leaders need to have.	,	,			_
20	- good leadership is shared leadership. We need to encourage programs that teach principals how to create decision-	- Opportunity	- Quality	- Power	- How we do it	- Design
	making pathways that bring the school community into key decisions made at the school in more than an advisory					
	capacity.					
	- theory is different from practice. Strong school leaders have extensive experience grounded in classroom.	- Capacity	- Quality	- Structure	- What we do	- Design