



New York State
EDUCATION DEPARTMENT

Knowledge > Skill > Opportunity

Staff Evaluation Data Reporting for the 2020-21 School Year

**Requirements under Education Law
§3012-d, as amended in 2019**

APPR in the 2020-21 School Year

- On June 7, 2021, Governor Andrew Cuomo signed Chapter 112 of the Laws of 2021, which was subsequently amended on June 11, 2021 by Chapter 147 of the Laws of 2021, which, in part, provides that for the 2020-21 school year, no LEA shall be required to complete an annual teacher or principal evaluation for any classroom teacher or building principal due to concerns related to the ongoing response to the COVID-19 pandemic.
- In effect, the bill excuses LEAs from the requirement to complete a teacher's or principal's evaluation under Education Law §3012-d and Regents Rules 30-3 for the 2020-21 school year. The bill also eliminates any state aid penalties for school districts that fail to implement any component of their approved APPR plans.
- **The amendments to the law eliminate the requirement for LEAs to complete teacher and principal evaluations for the 2020-21 school year, but do not prohibit evaluations from being completed where an LEA is able to do so.**
- **To the extent that LEAs are able to complete all or part of an educator's evaluation, the Department expects that such scores and ratings will be reported. To reduce the burden on LEAs, the Department will not be requiring LEAs to certify data or complete an APPR implementation certification for the 2020-21 school year.**

2020-21 Staff Evaluation Status Form

- A 2020-21 Staff Evaluation Status form is available in the [NYSED Business Portal](#) to allow LEAs to report the status of their APPR for the 2020-21 school year.
- This form contains one question regarding APPR in for 2020-21.
- The form must be signed by the superintendent and submitted electronically.

The information contained in the remainder of this document is applicable to those LEAs who were able to complete APPR in the 2020-21 school year and have full staff evaluation results for at least some educators.

Data Elements Required for Reporting* under Education Law §3012-d

The following data elements will be required for reporting evaluation scores and ratings under Education Law §3012-d:

Student Performance Category

- **Required Student Performance subcomponent score**
(Student Learning Objectives or Alternate SLOs)
- **Optional Student Performance subcomponent score (as applicable)**
(locally selected measures of student growth)

Teacher Observation/Principal School Visit Category

- **Required Observation/School Visit subcomponent score**
(combined principal, supervisor, or other trained administrator and impartial independent trained evaluator)
- **Optional Observation/School Visit subcomponent score (as applicable)**
(trained peer observer)

Overall Rating

- Based on the evaluation matrix
([APPR Guidance](#), I2, p. 119-120)

** Please note: The elements outlined above are applicable to staff evaluation data reporting. All educators must receive, as applicable: a required student performance subcomponent score and rating, an optional student performance subcomponent score and rating, a student performance category rating, a required teacher observation/principal school visit subcomponent score and rating, an optional teacher observation/principal school visit subcomponent score and rating, a teacher observation/principal school visit category rating, and an overall rating.*

Staff Evaluation Reporting under Education Law §3012-d: Logistics

- The 2020-21 data submission deadline is October 15, 2021; however, since State-provided growth scores are no longer required for staff evaluation, LEAs are encouraged to submit as early as possible.
 - The data collection period begins on July 1; August 20, 2021 is the target submission date.
- Only one set of scores and ratings should be submitted for each educator.
 - Educators whose evaluation measures per the LEA's approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores will have scores and ratings based on the **original SLOs** included in the approved APPR plan*.
 - Educators whose evaluation measures per the LEA's approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores will have scores and ratings based on the **Alternate SLOs** included in the approved APPR plan or Supplemental form for Alternate SLOs*.
- Further information regarding Staff Evaluation data collection will be shared with the field in spring/summer 2021. Resources can be found on the [Resources for Staff Evaluation Data Collection and Submission](#) page.
- All LEAs will receive an updated APPR summary from the Office of Educator Quality and Professional Development through the IRSP in late Spring, which will summarize the elements necessary for score distribution as well as data submission reflective of the 2019 amendment to Education Law §3012-d.

