June 16, 2020

Revised

Angelique Johnson Dingle, Superintendent
Western Suffolk BOCES
507 Deer Park Rd.
PO Box 8007
Huntington Station, NY 11746

Dear Superintendent Johnson Dingle:

Congratulations. I am pleased to inform you that your Annual Professional Performance Review (APPR) plan meets the criteria outlined in Education Law §3012-d and Subpart 30-3 of the Commissioner’s Regulations and has been approved. As a reminder, we are relying on the information you provided on your APPR form, including the certifications and assurances that are part of your approved APPR plan. If any material changes are made to your approved plan, your district/BOCES must submit such material changes to us for approval. Please see the attached notes for further information.

Please be advised that, pursuant to Education Law §3012-d, the Department will be analyzing data supplied by districts, BOCES, and/or schools and may order a corrective action plan if there are unacceptably low correlation results between the Student Performance category and the Teacher Observation or Principal School Visits category, and/or if the teachers’ or principals’ overall ratings and subcomponent scores show little differentiation across educators and/or the lack of differentiation is not justified by equivalently consistent student achievement results, and/or if schools or districts show a pattern of anomalous results in the Student Performance category and/or the Observation/School Visits category.

The New York State Education Department and I look forward to continuing our work together, with the goal of ensuring that every school has world-class educators in the classroom, every teacher has a world-class principal to support his or her professional growth, and every student achieves college and career readiness.

Thank you again for your hard work.

Sincerely,

[Signature]

Shannon L. Tahoe
Interim Commissioner

Attachment
NOTE:

Only documents that are incorporated by reference in your APPR have been reviewed and are considered as part of your APPR; therefore, any supplemental documents such as memorandums of agreement or understanding that were uploaded with your APPR but are not incorporated by reference in your APPR have not been reviewed. However, the Department reserves the right to review the uploaded attachments at any time for consistency with your APPR plan and/or to ensure compliance with applicable laws and regulations; and as a result of such review, the Department may reject your APPR plan and/or require corrective action.
Disclaimers
For guidance related to Annual Professional Performance Review plans, see NYSED APPR Guidance.

The Department will review the contents of each local educational agency's (LEA) Annual Professional Performance Review (APPR) plan as submitted using this online form, including required attachments, to determine if the plan rigorously complies with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. Department approval does not imply endorsement of specific educational approaches in an LEA's plan.

The Department reserves the right to request further information from an LEA to monitor compliance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents. Each LEA is required to keep detailed records on file for each section of the currently implemented APPR plan. Such detailed records must be provided to the Department upon request. The Department reserves the right to disapprove or require modification of an LEA's plan that does not rigorously adhere to the requirements of Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

The Department will not review any attachments other than those required in the online form. Any additional attachments supplied by the LEA are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional attachments have not been approved and/or endorsed by the Department. However, the Department considers void any other signed agreements between and among parties in any form that prevent, conflict, or interfere with full implementation of the APPR plan approved by the Department. The Department also reserves the right to request further information from the LEA, as necessary, as part of its review of this plan.

If the Department reasonably believes through investigation, or otherwise, that statements made in this APPR plan are not true or accurate, it reserves the right to reject or disapprove this plan at any time and/or to request additional information to determine the truth and/or accuracy of such statements.

APPR Assurances
Please check all of the boxes below

- Assure that the content of this form represents the LEA's entire APPR plan and that the APPR plan is in compliance with Education Law Section 3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that a detailed version of the LEA's entire APPR plan is kept on file and that a copy of such plan will be provided to the Department upon request for review of compliance with Education Law Section 3012-d and Subpart 30-3 of the Rules of the Board of Regents.
- Assure that this APPR plan will be posted on the LEA's website no later than September 10th of each school year, or within 10 days after the plan's approval by the Commissioner, whichever shall occur later.
- Assure that it is understood that this LEA's APPR plan will be posted in its entirety on the NYSED website* following approval.
Required Student Performance Subcomponent

For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance.

100% of the Student Performance category if only the Required subcomponent is used or locally determined if the Optional subcomponent is selected.

Each teacher shall have a Student Learning Objective (SLO) locally determined, consistent with the goal-setting process determined by the Commissioner.

Student Learning Objectives (SLOs)

For guidance on SLOs, see NYSED SLO Guidance.

SLOs shall be used for the required student performance measure for all teachers. The following must be used as the evidence of student learning within the SLO.

MEASURES

SLO measures may be either individually attributed or collectively attributed.

Individually attributed measures

An individually attributed SLO is based on the student population of a course for which the teacher directly contributes to student learning outcomes.

> Teacher and course-specific

  • Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

Collectively attributed measures

A collectively attributed SLO is based on a student population across multiple sections of the same course or across multiple courses where more than one teacher either directly or indirectly contributes to student learning outcomes. When determining whether to use a collectively attributed SLO, the LEA should consider:

  • identifying which measures and assessments could be used to encourage partnerships or teams where teachers have an opportunity to collectively impact student learning;
  • identifying which assessments could be used to help foster and support an LEA’s focus on a specific priority area(s);
  • the impact on the LEA’s ability to make strong and equitable inferences regarding an individual educator’s effectiveness; and
  • when using multiple measures, the appropriate weight of each measure that reflects individually and collectively attributed results.

> School- or program-wide

  • School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

  • School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

  • School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

  • District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

  • District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

ASSESSMENTS

Any of the measures above may be used with one or more of the following assessment types.
• State assessment(s); or
  Assessment(s) that are selected from the list of State-approved:

• third party assessments; or
• locally-developed assessments (district-, BOCES- or regionally-developed).

### HEDI Scoring Bands

<table>
<thead>
<tr>
<th>Highly Effective</th>
<th>Effective</th>
<th>Developing</th>
<th>Ineffective</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>19</td>
<td>18</td>
<td></td>
</tr>
<tr>
<td>97-</td>
<td>93-</td>
<td>90-</td>
<td></td>
</tr>
<tr>
<td>100</td>
<td>96</td>
<td>92</td>
<td></td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td></td>
</tr>
<tr>
<td>57-</td>
<td>49-</td>
<td>44-</td>
<td>39-</td>
</tr>
<tr>
<td>100</td>
<td>85</td>
<td>80</td>
<td>75</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>17-</td>
<td>12</td>
<td>11</td>
<td>10</td>
</tr>
<tr>
<td>90-</td>
<td>85</td>
<td>80</td>
<td>75</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>57-</td>
<td>49</td>
<td>44</td>
<td>39</td>
</tr>
<tr>
<td>82-</td>
<td>75</td>
<td>70</td>
<td>65</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>17-</td>
<td>12</td>
<td>11</td>
<td>10</td>
</tr>
<tr>
<td>83-</td>
<td>75</td>
<td>70</td>
<td>65</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>57-</td>
<td>49</td>
<td>44</td>
<td>39</td>
</tr>
<tr>
<td>80-</td>
<td>70</td>
<td>65</td>
<td>60</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>17-</td>
<td>12</td>
<td>11</td>
<td>10</td>
</tr>
<tr>
<td>80-</td>
<td>70</td>
<td>65</td>
<td>60</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>57-</td>
<td>49</td>
<td>44</td>
<td>39</td>
</tr>
<tr>
<td>80-</td>
<td>70</td>
<td>65</td>
<td>60</td>
</tr>
<tr>
<td>%</td>
<td>%</td>
<td>%</td>
<td>%</td>
</tr>
</tbody>
</table>

### SLO Assurances

Please check the boxes below.

- Assure that the teacher has an SLO as determined locally in a manner consistent with the goal-setting process determined by the Commissioner.
- Assure that all student growth targets represent a minimum of one year of expected growth, as determined locally in a manner consistent with the Commissioner's goal-setting process. Such targets may only take the following characteristics into account: poverty, students with disabilities, English language learner status and prior academic history.
- Assure that all student growth targets shall measure the change in a student's performance between the baseline and the end of the course.
- Assure that if a teacher's SLO is based on a small 'n' size population and the LEA chooses not to use the HEDI scoring bands listed above, then the teacher's 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in SLO Guidance.
- Assure that processes are in place for the superintendent to monitor SLOs.
- Assure that the final Student Performance category rating for each teacher will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents and the approved APPR plan.
- Assure that for any SLO based, in part, on the New York State grade four science assessment, once the assessment is no longer administered the SLO will utilize only the remaining assessments.
Common Branch Kindergarten Measures and Assessments

Please indicate below which of the three available measure types will be used for kindergarten teachers, then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure
- Teacher and course-specific
  - Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure
- School- or program-wide
  - School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.
  - School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.
  - School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.
- District- or BOCES-wide
  - District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  - District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

<table>
<thead>
<tr>
<th>Kindergarten: Measure Type</th>
<th>District- or BOCES-wide</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kindergarten: District- or BOCES-Wide Measure</td>
<td>District- or BOCES-wide group or team results</td>
</tr>
<tr>
<td>Kindergarten: Assessment Type(s)</td>
<td>Third party assessment(s)</td>
</tr>
<tr>
<td>Kindergarten: Third Party Assessment(s)</td>
<td>MAP Growth (content-specific)</td>
</tr>
</tbody>
</table>
Common Branch Grade One Measures and Assessments

Please indicate below which of the three available measure types will be used for grade one teachers, then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

- Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

- School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

- School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

- School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

- District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

- District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

### Grade 1: Measure Type

<table>
<thead>
<tr>
<th>Measure Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
</tr>
</tbody>
</table>

### Grade 1: District- or BOCES-Wide Measure

<table>
<thead>
<tr>
<th>Measure Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

### Grade 1: Assessment Type(s)

- Third party assessment(s)

### Grade 1: Third Party Assessment(s)

- MAP Growth (content-specific)
Common Branch Grade Two Measures and Assessments

Please indicate below which of the three available measure types will be used for grade two teachers, then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

• Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

• School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

• School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

• School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

• District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

• District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

<table>
<thead>
<tr>
<th>Grade 2: Measure Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grade 2: District- or BOCES-Wide Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grade 2: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Third party assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grade 2: Third Party Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>MAP Growth (content-specific)</td>
</tr>
</tbody>
</table>
Common Branch Grade Three Measures and Assessments

Please indicate below which of the three available measure types will be used for grade three teachers, then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

- **Teacher and course-specific results**: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

- **School- or program-wide results**: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

- **School- or program-wide group or team results**: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

- **School- or program-wide linked results**: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

- **District- or BOCES-wide results**: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

- **District- or BOCES-wide group or team results**: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

### Grade 3: Measure Type

- District- or BOCES-wide

### Grade 3: District- or BOCES-Wide Measure

- District- or BOCES-wide group or team results

### Grade 3: Assessment Type(s)

- ☑ Third party assessment(s)

### Grade 3: Third Party Assessment(s)

- ☑ MAP Growth (content-specific)
Grade Four

Please identify below whether grade four instruction is common branch or departmentalized; indicate which of the three available measure types will be used for grade four teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

- **Teacher and course-specific results**: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

- **School- or program-wide results**: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

- **School- or program-wide group or team results**: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

- **School- or program-wide linked results**: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

- **District- or BOCES-wide results**: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

- **District- or BOCES-wide group or team results**: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please choose the option that best describes grade four in your LEA.

- [ ] Common branch
### Grade Four (Common Branch) Measure and Assessment(s)

#### Grade 4: Measure Type
- District- or BOCES-wide

#### Grade 4: District- or BOCES-Wide Measure
- District- or BOCES-wide group or team results

#### Grade Four: Assessment Type(s)
- **Third party assessment(s)**

#### Grade Four: Third Party Assessment(s)
- **MAP Growth (content-specific)**
Grade Five

Please identify below whether grade five instruction is common branch or departmentalized; indicate which of the three available measure types will be used for grade five teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

• Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

• School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

• School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

• School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

• District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

• District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please choose the option that best describes grade five in your LEA.

☐ Common branch
## Task 2. TEACHERS: Required Student Performance - Grade Five (common branch)

### Grade Five (Common Branch) Measure and Assessment(s)

#### Grade 5: Measure Type
- District- or BOCES-wide

#### Grade 5: District- or BOCES Measure
- District- or BOCES-wide group or team results

#### Grade 5: Assessment Type(s)
- Third party assessment(s)

#### Grade 5: Third Party Assessment(s)
- MAP Growth (content-specific)
Grade Six

Please identify below whether grade six instruction is common branch or departmentalized; indicate which of the three available measure types will be used for grade six teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

- Teacher and course-specific
  - Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

- School- or program-wide
  - School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.
  - School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.
  - School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

- District- or BOCES-wide
  - District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  - District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please choose the option that best describes grade six in your LEA.

- [ ] Common branch
### Grade Six (Common Branch) Measure and Assessment(s)

#### Grade 6: Measure Type
- District- or BOCES-wide

#### Grade 6: District- or BOCES-Wide Measure
- District- or BOCES-wide group or team results

#### Grade 6: Assessment Type(s)
- Third party assessment(s)

#### Grade 6: Third Party Assessment(s)
- MAP Growth (content-specific)
Grade Seven

Please identify below whether grade seven instruction is common branch or departmentalized; indicate which of the three available measure types will be used for grade seven teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

- Teacher and course-specific
  - Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

- School- or program-wide
  - School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.
  - School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.
  - School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

- District- or BOCES-wide
  - District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  - District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please choose the option that best describes grade seven in your LEA.

- [ ] Common branch
Task 2. TEACHERS: Required Student Performance - Grade Seven (common branch)

Grade Seven (Common Branch) Measure and Assessment(s)

<table>
<thead>
<tr>
<th>Grade 7: Measure Type</th>
<th>District- or BOCES-wide</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 7: District- or BOCES-Wide Measure</td>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grade 7: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ Third party assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grade 7: Third Party Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ MAP Growth (content-specific)</td>
</tr>
</tbody>
</table>
Grade Eight Measures and Assessments

Please identify below whether grade eight instruction is common branch or departmentalized; indicate which of the three available measure types will be used for grade eight teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

• Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

• School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

• School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

• School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

• District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

• District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please choose the option that best describes grade eight in your LEA.

☐ Common branch
Task 2. TEACHERS: Required Student Performance - Grade Eight (common branch)

**Grade Eight (Common Branch) Measure and Assessment(s)**

<table>
<thead>
<tr>
<th>Grade 8: Measure Type</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
<td></td>
</tr>
</tbody>
</table>

**Grade 8: District- or BOCES-Wide Measure**

<table>
<thead>
<tr>
<th>District- or BOCES-wide group or team results</th>
</tr>
</thead>
</table>

**Grade Eight: Assessment Type(s)**

- [x] Third party assessment(s)

**Grade Eight: Third Party Assessment(s)**

- [x] MAP Growth (content-specific)
High School English Language Arts

Note: Additional high school English courses may be included in the “Other Courses” section.

Please identify below whether all high school ELA teachers use the same type of measure and assessment(s) or if the measures and assessments vary by grade level; indicate which of the three available measure types will be used for high school ELA teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

- Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

- School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

- School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

- School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

- District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

- District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please indicate whether grades 9 through 12 ELA teachers use the same type of measure and assessment(s) or if the measures and assessments vary by grade level.

- All high school ELA teachers use the same type of measure and assessment(s)
### High School ELA (All Grades) Measure and Assessment(s)

<table>
<thead>
<tr>
<th>High School ELA: Measure Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School ELA: District- or BOCES-Wide Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School ELA: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ State or Regents assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School ELA: State or Regents Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ All Regents given in LEA</td>
</tr>
</tbody>
</table>
Task 2. TEACHERS: Required Student Performance - High School Regents Math

High School Regents Math

Note: Additional high school math courses may be included in the “Other Courses” section.

Please identify below whether all high school Regents math teachers use the same type of measure and assessment(s) or if the measures and assessments vary by course; indicate which of the three available measure types will be used for high school Regents math teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

  • Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

  • School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

  • School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

  • School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

  • District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

  • District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please indicate whether high school Regents math teachers use the same measure or assessment(s) or if the measures and assessments vary by grade level.

- [ ] All high school Regents math teachers use the same type of measure and assessment(s)
### High School Regents Math (All Courses) Measure and Assessment(s)

<table>
<thead>
<tr>
<th>Measure Type</th>
<th>District- or BOCES-wide</th>
</tr>
</thead>
</table>

| District- or BOCES-Wide Measure | District- or BOCES-wide group or team results |

<table>
<thead>
<tr>
<th>Assessment Type(s)</th>
<th>State or Regents assessment(s)</th>
</tr>
</thead>
</table>

| High School Regents Math: State or Regents Assessment(s) | All Regents given in LEA |
High School Regents Science

Note: Additional high school science courses may be included in the “Other Courses” section.

Please identify below whether all high school Regents science teachers use the same type of measure and assessment(s) or if the measures and assessments vary by course; indicate which of the three available measure types will be used for high school Regents science teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

  • Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

  • School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

  • School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

  • School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

  • District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

  • District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please indicate whether high school Regents science teachers use the same measure or assessment(s) or if the measures and assessments vary by grade level.

☐ All high school Regents science teachers use the same type of measure and assessment(s)
High School Regents Science (All Courses) Measure and Assessment(s)

<table>
<thead>
<tr>
<th>High School Regents Science: Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Science: District- or BOCES-Wide Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Science: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>State or Regents assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Science: State or Regents Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Regents given in LEA</td>
</tr>
</tbody>
</table>
High School Regents Social Studies: Measures and Assessments

Note: Additional high school social studies courses may be included in the “Other Courses” section.

Please identify below whether all high school Regents social studies teachers use the same type of measure and assessment(s) or if the measures and assessments vary by course; indicate which of the three available measure types will be used for high school Regents social studies teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

> Teacher and course-specific

• Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

> School- or program-wide

• School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.

• School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.

• School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

> District- or BOCES-wide

• District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

• District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Please indicate whether high school Regents social studies teachers use the same measure or assessment(s) or if the measures and assessments vary by grade level.

☑ All high school Regents social studies teachers use the same type of measure and assessment(s)
High School Regents Social Studies (All Courses) Measure and Assessment(s)

<table>
<thead>
<tr>
<th>High School Regents Social Studies: Measure Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Social Studies: District- or BOCES-Wide Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>District- or BOCES-wide group or team results</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Social Studies: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>State or Regents assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>High School Regents Social Studies: State or Regents Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Regents given in LEA</td>
</tr>
</tbody>
</table>
Other Courses

Please identify below the ‘other courses’ in your LEA; indicate which of the six available measures will be used for for each group of teachers; and then choose the specific measure, corresponding assessment type(s), and applicable assessment(s).

An individually attributed SLO measure

• Teacher and course-specific
  - Teacher and course-specific results: scores and ratings will be based on the growth of students in the teacher’s course in the current school year.

A collectively attributed SLO measure

• School- or program-wide
  - School- or program-wide results: scores and ratings will be based on the growth of all students in a school or program who take the applicable assessments in the current school year.
  - School- or program-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses in the current school year.
  - School- or program-wide linked results: scores and ratings will be based on the growth of students enrolled in the teacher’s course in the current school year taking assessments in other grades/subjects.

• District- or BOCES-wide
  - District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  - District- or BOCES-wide group or team results: scores and ratings for a group or team of teachers will be based on the growth of students in the group/team of teachers’ courses across buildings/programs in an LEA in the current school year.

Complete the following, as applicable, for all ‘other teachers’ in additional grades/subjects (you may combine into one course listing any groups of teachers for whom the measure and assessment(s) are the same including, for example, “All courses not named above”):

<table>
<thead>
<tr>
<th>Column 1: lowest grade that corresponds to the course</th>
<th>Column 2: highest grade that corresponds to the course</th>
<th>Column 3: subject of the course</th>
<th>Column 4: measure used</th>
<th>Columns 5-7: assessment(s) used</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Other Courses</td>
<td>K</td>
<td>12</td>
<td>All courses not named above</td>
<td>District- or BOCES-wide results</td>
</tr>
<tr>
<td>K-3 Art</td>
<td>K</td>
<td>3</td>
<td>Art</td>
<td>Teacher and course-specific results</td>
</tr>
<tr>
<td>Grades 9-12 English Electives</td>
<td>9</td>
<td>12</td>
<td>English Electives</td>
<td>School- or program-wide linked results</td>
</tr>
</tbody>
</table>

To add additional courses, click "Add Row".
### Task 2. TEACHERS: Required Student Performance - Other Courses

<table>
<thead>
<tr>
<th>Grade From</th>
<th>Grade To</th>
<th>Subject</th>
<th>Measure</th>
<th>State or Regents Assessment(s)</th>
<th>Locally-developed Course-Specific Assessment(s)</th>
<th>Third Party Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>12</td>
<td>CTE</td>
<td>Teacher and course-specific results</td>
<td></td>
<td>☑ Western Suffolk BOCES</td>
<td></td>
</tr>
<tr>
<td>K</td>
<td>8</td>
<td>ELL</td>
<td>District-or BOCE S-wide group or team results</td>
<td></td>
<td></td>
<td>☑ MAP Growth (content-specific)</td>
</tr>
<tr>
<td>9</td>
<td>12</td>
<td>ELL</td>
<td>District-or BOCE S-wide group or team results</td>
<td>☑ All Regents given in LEA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>12</td>
<td>Special Education</td>
<td>District-or BOCE S-wide group or team results</td>
<td>☑ NYSAA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>K</td>
<td>8</td>
<td>All courses not named above</td>
<td>District-or BOCE S-wide group or team results</td>
<td></td>
<td></td>
<td>☑ MAP Growth (content-specific)</td>
</tr>
<tr>
<td>9</td>
<td>12</td>
<td>All courses not named above</td>
<td>District-or BOCE S-wide group or team results</td>
<td>☑ All Regents given in LEA</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Use of the Optional Subcomponent and Student Performance Category Weighting

- If the Optional subcomponent is not used, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is used, the percentage of Student Performance category attributed to the Required subcomponent will be locally determined.

Please indicate if the Optional subcomponent will be used by making the appropriate selection below.

NO, the Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.
Optional Student Performance Subcomponent

For guidance on the Optional subcomponent of the Student Performance measure, see NYSED APPR Guidance.

Percentage of Student Performance category to be locally determined if selected.

Such second measure shall apply in a consistent manner, to the extent practicable, across all classrooms in the same grade/subject in the LEA and be a locally selected measure of student growth or achievement based on State-created or -administered assessments or State-designed supplemental assessments.

Options for measures and associated assessments include:

- Option (A) A second SLO, provided that this SLO is different than that used in the required subcomponent;
- Option (B) A growth score based on a statistical growth model, where available, for either State-created or -administered assessments or State-designed supplemental assessments;
- Option (C) A measure of student growth, other than an SLO, based on State-created or -administered assessments or State-designed supplemental assessments;
- Option (D) A performance index based on State-created or -administered assessments or State-designed supplemental assessments;
- Option (E) An achievement benchmark on State-created or -administered assessments or State-designed supplemental assessments; or
- Any other collectively bargained measure of student growth or achievement included in the LEA’s evaluation plan.

Please indicate if the Optional subcomponent will be used by making the appropriate selection below.

NO, the Optional subcomponent WILL NOT be used in the Student Performance category for any teacher.
Teacher Observation Category
For guidance on the Teacher Observation category, see NYSED APPR Guidance.

Teacher Practice Rubric
Select a teacher practice rubric from the menu of State-approved rubrics to assess performance based on the observable NYS Teaching Standards.

<table>
<thead>
<tr>
<th>Rubric Name</th>
<th>If more than one rubric is utilized, please indicate the group(s) of teachers each rubric applies to.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Danielson's Framework for Teaching</td>
<td>(No Response)</td>
</tr>
</tbody>
</table>

Rubric Assurances
Please check all of the boxes below.

☑ Assure that all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations.
☑ Assure that the process for assigning points for the Teacher Observation category will be in compliance with the locally-determined subcomponent weights and overall Observation category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.
☑ Assure that the same rubric(s) is used for all classroom teachers in a grade/subject across the LEA, provided that LEAs may locally determine whether to use different rubrics for teachers who teach different grades and/or subjects during the school year as indicated in the table above.
☑ Assure that the same rubric(s) is used for all observations of a classroom teacher across the observation types in a given school year.

Process for Weighting Rubric Domains/Subcomponents
For guidance on the Teacher Observation category, see NYSED APPR Guidance.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., Domains 1 and 2 will be weighted 30% each and Domains 3 and 4 will be weighted 20% each).

All observable components will be weighted equally and averaged.

Scoring Assurances
Please check each of the boxes below.

☑ Assure that each set of observations (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted observation score will then be converted into a HEDI rating using the ranges indicated below.
☑ Assure that once all observations are complete, the different types of observations will be combined using a weighted average consistent with the weights specified below, producing an overall Observation category score between 0 and 4. In the event that a teacher earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

Teacher Observation Scoring Bands
The overall Observation score will be converted into a HEDI rating based on locally determined ratings consistent with the ranges listed.
### Overall Observation Category

<table>
<thead>
<tr>
<th>Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>3.5 to 3.75</td>
<td>4.0</td>
</tr>
<tr>
<td>E</td>
<td>2.5 to 2.75</td>
<td>3.49 to 3.74</td>
</tr>
<tr>
<td>D</td>
<td>1.5 to 1.75</td>
<td>2.49 to 2.74</td>
</tr>
<tr>
<td>I</td>
<td>0.00*</td>
<td>1.49 to 1.74</td>
</tr>
</tbody>
</table>

* In the event that an educator earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

### HEDI Ranges

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

Please select a minimum value between 3.50 and 3.75 and choose 4.00 as the maximum value for the Highly Effective range.

<table>
<thead>
<tr>
<th>Highly Effective</th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3.50</td>
<td>4.00</td>
</tr>
</tbody>
</table>

Please select a minimum value between 2.50 and 2.75 and a maximum value between 3.49 and 3.74 for the Effective range.

<table>
<thead>
<tr>
<th>Effective</th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2.50</td>
<td>3.49</td>
</tr>
</tbody>
</table>

Please select a minimum value between 1.50 and 1.75 and a maximum value between 2.49 and 2.74 for the Developing range.

<table>
<thead>
<tr>
<th>Developing</th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1.50</td>
<td>2.49</td>
</tr>
</tbody>
</table>

Please choose 0.00 as the minimum value and select a maximum value between 1.49 and 1.74 for the Ineffective range.

<table>
<thead>
<tr>
<th>Ineffective</th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.00</td>
<td>1.49</td>
</tr>
</tbody>
</table>
Teacher Observation Subcomponent Weighting

Required Subcomponent 1: Observations by Principal(s) or Other Trained Administrators
- At least 80% of the Teacher Observation category score

Required Subcomponent 2: Observations by Impartial Independent Trained Evaluator(s)*
- At least 10%, but no more than 20%, of the Teacher Observation category score

Optional Subcomponent: Observations by Trained Peer Observer(s)
- No more than 10% of the Teacher Observation category score when selected

Please be sure the total of the weights indicated equals 100%.

* If the LEA is granted an annual Rural/Single Building Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator. If the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the processes described in that application.

Please indicate the weight of each observation type and be sure the total of the weights indicated equals 100%.

<table>
<thead>
<tr>
<th>Principal/Administrator</th>
<th>Independent Evaluator(s)</th>
<th>Peer Observer(s)</th>
<th>Grades and subjects for which Peer Observers will be used</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Required]</td>
<td>[Required]</td>
<td>[Optional]</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>90%</td>
<td>10%</td>
<td>0% (N/A)</td>
<td></td>
</tr>
</tbody>
</table>

Observation Assurances
Please check all of the boxes below.

- ☑ Assure that the following elements will not be used in calculating a teacher’s Observation category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; and/or use of professional goal-setting as evidence of teacher effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.

- ☑ Assure that the length of all observations for teachers will be conducted pursuant to the locally-determined durations.

- ☑ Assure that at least one of the required observations will be unannounced.

Required Subcomponent 1: Observations by Principal(s) or Other Trained Administrators
At least one observation must be conducted by building principal or other trained administrator and at least one of the required observations must be unannounced (across both required subcomponents).

- LEAs may locally determine whether to use more than one observation by principal or other trained administrator.
- Nothing shall be construed to limit the discretion of management to conduct observations in addition to those required by this section for non-evaluative purposes.
- The frequency and duration of observations are locally determined.
- Observations may occur in person by live or recorded video, as determined locally.

Indicate the minimum number of unannounced and announced observations by principal(s) or other trained administrators, as well as the method of observation, in the table below.
Required Subcomponent 2: Observations by Impartial Independent Trained Evaluator(s)*

At least one observation must be conducted by impartial independent trained evaluator(s) and at least one of the required observations must be unannounced (across both required subcomponents).

- Impartial independent trained evaluators are trained and selected by the LEA.
- They may be employed within the LEA, but may not be assigned to the same school building as the teacher being evaluated. This could include other administrators, department chairs, or peers (e.g., teacher leaders on career ladder pathways), so long as they are not from the same building (defined as same BEDS code) as the teacher being evaluated.
- LEAs may locally determine whether to use more than one observation by impartial independent trained evaluator(s).
- The frequency and duration of observations are locally determined.
- Observations may occur in person or by live or recorded video, as determined locally.

* If the LEA is granted an annual Rural/Single Building Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator. If the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the processes described in that application.

Indicate the minimum number of unannounced and announced observations by impartial independent trained evaluator(s), as well as the method of observation, in the table below.

### Indicate whether the number and method selected applies to all teachers or to a subgroup of teachers.

<table>
<thead>
<tr>
<th>Subgroup of Teachers</th>
<th>Tenured Teachers</th>
<th>1</th>
<th>In Person</th>
<th>0</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subgroup of Teachers</td>
<td>Probationary Teachers</td>
<td>1</td>
<td>In Person</td>
<td>0</td>
<td>N/A</td>
</tr>
</tbody>
</table>

#### Independent Evaluator Assurances

Please check all of the boxes below.

- [ ] Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the teacher(s) they are evaluating.
- [ ] Assure that independent evaluator(s) will be trained and selected by the LEA.
Please also check each of the following boxes.

- Assure that if the LEA is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver, the second observation(s) shall be conducted by one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the observation(s) required to be performed by the principal/supervisor or other trained administrator. See Section 30-3.4(c)(1)(ii)(a) of the Rules of the Board of Regents.

- Assure that if the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 4 of the LEA's approved Section 3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.4(c)(1)(ii)(b) of the Rules of the Board of Regents.
Optional Subcomponent: Observations by Trained Peer Observer(s)

If selected, at least one observation must be conducted by trained peer observer(s).

- Peer teachers are trained and selected by the LEA.
- Trained peer teachers must have received an overall rating of Effective or Highly Effective in the prior school year.
- Observations may occur in person or by live or recorded video, as determined locally.

Indicate the minimum number of unannounced and announced observations by trained peer observer(s), as well as the method of observation, in the table below.

If the optional subcomponent will not be used, please indicate "N/A" for the minimum number and "N/A" for the observation method for both unannounced and announced observations for "All Teachers."

<table>
<thead>
<tr>
<th>TEACHERS</th>
<th>SUBGROUP</th>
<th>UNANNOUNCED Minimum Number of Observations</th>
<th>UNANNOUNCED Observation Method</th>
<th>ANNOUNCED Minimum Number of Observations</th>
<th>ANNOUNCED Observation Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Teachers (enter 'N/A' in the next column)</td>
<td>N/A</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Peer Observation Assurances

Please check all of the boxes below.

- Assure that peer observers, as applicable, will be trained and selected by the LEA.
- Assure that, if observations are being conducted by trained peer observers, these teachers received an overall rating of Effective or Highly Effective in the previous school year.
Category and Overall Ratings
For guidance on APPR scoring, see NYSED APPR Guidance.

Category Scoring Ranges
The overall Student Performance category score and the overall Observation category score will be converted into a HEDI rating based on the ranges listed in the tables below.

Student Performance Category
HEDI ratings must be assigned based on the point distribution below.

Teacher Observation
HEDI ratings must be assigned based on locally-determined ranges consistent with the constraints listed below.

<table>
<thead>
<tr>
<th></th>
<th>Overall Student Performance Category Score and Rating</th>
<th>Overall Observation Category Score and Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Minimum</td>
<td>Maximum</td>
</tr>
<tr>
<td>H</td>
<td>18</td>
<td>20</td>
</tr>
<tr>
<td>E</td>
<td>15</td>
<td>17</td>
</tr>
<tr>
<td>D</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>12</td>
</tr>
</tbody>
</table>

Scoring Matrix for the Overall Rating
The overall rating for an educator shall be determined according to a methodology described in the matrix below.

<table>
<thead>
<tr>
<th>Teacher Observation Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective (H)</td>
</tr>
<tr>
<td><strong>Student Performance Category</strong></td>
</tr>
<tr>
<td>Highly Effective (H)</td>
</tr>
<tr>
<td>Effective (E)</td>
</tr>
<tr>
<td>Developing (D)</td>
</tr>
<tr>
<td>Ineffective (I)</td>
</tr>
</tbody>
</table>

Category and Overall Rating Assurances
Please check all of the boxes below.

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that it is possible to obtain a zero in each subcomponent.
- Assure the overall rating determination for a teacher shall be determined according to the evaluation matrix.
- Assure that a student will not be instructed, for two consecutive school years, by any two teachers of the same subject in the same LEA, each of whom received an Ineffective rating under Education Law Section 3012-d in the year immediately prior to the school year in which the student is placed in the teacher's classroom unless the LEA has a Department-approved waiver from this requirement.
Additional Requirements

For more information on the additional requirements for teachers, see NYSED APPR Guidance.

Teacher Improvement Plan Assurances

Please check each of the boxes below.

- Assure that the LEA will formulate and commence implementation of a Teacher Improvement Plan (TIP) for all teachers who receive an overall rating of Developing or Ineffective by October 1 following the school year for which such teacher's performance is being measured or as soon as practicable thereafter.

- Assure that TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of their pedagogical judgment, and subject to collective bargaining to the extent required under article 14 of the Civil Service Law, shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a teacher's improvement in those areas.

Teacher Improvement Plan Forms

All TIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

1) identification of needed areas of improvement;
2) a timeline for achieving improvement;
3) the manner in which the improvement will be assessed; and, where appropriate,
4) differentiated activities to support a teacher's improvement in those areas.

As a required attachment to this APPR plan, upload the TIP forms that are used in the LEA.

WSB APPR Teacher Improvement Plan TIP _Fillable_6-3-16.pdf
Appeal Assurance

Please check the box below.

- Assure the LEA has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.

Appeals

Pursuant to Education Law §3012-d, a teacher may only challenge the following in an appeal to their LEA:

1. the substance of the annual professional performance review, which shall include the following:
   i. in the instance of a teacher rated Ineffective on the Student Performance category, but rated Highly Effective on the Observation category based on an anomaly, as determined locally;

2. the LEA's adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d;

3. the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents; and

4. the LEA's issuance and/or implementation of the terms of the teacher improvement plan, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious manner.

The parties have collectively negotiated this full and complete APPR plan in accordance with New York State Education Law 3012-d for teachers covered by such regulation as set forth in the Regulations of the Board of Regents Rules.

APPEALS OF INEFFECTIVE AND DEVELOPING RATINGS ONLY
Appeals of annual professional performance reviews are limited to those overall ratings that rate a teacher as “Ineffective” or “Developing” only.

WHAT MAY BE CHALLENGED IN AN APPEAL

Appeal procedures limit the scope of appeals under Education Law § 3012-d to the following subjects:

1. The substance of the annual professional performance review; which shall include the instance of a teacher/principal rated Ineffective on the Student Performance Category but rated Highly Effective on the Observation/School Visit Category based on an anomaly, as determined locally;
2. Western Suffolk BOCES adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d;
3. The adherence to the Commissioner’s regulations, as applicable to such reviews;
4. Compliance with any applicable locally negotiated procedures applicable to annual professional performance reviews or improvement plans; and
5. BOCES issuance and/or implementation of the terms of the teacher’s “Teacher Performance Improvement Plan” (TIP) or from the date of the discovery of a TIP implementation issue under Education Law §3012-d.

Multiple Appeals and Untimely Appeals Prohibited
A teacher may not file multiple appeals regarding the same Annual Performance Review or Teacher Improvement Plan. All grounds for appealing a particular Annual Performance Review or TIP must be raised within the same appeal, or shall be deemed waived. All steps in the resolution of the appeals process shall be timely and expeditious within the meaning of Education Law §3012-d. If a teacher does not adhere to the deadlines indicated in the Article, the right of appeal shall be deemed waived. If the BOCES does not adhere to the deadlines indicated in this Article, the appeal shall be deemed moved to the next level of appeal.

Burden of Proof
In an Appeal, the teacher has the burden of demonstrating a clear legal right to the relief requested and the burden of establishing the facts upon which the petitioner seeks relief. The teacher has the burden of proving by substantial evidence the merits of his/her appeal.

Timeframe for District Response and Content of Appeal:
Overall, within thirty-five (35) calendar days of receipt of an appeal, Western Suffolk BOCES must submit a detailed written response to the appeal.

STEP #1: Request to Appeal
A teacher wishing to initiate an appeal must submit in writing (hard copy or electronic email message) to his/her Supervisor, requesting a Supervisor Meeting. All appeals must be submitted in writing no later than fifteen (15) calendar days of the date when the teacher formally receives and signs for his or her annual professional performance review or issuance of the TIP, or discovery of a TIP implementation issue. The failure to file an appeal within these timeframes shall be deemed a waiver of the right to appeal and the appeal shall be deemed abandoned. The teacher must submit a detailed written description of the specific areas of disagreement over his/her performance review, or the issuance and/or implementation of the terms of his/her improvement plan and any additional documents or materials relevant to the appeal. The performance review being challenged must also be submitted with the appeal. Any information not submitted at the time the appeal is filed shall not be considered.

STEP #2: Supervisor Meeting
A Supervisor who receives a written request (hard copy or electronic email message) for a Supervisor Meeting will schedule a Supervisor Meeting with the requesting teacher within five (5) calendar days of the written request for a Supervisor Meeting. If the basis of the teacher’s appeal arises from an observation conducted by an evaluator other than the Supervisor, the Supervisor will invite the observing evaluator and a union representative. The scope of the Supervisor Meeting shall be limited to the basis of the appeal. Within five (5) calendar days of the Supervisor Meeting, the person who issued the performance review or is responsible for either the issuance and/or implementation of the terms of the Teacher’s Improvement Plan must submit a detailed written response to the appeal. The response must include any and all additional documents or written materials specific to the point(s) of disagreement that support the BOCES response and are relevant to the resolution of the appeal. Any such
information that is not submitted at the time the response is filed shall not be considered in the deliberations related to the resolution of the appeal. The teacher initiating the appeal shall receive a copy of the response filed by the BOCES, and any and all additional information submitted with the response, at the same time BOCES files its response.

**STEP #3: If No Resolution - Request for a Review Panel Hearing**

If a Teacher does not agree with the outcome of the supervisor meeting he/she may request in writing a meeting of the Review Panel no later than five (5) calendar days of receiving the written decision. To request a Review Panel Hearing, the teacher must submit in writing (hard copy or electronic email) to the Supervisor who conducted the teacher’s Supervisor Meeting, a request for a Review Panel Hearing. The supervisor will upon receipt advise the Deputy Superintendent/Chief Operating Officer of the teacher’s request for a Review Panel Hearing.

**STEP #4: Convening of a Review Panel Hearing**

The Deputy Superintendent/Chief Operating Officer shall convene a meeting of the Hearing Panel no later than ten (10) calendar days from the day the decision was rendered by the supervisor. The Review Panel will be appointed and will convene to review the written appeal within the teacher’s contractual workday. The four (4) member Review Panel will be comprised of: 1) two tenured teachers appointed by the President of the Association or his/her designee, 2) the respective divisional Executive Director, and 3) the Executive Director of Personnel. The scope of the Review Panel Hearing shall be limited to the basis of the appeal. The teacher must provide a copy of his/her written request for a Review Panel Hearing to the President or Vice President of the Western Suffolk BOCES Faculty Association. The Review Hearing Panel shall issue individual written summary recommendations to the Deputy Superintendent/Chief Operating Officer within five (5) calendar days from the conclusion of the hearing. These recommendations are not a vote.

**STEP # 5: Final Written Decision**

The Deputy Superintendent/Chief Operating Officer will have five (5) calendar days to render a final written decision based on the individual written recommendations of the review panel and no later than thirty-five (35) calendar days from the date upon which the teacher filed his/her appeal. The written decision will include any and all additional documents or written materials specific to the point(s) of disagreement that support the Deputy Superintendent/Chief Operating Officer’s response and are relevant to the resolution of the appeal. The Deputy Superintendent/Chief Operating Officer shall render such decision except that the same individual who is responsible for making the final rating decision may not decide an appeal. In such a case, the WSBOCES Board of Education shall appoint another person to decide the appeal. The Deputy Superintendent/Chief Operating Officer or his/her designee (Executive Director of Personnel) shall provide the teacher, either President or Vice President of the Western Suffolk BOCES Faculty Association and Lead Evaluator with a copy of the Deputy Superintendent/Chief Operating Officer’s final written decision.

**EXCLUSIVITY OF §3012-D APPEAL PROCEDURE**

The §3012-d appeal procedure shall constitute the exclusive means for initiating, reviewing and resolving any and all challenges and appeals related to a teacher’s performance review. A teacher may not resort to any other contractual grievance procedures for the resolution of challenges and appeals related to an Annual Professional Performance Review except as otherwise authorized by law. The results of the appeal process are final and are not subject to the grievance procedure of the collective bargaining agreement, except to enforce violations of the procedural aspects of the APPR process as set forth herein.

The Final Written Decision shall be considered final, complete and binding upon issuance. The Final Written Decision cannot be challenged through any other contractual grievance procedures for the resolution of challenges, appeals related to a professional performance review and/or improvement plan, except as otherwise authorized by law.

If the appeal is sustained, the Lead Evaluator may set aside a rating if it has been affected by substantial error or defect. The appeals process shall not impede the district’s ability to deny the continuation of employment and/or the granting/denying of tenure for probationary teachers in accordance with NYS regulations and in compliance with Education Law §3012-d.
Training Assurance

Please check the box below.

☑️ The LEA assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a teacher’s evaluation. Note: independent observers and peer observers need only be trained on elements 1, 2, and 4 below.

Training of Lead Evaluators, Evaluators, Independent Observers, and Peer Observers and Certification of Lead Evaluators

The process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators must include:

1) the process for training lead evaluators and evaluators, including impartial independent observers and peer observers;
2) the process for the certification and re-certification of lead evaluators;
3) the process for ensuring inter-rater reliability; and
4) the nature (content) and the approximate duration (how many hours, days) of such training.

Describe the process for training evaluators, including impartial and independent observers and peer observers, and certifying and re-certifying lead evaluators.

The Deputy Superintendent/Chief Operating Officer will ensure that all Lead Evaluators/Evaluators/Impartial Independent Observers that participate in the evaluation of teachers for the purpose of determining an APPR rating shall be properly trained and certified/recertified in Danielson Framework for Teaching as required by Education Law 3012-d (Nine (9) required elements as addressed in Regents Rules Section 30-3.10) prior to conducting a teacher evaluation.

All Lead Evaluators, Evaluators and Impartial Independent Observers will attend a full training offered annually by an area BOCES, New York State Council of School Superintendents Council, or a consultant that has expertise in the NYS’s APPR law and regulation who will train in the rubric and the nine (9) required elements. Initial training for Certification is approximately twelve (12) hours in duration.

All Lead Evaluators/Evaluators/Impartial Independent Observers will participate in Recertification training conducted annually for all Evaluators/Impartial Independent Observers and be approximately six (6) hours in duration.

In addition to Initial Training and Certification/Recertification, a collaborative review and analysis of observation-based evidence process and other professional evidence for calibration within the Danielson’s Rubric will take place during Administrative meetings for Lead Evaluators/Evaluators/Impartial Independent Observers for a total of two (2) hours per year in order to maintain and ensure inter-rater reliability over time.

All evaluators and the Deputy Superintendent/his designee will meet annually to share observations and discuss inter-rater reliability including the use of data analysis to detect disparities among evaluators.

The Superintendent will Certify or Recertify all Evaluators/Impartial Independent Observers annually based on successful participation in training activities, demonstrated inter-rater reliability and efficiency in completing the observation process.

Note: WS BOCES Trained Lead Evaluators/Evaluators may serve as Impartial Independent Observers in buildings to which they are not assigned.
Teacher Evaluation Assurances

Please check all of the boxes below.

☑ Assure that the LEA shall compute and provide to the teacher their score and rating for the Student Performance category, if available, and for the Teacher Observation category for the teacher's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the teacher is being measured, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.

☑ Assure that the evaluation system will be used as a significant factor for employment decisions.

☑ Assure that teachers will receive timely and constructive feedback as part of the evaluation process.

☑ Assure that the following prohibited elements listed in Education Law Section 3012-d(6) are not being used as part of any teacher's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of teacher effectiveness; any locally-developed assessment that has not been approved by the Department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.

☑ Assure that the LEA shall compute and provide teachers with their APPR scores and ratings as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the teacher's performance is being measured.

Assessment Assurances

Please check all of the boxes below.

☑ Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.

☑ Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the scoring of those assessments.

Data Assurances

Please check all of the boxes below.

☑ Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.

☑ Assure that the LEA provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.

☑ Assure scores for all teachers will be reported to NYSED for each subcomponent, as well as the overall rating, as per NYSED requirements.

☑ Assure that procedures for ensuring data accuracy and integrity are being utilized.
Required Student Performance Subcomponent
For guidance on the Required subcomponent of the Student Performance category, see NYSED APPR Guidance.

100% of the Student Performance category if only the Required subcomponent is used or locally determined if the Optional subcomponent is selected.

Required Student Performance Measures
Student performance for principals may be measured by either a student learning objectives (SLO) or an Input Model where the principal’s overall rating shall be determined based on evidence of principal practice that promotes student growth related to the Leadership Standards.

STUDENT LEARNING OBJECTIVES
For guidance on SLOs, see NYSED SLO Guidance.

SLO measures may be either individually attributed or collectively attributed.

Individually attributed measures
An individually attributed SLO is based on the learning outcomes of a student population within the principal’s building or program.

> Principal and building/program-specific

  • Principal and building/program-specific results: scores and ratings will be based on the growth of students in the principal’s building/program in the current school year.

Collectively attributed measures
A collectively attributed SLO is based on a student population across multiple buildings/programs of similar grade configuration or across multiple building/programs where the learning activities of one building/program indirectly contribute to student learning outcomes in another building/program. When determining whether to use a collectively attributed SLO, the LEA should consider:

  • identifying which measures and assessments could be used to encourage partnerships or teams where there is an opportunity for a collective impact on student learning;
  • identifying which assessments could be used to help foster and support an LEA’s focus on a specific priority area(s);
  • the impact on the LEA’s ability to make strong and equitable inferences regarding an individual educator’s effectiveness; and
  • when using multiple measures, the appropriate weight of each measure that reflects individually and collectively attributed results.

> District- or BOCES-wide

  • District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  • District- or BOCES-wide group or team results: scores and ratings for a group or team of principals will be based on the growth of students in the group/team of principals’ buildings/programs in an LEA in the current school year.

ASSESSMENTS
Any of the measures above may be used with one or more of the following assessment types.

  • State assessment(s); or
    Assessment(s) that are selected from the list of State-approved:
  
  • third party assessments; or
  
  • locally-developed assessments (district-, BOCES- or regionally-developed).

INPUT MODEL
Selection of the Input Model will require:
• a description of the areas of principal practice that will be evaluated;
• a description of how the selected areas of principal practice promote student growth;
• a description of the evidence of student growth and principal practice that will be collected; and
• a description of how the district will use the evidence to differentiate effectiveness resulting in a score from 0 to 20 and ratings of Highly Effective, Effective, Developing, or Ineffective.

Assurances

Please check all of the boxes below.

☑ Assure that for any SLO based, in part, on the New York State grade four science assessment, once the assessment is no longer administered the SLO will utilize only the remaining assessments.
☑ For principals evaluated using an SLO, assure that such SLO is determined locally in a manner consistent with the goal-setting process determined by the Commissioner.
☑ For principals evaluated using an SLO, assure that all student growth targets represent a minimum of one year of expected growth, as determined locally in a manner consistent with the Commissioner’s goal-setting process. Such targets may only take the following characteristics into account: poverty, students with disabilities, English language learner status and prior academic history.
☑ For principals evaluated using an SLO, assure that all student growth targets shall measure the change in a student's performance between the baseline and the end of the course.
☑ For principals evaluated using an SLO, assure that if the principal’s SLO is based on a small ‘n’ size population and the LEA chooses not to use the HEDI scoring bands listed above, then the principal’s 0-20 score and HEDI rating will be determined using the HEDI scoring bands specified by the Department in SLO Guidance.
☑ For principals evaluated using an input model, assure that all applicable principals will be evaluated using the procedures described herein and approved by the Commissioner.
☑ Assure that processes are in place for the superintendent to monitor SLOs and input models.
☑ Assure that the final Student Performance category rating for each principal will be determined using the weights and growth parameters specified in Subpart 30-3 of the Rules of the Board of Regents and the approved APPR plan.

Required Student Performance for Principals

Please choose the option that best describes the required student performance subcomponent for principals in your LEA.

☐ The same measure(s) and assessment(s) will be used for all principals
☐ Different measure(s) and assessment(s) will be used for different grade configurations/programs
Applicable Principals [1]
If different measure(s) and assessment(s), as applicable, will be used for different grade configurations/programs, each must be described on a separate page. Complete this section for the first combination of measure(s) and assessment(s), as applicable, then use the checkbox at the bottom to add the next combination.

Use the table below to list the grade configurations of the building(s)/program(s) for the principal(s) who will be evaluated using the measure and assessment(s), as applicable, included in the following sections.

<table>
<thead>
<tr>
<th>Grade From</th>
<th>Grade To</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>12</td>
</tr>
</tbody>
</table>

Principal Measures [1]
Please indicate how student performance will be measured for the principals listed above, then choose the specific measure, corresponding assessment type(s) and assessment(s), as applicable.

Student performance based on a Student Learning Objective (SLO)

An individually attributed SLO measure

> Principal and building/program-specific

  - Principal and building/program-specific results: scores and ratings will be based on the growth of students in the principal’s building/program in the current school year.

A collectively attributed SLO measure

> District- or BOCES-wide

  - District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.

  - District- or BOCES-wide group or team results: scores and ratings for a group or team of principals will be based on the growth of students in the group/team of principals’ buildings/programs in an LEA in the current school year.

Student Performance based on an Input Model

An input model uses evidence of principal practice that promotes student growth related to the Leadership Standards.

Selection of the Input Model will require:

- a description of the areas of principal practice that will be evaluated;
- a description of how the selected areas of principal practice promote student growth;
- a description of the evidence of student growth and principal practice that will be collected; and
- a description of how the district will use the evidence to differentiate effectiveness resulting in a score from 0 to 20 and ratings of Highly Effective, Effective, Developing, or Ineffective.

All Principals: Measure Type

<table>
<thead>
<tr>
<th>Student Learning Objective (SLO)</th>
</tr>
</thead>
</table>

Student Learning Objective
Please choose the type of SLO applicable to the principals listed above.

Principal and building/program-specific results

Principal Assessments [1]
Please select the assessment type(s) and specific assessment(s) that will be used with the selected measure. Assessments should only be selected if applicable to the
**Principals: Assessment Type(s)**

- Locally-developed course-specific assessment(s)

**Principals: Locally-Developed Course-Specific Assessment(s)**

- Western Suffolk BOCES

### Additional Principals

**Please be sure all principals in your LEA are included in Task 7.**

- Check this box to list additional principal(s) who will be evaluated using a different measure and assessment(s) included in this section.
Applicable Principals [2]
If different measure(s) and assessment(s), as applicable, will be used for different grade configurations/programs, each must be described on a separate page. Complete this section for the second combination of measure(s) and assessment(s), as applicable, then use the checkbox at the bottom to add the next combination.

Use the table below to list the grade configurations of the building(s)/program(s) for the principal(s) who will be evaluated using the measure and assessment(s), as applicable, included in this section.

<table>
<thead>
<tr>
<th>Grade From</th>
<th>Grade To</th>
</tr>
</thead>
<tbody>
<tr>
<td>K</td>
<td>12</td>
</tr>
</tbody>
</table>

Principal Measures [2]
Please indicate how student performance will be measured for the principals listed above, then choose the specific measure, corresponding assessment type(s) and assessment(s), as applicable.

Student performance based on a Student Learning Objective (SLO)

An individually attributed SLO measure
> Principal and building/program-specific
  • Principal and building/program-specific results: scores and ratings will be based on the growth of students in the principal’s building/program in the current school year.

A collectively attributed SLO measure
> District- or BOCES-wide
  • District- or BOCES-wide results: scores and ratings will be based on the growth of all students across buildings/programs in an LEA who take the applicable assessments in the current school year.
  • District- or BOCES-wide group or team results: scores and ratings for a group or team of principals will be based on the growth of students in the group/team of principals’ buildings/programs in an LEA in the current school year.

Student Performance based on an Input Model

An input model uses evidence of principal practice that promotes student growth related to the Leadership Standards.
Selection of the Input Model will require:
• a description of the areas of principal practice that will be evaluated;
• a description of how the selected areas of principal practice promote student growth;
• a description of the evidence of student growth and principal practice that will be collected; and
• a description of how the district will use the evidence to differentiate effectiveness resulting in a score from 0 to 20 and ratings of Highly Effective, Effective, Developing, or Ineffective.

Principals: Measure Type

Student Learning Objective (SLO)

Principal Assessment(s) [2]
Please select the assessment type(s) and specific assessment(s) that will be used with the selected measure. Assessments should only be selected if applicable to the principal(s) listed above.
 measure indicated.

<table>
<thead>
<tr>
<th>Principals: Assessment Type(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ State or Regents assessment(s)</td>
</tr>
<tr>
<td>☑ Third party assessment(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Principals: State or Regents Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ All Regents given in LEA</td>
</tr>
<tr>
<td>☑ NYSSAA</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Principals: Third Party Assessment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ MAP Growth (content-specific)</td>
</tr>
</tbody>
</table>
Use of the Optional Subcomponent and Student Performance Category Weighting

- If the Optional subcomponent is not used, the Required subcomponent will comprise 100% of the Student Performance category.
- If the Optional subcomponent is used, the percentage of Student Performance category attributed to the Required subcomponent will be locally determined.

Please indicate if the Optional subcomponent will be used by making the appropriate selection below.

NO, the Optional subcomponent WILL NOT be used; the Required subcomponent will comprise 100% of the Student Performance category.
Optional Student Performance Subcomponent

For guidance on the Optional subcomponent of the Student Performance category, see NYSED APPR Guidance.

Percentage of Student Performance category to be locally determined if selected.

Such second measure shall apply in a consistent manner, to the extent practicable, across all programs or buildings with the same grade configuration in the LEA and be a locally selected measure of student growth or achievement based on State-created or -administered assessments or State-designed supplemental assessments.

Options for measures and associated assessments include:

• Option (A) A second SLO, provided that this SLO is different than that used in the required subcomponent;
• Option (B) A growth score based on a statistical growth model, where available, for either State-created or -administered assessments or State-designed supplemental assessments;
• Option (C) A measure of student growth, other than an SLO, based on State-created or -administered assessments or State-designed supplemental assessments;
• Option (D) A performance index based on State-created or -administered assessments or State-designed supplemental assessments;
• Option (E) An achievement benchmark on State-created or -administered assessments or State-designed supplemental assessments;
• Option (F) Four, five, or six-year high school graduation rates;
• Option (G) An input model where the principal’s overall rating shall be determined based on evidence of principal practice that promotes student achievement related to the Leadership Standards; or
• Any other collectively bargained measure of student growth or achievement included in the LEA’s evaluation plan.

Please indicate if the Optional subcomponent will be used by making the appropriate selection below.

NO, the Optional subcomponent WILL NOT be used in the Student Performance category for any principal.
Principal School Visit Category
For guidance on the Principal School Visit category, see NYSED APPR Guidance.

Principals’ professional performance shall be evaluated based on a State-approved rubric using multiple sources of evidence collected and incorporated into the school visit protocol. Where appropriate, such evidence may be aligned to building or district goals; provided, however, that professional goal-setting may not be used as evidence of teacher or principal effectiveness. Such evidence shall reflect school leadership practice aligned to the Leadership Standards and selected practice rubric.

Principal Practice Rubric
Select a principal practice rubric from the menu of State-approved rubrics to assess performance based on ISLLC 2008 Standards.

<table>
<thead>
<tr>
<th>Rubric Name</th>
<th>If more than one rubric is utilized, please indicate the group(s) of principals each rubric applies to.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Multidimensional Principal Performance Rubric</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>

Rubric Assurances
Please check all of the boxes below.

☑ Assure that all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school visits.

☑ Assure that the process for assigning points for the Principal School Visit category will be in compliance with the locally-determined subcomponent weights and overall School Visit category score and rating based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents.

☑ Assure that the same rubric(s) is used for all principals in the same or similar programs or grade configurations across the LEA, provided that LEAs may locally determine whether to use different rubrics for a principal assigned to different programs or grade configurations as indicated in the table above.

☑ Assure that the same rubric(s) is used for all school visits for a principal across the school visit types in a given school year.

Process for Weighting Rubric Domains/Subcomponents
For guidance on the Principal School Visit category, see NYSED APPR Guidance.

Please describe the process for weighting the observable domains/subcomponents of the chosen practice rubric (e.g., Domains 2 and 3 will be weighted 30% each and Domains 1, 4, 5 and 6 will be weighted 10% each). All observable components will be weighted equally and averaged.

Scoring Assurances
Please check each of the boxes below.

☑ Assure that each set of school visits (by supervisor/other trained administrator, independent, or peer) will be completed using the selected practice rubric, producing an overall score between 1 and 4. The overall weighted school visit score will be converted into a HEDI rating using the ranges indicated below.

☑ Assure that once all school visits are complete, the different types of school visits will be combined using a weighted average consistent with the weights specified below, producing an overall School Visit category score between 0 and 4. In the event that a principal earns a score of 1 on all rated components of the practice rubric across all school visits, a score of 0 will be assigned.

Principal School Visit Scoring Bands
The overall School Visit score will be converted into a HEDI rating based on locally determined ratings consistent with the ranges listed.
### Overall School Visit Category

<table>
<thead>
<tr>
<th>Score and Rating</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>H</strong></td>
<td>3.5 to 3.75</td>
<td>4.0</td>
</tr>
<tr>
<td><strong>E</strong></td>
<td>2.5 to 2.75</td>
<td>3.49 to 3.74</td>
</tr>
<tr>
<td><strong>D</strong></td>
<td>1.5 to 1.75</td>
<td>2.49 to 2.74</td>
</tr>
<tr>
<td><strong>I</strong></td>
<td>0.00*</td>
<td>1.49 to 1.74</td>
</tr>
</tbody>
</table>

*In the event that an educator earns a score of 1 on all rated components of the practice rubric across all school visits, a score of 0 will be assigned.*

### HEDI Ranges

Using the dropdown menus below, please indicate the locally-determined rubric scoring ranges based on the constraints prescribed by the Commissioner in Subpart 30-3 of the Rules of the Board of Regents for each of the rating categories.

Please select a minimum value between 3.50 and 3.75 and choose 4.00 as the maximum value for the **Highly Effective** range.

<table>
<thead>
<tr>
<th></th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Highly Effective:</strong></td>
<td>3.50</td>
<td>4.00</td>
</tr>
</tbody>
</table>

Please select a minimum value between 2.50 and 2.75 and a maximum value between 3.49 and 3.74 for the **Effective** range.

<table>
<thead>
<tr>
<th></th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Effective:</strong></td>
<td>2.50</td>
<td>3.49</td>
</tr>
</tbody>
</table>

Please select a minimum value between 1.50 and 1.75 and a maximum value between 2.49 and 2.74 for the **Developing** range.

<table>
<thead>
<tr>
<th></th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Developing:</strong></td>
<td>1.50</td>
<td>2.49</td>
</tr>
</tbody>
</table>

Please choose 0.00 as the minimum value and select a maximum value between 1.49 and 1.74 for the **Ineffective** range.

<table>
<thead>
<tr>
<th></th>
<th>Minimum Rubric Score</th>
<th>Maximum Rubric Score</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Ineffective:</strong></td>
<td>0.00</td>
<td>1.49</td>
</tr>
</tbody>
</table>
Principal School Visit Subcomponent Weighting

Required Subcomponent 1: School visits by Supervisor(s) or Other Trained Administrators
- At least 80% of the Principal School Visit category score

Required Subcomponent 2: School visits by Impartial Independent Trained Evaluator(s)*
- At least 10%, but no more than 20%, of the Principal School Visit category score

Optional Subcomponent: School visits by Trained Peer Principal(s)
- No more than 10% of the Principal School Visit category score when selected

Please be sure the total of the weights indicated equals 100%.

* If the LEA is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or their designee. If the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the processes described in that application.

Please indicate the weight of each school visit type and be sure the total of the weights indicated equals 100%.

<table>
<thead>
<tr>
<th>Supervisor/Administrator [Required]</th>
<th>Independent Evaluator(s) [Required]</th>
<th>Peer School Visit(s) [Optional]</th>
<th>Grade configurations for which Peer School Visits will be used</th>
</tr>
</thead>
<tbody>
<tr>
<td>90%</td>
<td>10%</td>
<td>0% [N/A]</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>

School Visit Assurances

Please check all of the boxes below.

- Assure that the following elements will not be used in calculating a principal's school visit category score and rating: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the Department; use of an instrument for parent or student feedback; and/or use of professional goal-setting as evidence of principal effectiveness. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.

- Assure that the length of all school visits for principals will be conducted pursuant to the locally-determined durations.

- Assure that at least one of the required school visits will be unannounced.

- Assure that school visits will not be conducted via video.

Required Subcomponent 1: School Visits by Supervisor(s) or Other Trained Administrators

At least one school visit must be conducted by supervisor or other trained administrator and at least one of the required school visits must be unannounced (across both required subcomponents).

- LEAs may locally determine whether to use more than one school visit by superintendent or other trained administrator.
- Nothing shall be construed to limit the discretion of a board of education or superintendent of schools from conducting additional school visits for non-evaluative purposes.
- The frequency and duration of school visits are locally determined.
- School visits may not occur by live or recorded video.

Indicate the minimum number of unannounced and announced school visits by supervisor(s) or other trained administrators in the table below.
### Required Subcomponent 2: School Visits by Impartial Independent Trained Evaluator(s)*

At least one school visit must be conducted by impartial independent trained evaluator(s) and at least one of the required school visits must be unannounced (across both required subcomponents).

- Impartial independent trained evaluators are trained and selected by the LEA.
- They may be employed within the LEA but may not be assigned to the same school building as the principal being evaluated. This could include other administrators, department chairs/directors, or peers, so long as they are not from the same building (defined as same BEDS code) as the principal being evaluated.
- LEAs may locally determine whether to use more than one school visit by impartial independent trained evaluator(s).
- The frequency and duration of school visits are locally determined.
- School visits may not occur by live or recorded video.

*If the LEA is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the use of one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or their designee. If the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, this subcomponent will be satisfied through the processes described in that application.

Indicate the minimum number of unannounced and announced school visits by impartial independent trained evaluator(s) in the table below.

<table>
<thead>
<tr>
<th>PRINCIPALS</th>
<th>SUBGROUP</th>
<th>UNANNOUNCE</th>
<th>ANNOUNCED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicate whether the number and method selected applies to all principals or to a subgroup of principals.</td>
<td>If &quot;Subgroup of Principals&quot; is selected in the previous column, indicate which principals the number and method selected applies to; otherwise, enter &quot;N/A.&quot; For additional subgroups, add another row.</td>
<td>Minimum Number of School Visits</td>
<td>Minimum Number of School Visits</td>
</tr>
<tr>
<td>Subgroup of Principals</td>
<td>Tenured Principals</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Subgroup of Principals</td>
<td>Probationary Principals</td>
<td>0</td>
<td>2</td>
</tr>
</tbody>
</table>

### Independent Evaluator Assurances

Please check all of the boxes below.

- [x] Assure that independent evaluator(s) are not employed in the same school building, as defined by BEDS code, as the principal(s) they are evaluating.
- [x] Assure that independent evaluator(s) will be trained and selected by the LEA.
Please also check each of the following boxes.

☑ Assure that if the LEA is granted an annual Rural/Single Building District Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective; and, that in any school year for which there is an approved waiver, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the LEA, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the Superintendent/supervisor or his/her designee. See Section 30-3.5(c)(1)(ii)(a) of the Rules of the Board of Regents.

☑ Assure that if the LEA is granted an annual Undue Burden Independent Evaluator Hardship Waiver by the Department, the terms of such waiver shall apply for the school year during which the waiver is effective and, that in any school year for which there is an approved waiver and such waiver contains information that conflicts with the information provided in Task 9 of the LEA's approved Section 3012-d APPR plan, the provisions of the approved waiver will apply. See Section 30-3.5(c)(1)(ii)(b) of the Rules of the Board of Regents.
Optional Subcomponent: School Visits by Trained Peer Principal(s)

If selected, at least one school visit must be conducted by trained peer principal(s).

- Peer principals are trained and selected by the LEA.
- Trained peer principals must have received an overall rating of Effective or Highly Effective in the prior school year.
- School visits may not occur by live or recorded video.

Indicate the minimum number of unannounced and announced school visits by trained peer principal(s) in the table below.

If the optional subcomponent will not be used, please indicate "N/A" for the minimum number for both unannounced and announced school visits for "All Principals."

<table>
<thead>
<tr>
<th>PRINCIPALS</th>
<th>SUBGROUP</th>
<th>UNANNOUNCE D</th>
<th>ANNOUNCED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicate whether the number and method selected applies to all principals or to a subgroup of principals.</td>
<td>If &quot;Subgroup of Principals&quot; is selected in the previous column, indicate which principals the number and method selected applies to; otherwise, enter &quot;N/A.&quot; For additional subgroups, add another row.</td>
<td>Minimum Number of School Visits</td>
<td>Minimum Number of School Visits</td>
</tr>
<tr>
<td>All Principals (enter 'N/A' in the next column)</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Peer Principal School Visit Assurances

Please check all of the boxes below.

- Assure that peer principal(s), as applicable, will be trained and selected by the LEA.
- Assure that, if school visits are being conducted by trained peer principal(s), these principal(s) received an overall rating of Effective or Highly Effective in the previous school year.
Category and Overall Ratings
For guidance on APPR scoring, see NYSED APPR Guidance.

Category Scoring Ranges
The overall Student Performance category score and the overall School Visit category score will be converted into a HEDI rating based on the ranges listed in the tables below:

<table>
<thead>
<tr>
<th>Student Performance Category</th>
<th>Overall Student Performance Category Score and Rating</th>
<th>Overall School Visit Category Score and Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>HED</td>
<td>Minimum</td>
<td>Maximum</td>
</tr>
<tr>
<td>H</td>
<td>18</td>
<td>20</td>
</tr>
<tr>
<td>E</td>
<td>15</td>
<td>17</td>
</tr>
<tr>
<td>D</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td>I</td>
<td>0</td>
<td>12</td>
</tr>
</tbody>
</table>

Scoring Matrix for the Overall Rating
The overall rating for an educator shall be determined according to a methodology described in the matrix below:

<table>
<thead>
<tr>
<th>Principal School Visit Category</th>
<th>Highly Effective (H)</th>
<th>Effective (E)</th>
<th>Developing (D)</th>
<th>Ineffective (I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective (H)</td>
<td>H</td>
<td>H</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Effective (E)</td>
<td>H</td>
<td>E</td>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>Developing (D)</td>
<td>E</td>
<td>E</td>
<td>D</td>
<td>I</td>
</tr>
<tr>
<td>Ineffective (I)</td>
<td>D</td>
<td>D</td>
<td>I</td>
<td>I</td>
</tr>
</tbody>
</table>

Category and Overall Rating Assurances
Please check all of the boxes below.

- Assure that each subcomponent and category score and rating and the Overall rating will be calculated pursuant to the requirements specified in Subpart 30-3 of the Rules of the Board of Regents.
- Assure that it is possible to obtain a zero in each subcomponent.
- Assure the overall rating determination for a principal shall be determined according to the evaluation matrix.
Additional Requirements
For guidance on additional requirements for principals, see NYSED APPR Guidance.

Principal Improvement Plan Assurances
Please check each of the boxes below.

- Assure that the LEA will formulate and commence implementation of a Principal Improvement Plan (PIP) for all principals who receive an overall rating of Developing or Ineffective by October 1 following the school year for which such principal’s performance is being measured or as soon as practicable thereafter.

- Assure that PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of their pedagogical judgment, and subject to collective bargaining to the extent required under article 14 of the Civil Service Law, shall include: identification of needed areas of improvement, a timeline for achieving improvement, the manner in which the improvement will be assessed, and, where appropriate, differentiated activities to support a principal’s improvement in those areas.

Principal Improvement Plan Forms
All PIP plans developed and implemented by the superintendent or his/her designee, in the exercise of his/her pedagogical judgment, must include:

1) identification of needed areas of improvement;
2) a timeline for achieving improvement;
3) the manner in which the improvement will be assessed; and, where appropriate,
4) differentiated activities to support a principal’s improvement in those areas.

As a required attachment to this APPR plan, upload the PIP forms that are used in the LEA.

WSBOCES Principal Improv Plan PIP 7-28-2016.pdf
Appeal Assurance

Please check the box below.

[ ] Assure the LEA has collectively bargained appeal procedures that are consistent with the regulations and that they provide for the timely and expeditious resolution of an appeal.

Appeals

Pursuant to Education Law §3012-d, a principal may only challenge the following in an appeal to their LEA:

1. the substance of the annual professional performance review; which shall include the following:
   (i) in the instance of a principal rated Ineffective on the student performance category, but rated Highly Effective on the school visit category based on an anomaly, as determined locally;

2. the LEA's adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d;

3. the adherence to the regulations of the Commissioner and compliance with any applicable locally negotiated procedures, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents; and

4. the LEA's issuance and/or implementation of the terms of the principal improvement plan, as required under Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.
Describe the procedure for ensuring that appeals of annual performance evaluations will be handled in a timely and expeditious manner.

Appeals of annual professional performance reviews should be limited to those that rate a Principal as “Ineffective” or “Developing” only.

**Basis of Appeal:** Appeal procedures should limit the scope of appeals under Education Law §3012-d to the following subjects: 1) the substance of the annual professional performance review; which shall include the instance of a Principal rated Ineffective on the Student Performance Category but rated Highly Effective on the Observation/School Visit Category based on an anomaly, as determined locally, 2) the school district’s or Board of Cooperative Educational Services’ adherence to the standards and methodologies required for such reviews, pursuant to Education Law §3012-d and Subpart 30-3 of Commissioner’s regulations, 3) the adherence to the Commissioner’s Regulations, as applicable to such reviews and compliance with any applicable locally negotiated procedures, as required under Education Law §3012-d, 4) the school district’s or Board of Cooperative Educational Services’ issuance and/or implementation of the terms of the Principal’s Improvement Plan (PIP), or from the discovery of a PIP implementation issue under Education Law §3012-d, and 5) the substance and rating of the annual professional performance review.

**Multiple Appeals & Untimely Appeals Prohibited:** A Principal may appeal his/her performance review or PIP only once. Multiple appeals of the same performance review or PIP are not permitted. All grounds for appealing a particular performance review of PIP must be raised within the same appeal, or shall be deemed waived. All steps in the resolution of the appeals process shall be timely and expeditious within the meaning of Education Law §3012-d. If a Principal does not adhere to the deadlines indicated in this appeals process, absent exigent circumstances, the right of appeal shall be deemed waived. If the BOCES does not adhere to the deadlines indicated in this appeals process, the appeal shall be deemed moved to the next level of appeal.

**Substantial Evidence Required:** In an appeal, the Principal has the burden of proving by substantial evidence the merits of his/her appeal.

**Timing & Content of Appeal:** Overall within thirty-one (31) calendar days of receipt of an appeal, Western Suffolk BOCES must submit a detailed written response to the appeal. An appeal must be submitted in writing no later than fifteen (15) calendar days of the date when the Principal receives his/her APPR score. If a Principal is challenging the issuance of a Principal Improvement Plan or discovers a PIP implementation issue, an appeal must be filed within fifteen (15) calendar days of issuance or discovery. The failure to file an appeal within these timeframes, absent exigent circumstances, shall be deemed waived. Any information not submitted at the time the appeal is filed shall not be considered.

**Supervisor Meeting:** A supervisor who receives a written request for a meeting will schedule a supervisor meeting with the requesting Principal within five (5) calendar days of the request for said meeting. The scope of the meeting shall be limited to the bases of the appeal. The Principal must bring to the meeting his/her copy of all information and evidence he/she wants considered at any level of appeal. Within five (5) calendar days of the supervisor meeting, the person who issued the performance review or were responsible for either the issuance and/or implementation of the terms of the Principal’s Improvement Plan must submit a detailed written response to the appeal. The response must include any and all additional documents or written materials specific to the point(s) of disagreement that support the BOCES response and are relevant to the resolution of the appeal. Any such information that is not submitted at the time the response is filed shall not be considered in the deliberations related to the resolution of the appeal. The Principal initiating the appeal shall receive a copy of the response filed by the BOCES, and any and all additional information submitted with the response, at the same time BOCES files its response.

**Hearing Panel:** If a Principal does not agree with the outcome of the supervisor meeting he/she may request a meeting of the hearing panel within 24 hours of receiving the written decision. The Deputy Superintendent/Chief Operating Officer shall convene a meeting of the Hearing Panel no later than ten (10) calendar days from the day the decision was rendered by the supervisor. The hearing panel will be comprised of three (3) members; a Unit II representative, the Executive Director of Personnel, and a mutually agreed upon independent advisor. The panel shall issue individual written summary recommendations to the Deputy Superintendent/Chief Operating Officer within five (5) calendar days from the conclusion of the hearing. These recommendations are not a vote.

**Final Written Decision:** The Deputy Superintendent/Chief Operating Officer will provide the Principal with a final written decision within five (5) calendar days of the hearing panel, and no later than thirty-one (31) calendar days from the date upon which the Principal filed his/her appeal. The cost of the mutually agreed upon independent advisor will be borne by Western Suffolk BOCES. The Final Written Decision shall be considered final and shall not be grievable, arbitral, nor reviewable in any forum other than defenses and/or
challenges provided under law, including but not limited to Education Law §3020-a. However, the failure of either party to abide by the above agreed-upon process shall be subject to the grievance procedure.
Training Assurance

Please check the box below.

☒ The LEA assures that all evaluators will be properly trained and lead evaluators will be certified on the below elements prior to completing a principal’s evaluation. Note: independent evaluators and peer principals need only be trained on elements 1, 2, and 4 below.

Training of Lead Evaluators, Evaluators, Independent Evaluators, and Peer Principals and Certification of Lead Evaluators

The process for training evaluators, including impartial and independent evaluators and peer principals, and certifying and re-certifying lead evaluators must include:

1) the process for training lead evaluators and evaluators, including impartial independent evaluators and peer principals;
2) the process for the certification and re-certification of lead evaluators;
3) the process for ensuring inter-rater reliability; and
4) the nature (content) and the approximate duration (how many hours, days) of such training.

Describe the process for training evaluators, including impartial and independent evaluators and peer principals, and certifying and re-certifying lead evaluators.

The Western Suffolk BOCES will ensure that all evaluators of Principals (evaluators, lead evaluators and independent observers) are trained and certified/recertified in accordance with regulation. Specifically, the Western Suffolk BOCES Staff Development Team will provide all evaluators (evaluators, lead evaluators and independent observers) with approximately one day (six hours) of annual training. Recertification is required annually. Annual certification and recertification will include inter-rater reliability training. The certification and recertification will be done by the District Superintendent on an annual basis. Training will address all of the elements required by Section 30-3.10(b) of the Rules of the Board of Regents.
Principal Evaluation Assurances

Please check all of the boxes below.

☑ Assure that the LEA shall compute and provide to the principal their score and rating for the Student Performance category, if available, and for the Principal School Visit category for the principal's Annual Professional Performance Review, in writing, no later than the last school day of the school year for which the principal is being measured, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.

☑ Assure that the evaluation system will be used as a significant factor for employment decisions.

☑ Assure that principals will receive timely and constructive feedback as part of the evaluation process.

☑ Assure that the following prohibited elements listed in Education Law Section 3012-d(6) are not being used as part of any principal's evaluation: evidence of student development and performance derived from lesson plans, other artifacts of principal practice, and student portfolios, except for student portfolios measured by a State-approved rubric where permitted by the department; use of an instrument for parent or student feedback; use of professional goal-setting as evidence of principal effectiveness; any locally-developed assessment that has not been approved by the department; and any growth or achievement target that does not meet the minimum standards as set forth in regulations of the Commissioner. Consistent with Subpart 30-3 of the Rules of the Board of Regents, assure that points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent.

☑ Assure that the LEA shall compute and provide principals with their APPR scores and ratings as soon as practicable, but in no case later than September 1 of the school year next following the school year for which the principal's performance is being measured.

Assessment Assurances

Please check all of the boxes below.

☑ Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by state or federal law for each classroom or program within a grade level does not exceed, in the aggregate, one percent of the minimum required annual instructional hours for the grade.

☑ Assure that individuals with vested interest in the outcome of their assessments are not involved, to the extent practicable, in the scoring of those assessments.

Data Assurances

Please check all of the boxes below.

☑ Assure that SED will receive accurate teacher and student data, including enrollment and attendance data, and any other student, teacher, school, course, and teacher/student linkage data necessary to comply with regulations, in a format and timeline prescribed by the Commissioner.

☑ Assure that the LEA provides an opportunity for every classroom teacher to verify the subjects and/or student rosters assigned to them.

☑ Assure scores for all principals will be reported to NYSED for each subcomponent, as well as the overall rating, as per NYSED requirements.

☑ Assure that procedures for ensuring data accuracy and integrity are being utilized.
Upload APPR LEA Certification Form

*Please Note: SED Monitoring timestamps each revision and signatures cannot be dated earlier than the last revision. To ensure the accuracy of the timestamp on each task, please submit from Task 12 only.*

Please obtain the required signatures, create a PDF file, and upload your joint certification of the APPR Plan using the "LEA Certification Form" found in the "Documents" menu on the left side of the page.

LEA Certification.pdf
Additional Documents

The Department will not review any documents other than those required in the online form (Tasks 1-12).

Any additional documents supplied by the LEA are for informational purposes only for the teachers and principals reviewed under this APPR plan. Statements and/or materials in such additional documents have not been approved and/or endorsed by the Department. However, the Department considers void any other signed agreements between and among parties in any form that prevent, conflict, or interfere with full implementation of the APPR Plan approved by the Department. The Department also reserves the right to request further information from the LEA, as necessary, as part of its review of this plan.

Upload Documents

Western_Suffolk_BOCES_Career_and_Technical_Education_Course_Attachment.docx
Western Suffolk BOCES

TEACHER PERFORMANCE IMPROVEMENT PLAN

20 ____ - 20 ____ (SCHOOL YEAR IMPLEMENTED)

TEACHER: □ Tenured □ Non-Tenured Year: ___

SUPERVISOR: SCHOOL/PROGRAM: ___

PRIOR YEAR OVERALL PERFORMANCE RATING: □ INEFFECTIVE □ DEVELOPING

DATES OF MEETINGS

INITIAL MEETING: ____ / ____ / ____

MID-YEAR MEETING: ____ / ____ / ____

END-OF-YEAR MEETING: ____ / ____ / ____

SECTION I: Standards-Based Goals/Areas in Need of Improvement

IDENTIFIED AREAS IN NEED OF IMPROVEMENT

ACTION PLAN, INCLUDING DIFFERENTIATED ACTIVITIES:

IMPLEMENTATION TIMELINE FOR ACHIEVING IMPROVEMENT:

MANNER IN WHICH IMPROVEMENT WILL BE ASSESSED:
**SECTION II: SUPPORT AND GUIDANCE**

**PROFESSIONAL LEARNING ACTIVITIES TO SUPPORT THE TEACHER:**

**ARTIFACTS OR BENCHMARKS OF IMPROVEMENT:**

**EVIDENCE FROM EVALUATIONS TO ASSESS IMPROVEMENT:**

**SUMMARY:**

The teacher, _____, agrees to follow through on the plan.

The supervisor, _____, agrees to provide appropriate support for the teacher to achieve his/her goal(s).

Teacher Signature: _________________________________ Date: _____/_____/_____

Supervisor Signature: _______________________________ Date: _____/_____/_____
**PRINCIPAL IMPROVEMENT PLAN**

Name of Principal  _____ Tenured ☐ Untenured ☐  
School Building _____  Academic Year_____
Superintendent/ Supervisor _____

Deficiency that promulgated the “ineffective” or “developing” performance rating: _____

Improvement Goal/Outcome: _____

Strategies and activities the Principal will use to improve: _____

Timeline: _____

Required and Specific Resources including artifacts, to be made available to assist in achieving stated goals: _____

Date(s) of formative evaluation meetings: _____

Professional learning activities to support the administrator: _____

Manner in which improvement will be assessed: _____
# PRINCIPAL IMPROVEMENT PLAN
Formative Evaluation Form

<table>
<thead>
<tr>
<th>Meeting #1</th>
<th>Summary of Meeting</th>
<th>SIGN-OFF BOTH PARTIES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Evidence of Improvement:**


<table>
<thead>
<tr>
<th>Meeting #2</th>
<th>Summary of Meeting</th>
<th>SIGN-OFF BOTH PARTIES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Evidence of Improvement:**


<table>
<thead>
<tr>
<th>Meeting #3</th>
<th>Summary of Meeting</th>
<th>SIGN-OFF BOTH PARTIES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Evidence of Improvement:**


**Principal:**____________________________  **Date:**___________________

**Executive Director:**___________________  **Date:**___________________

**Superintendent:**______________________  **Date:**___________________
By signing this document, the LEA and its collective bargaining agent(s) certify that the APPR plan submitted to the Commissioner for approval constitutes the school LEA's complete APPR plan, that all provisions of the plan that are subject to collective negotiations have been resolved pursuant to the provisions of Article 14 of the Civil Service Law, and that such plan complies with the requirements of Education Law §3012-d as amended by the Laws of 2019 and Subpart 30-3 of the Rules of the Board of Regents, and has been adopted by the governing body of the LEA.

The LEA and its collective bargaining agent(s), where applicable, also certify, upon information and belief, that all statements made herein are true and accurate and that any applicable collective bargaining agreements for teachers and principals are consistent with and/or have been amended and/or modified or otherwise resolved to the extent required by Article 14 of the Civil Service Law, as necessary to require that all classroom teachers and building principals will be evaluated using the APPR plan submitted to the Commissioner for approval.

The LEA and its collective bargaining agent(s), where applicable, also certify that this APPR Plan is the LEA's complete APPR Plan and that such plan will be fully implemented by the LEA; that there are no collective bargaining agreements, memoranda of understanding, or any other agreements in any form that prevent, conflict, or interfere with full implementation of the APPR Plan; and that no material changes will be made to the Plan through collective bargaining or otherwise except with the approval of the Commissioner in accordance with Subpart 30-3 of the Rules of the Board of Regents.

The school district and its collective bargaining agent(s), where applicable, also acknowledge that if approval of this APPR Plan is rejected or rescinded for any reason, any State aid increases received as a result of the Commissioner's approval of this APPR Plan may be withheld or forfeited by the State pursuant to Education Law §3012-d(11).

The LEA and its collective bargaining agent(s), where applicable, also make the following specific certifications with respect to their APPR Plan:

- Assure that the overall APPR rating will be used as a significant factor in employment decisions, including but not limited to: tenure determinations and teacher and principal improvement plans;
- Assure that the entire APPR will be completed for each teacher or principal as soon as practicable but in no case later than September 1 of the school year following the year in which the classroom teacher or building principal's performance is being measured;
- Assure that the LEA shall compute and provide to the teacher/principal his or her score and rating on the Student Performance category, if available, and for the Teacher Observation category or Principal School Visit Category of a teacher's or principal's APPR, in writing, no later than the last day of the school year for which the teacher or principal is being measured, but in no case later than September 1 of the school year following the year in which the teacher’s or principal's performance is measured;
- Assure that the APPR Plan will be filed in the LEA's office and made available to the public on the LEA's website no later than September 10th of each school year or within 10 days after the plan's approval by the Commissioner, whichever shall later occur;
- Assure that complete and accurate teacher and student data will be provided to the Commissioner in a format and timeline prescribed by the Commissioner;
- Assure that the LEA will continue to report to the State individual subcomponent scores and the overall rating for each classroom teacher and building principal in a manner prescribed by the Commissioner;
- Assure that the LEA provides an opportunity for every classroom teacher and building principal to verify the subjects and/or student rosters assigned to them;
- Assure that teachers and principals will receive timely and constructive feedback as part of the evaluation process;
- Assure that any training course for lead evaluator certification addresses each of the requirements in the regulations, including specific considerations in evaluating teachers and principals of English language learners and students with disabilities;
- Assure that any teacher or principal who receives an Overall Rating of Developing or Ineffective in any school year will receive a Teacher Improvement Plan or Principal Improvement Plan, in accordance with all applicable statues and regulations, by October 1 of the school year following the year in which such teacher's or principal's performance was measured or as soon as practicable thereafter.
- Assure that such improvement plan shall be developed by the superintendent or his/her designee in the exercise of their pedagogical judgment, and shall be subject to collective bargaining to the extent required under Article 14 of the Civil Service Law;
- Assure that all evaluators and lead evaluators, including independent evaluators and peer evaluators, as applicable, will be properly trained and that lead evaluators will be certified and recertified as necessary in accordance with all applicable statutes and regulations;
- Assure that LEA has collectively bargained appeal procedures that are consistent with the statute and regulations and provide for the timely and expeditious resolution of an appeal to the LEA;
- Assure that, for teachers, all observable NYS Teaching Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual observations and, for principals, all observable ISLLC 2008 Leadership Standards/Domains of the selected practice rubric are assessed at least once a year across the total number of annual school
visits;
- Assure that it is possible for a teacher or principal to obtain each point in the scoring ranges, including 0, for each subcomponent and that the LEA shall ensure that the process by which weights and scoring ranges are assigned to subcomponents and categories is transparent and available to those being rated before the beginning of each school year;
- Assure that if a second measure for the Student Performance category is locally selected, then the same locally selected measures of student growth or achievement will be used across all classrooms in the same grade/subject, for teachers, or similar building configurations/programs, for principals, in the LEA will be used in a consistent manner to the extent practicable;
- Assure that all growth targets represent a minimum of one year of expected growth;
- Assure that any material changes to this APPR Plan will be submitted to the Commissioner for approval by March 1 of each school year;
- Assure that the LEA will provide the Department with any information necessary to conduct annual monitoring pursuant to Subpart 30-3 of the regulations;
- Assure that the amount of time devoted to traditional standardized assessments that are not specifically required by State or Federal law for each classroom or program of the grade does not exceed, in the aggregate, one percent of the minimum in required annual instructional hours for such classroom or program of the grade; and
- Assure that the amount of time devoted to test preparation under standardized testing conditions for each grade does not exceed, in the aggregate, two percent of the minimum required annual instructional hours for such grade. Time devoted to teacher administered classroom quizzes or exams, portfolio reviews, or performance assessments shall not be counted towards the limits established by this subdivision. In addition, formative and diagnostic assessments shall not be counted towards the limits established by this subdivision and nothing in this subdivision shall be construed to supersede the requirements of a section 504 plan of a qualified student with a disability or Federal law relating to English language learners or the individualized education program of a student with a disability.

Signatures, dates

Superintendent Signature:  

Superintendent Name (print):  

Teachers Union President Signature:  

Teachers Union President Name (print):  

Administrative Union President Signature:  

Administrative Union President Name (print):  

Board of Education President Signature:  

Board of Education President Name (print):