* Please note: for LEAs with an approved APPR Variance or Removal of AIMSWEB supplemental form, the measures/assessments included in the approved variance/form will replace any corresponding measures in the approved APPR plan/Supplemental Form for Alternate SLOs.

Reporting Scores and Ratings

- The 2019 amendment to Education Law §3012-d excluded the use of grades 3-8 NYS ELA and Math State Assessments from teacher and principal evaluation* and superseded Transition regulations.
- Each educator should have only one set of evaluation scores and ratings, determined by the measures included in Task 2/7 of the LEA's approved APPR plan, variance and/or supplemental form.
 - *For plans approved under Education Law §3012-d (prior to 01/01/2020):*
 - Required student performance scores based on original measures will be calculated for those educators whose measures do not incorporate grades 3-8 NYS ELA/Math assessments (or State-provided growth scores).
 - Required student performance scores based on Alternate SLOs will be calculated for those educators whose measures do incorporate grades 3-8 NYS ELA/Math assessments (or State-provided growth scores).
 - *For plans approved under Education Law §3012-d, as amended in 2019 (after 01/01/2020), all evaluations will be based on the measures/assessments included in Task 2/7 of the APPR plan.*
 - *For APPR Variances or AIMSWEB Removal forms approved in conjunction with an existing APPR plan**, measures in the APPR plan should be replaced by any corresponding measures/evidence/assessments included in the approved variance or form.*



* Please note: SLOs based on Regents exams, NYSAA, NYSESLAT, or NYS Grades 4/8 State science assessments are not excluded.

** Applicable to plans approved under Education Law §3012-d and Education Law §3012-d, as amended in 2019.

Reporting Scores and Ratings for plans approved under Education Law §3012-d (prior to 01/01/2020)

- Any educator whose original student performance measures per the approved APPR plan are based entirely or in part on the grades 3-8 NYS ELA/Math Assessments or State-provided growth scores* will have student performance scores and ratings based on Alternate SLOs**.
 - Educators whose original student performance measures are NOT based at all on the grades 3-8 NYS ELA/Math Assessments or State-provided growth scores should be submitted with scores and ratings based on the original measures included in Task 2/7 of the approved APPR plan**.
- The following codes should be used for all scores and ratings: REQSP, OPTSP, REQOB, OPTOB, OCR; transition codes (TREQSP, TOPTSP, TREQOB, TOPTOB, TOCR) have been eliminated.



* Please note: SLOs based on Regents exams, NYSAA, NYSESLAT, or NYS Grades 4/8 State science assessments are not excluded.

** For LEAs with an approved APPR Variance or Removal of AIMSWEB supplemental form, the measures/assessments included in the approved variance/form will replace any corresponding measures in the approved APPR plan/Supplemental Form for Alternate SLOs.

Important to Note: ALL educators should have only ONE SET of scores and ratings

Plans approved under Education Law §3012-d (prior to 01/01/2020)

Some evaluations will be based on the original measures/assessments included in Task 2/7 of the APPR plan*.

These scores and ratings include those NOT based on State-provided growth scores and/or NYS 3-8 ELA/math assessments, where applicable.

In most LEAs, only a subset of evaluations will be based on Alternate SLOs.

Teachers AND principals whose original measures/assessments indicated in Task 2/7 of the APPR plan utilize on any of the following should have evaluations based on the Alternate SLOs included in either Task 2/7 Transition of the approved plan or the approved Supplemental Form for Alternate SLOs:*

- Individual State-provided growth scores
 - *grades 4-8 ELA/math teachers and most principals (those in buildings that contain any of the grades 4-8 and grades 9-12 principals)*
- Individual SLOs based on the NYS 3-8 ELA/math assessments
 - *grade 3 ELA/math teachers and some principals*
 - *teachers with a small number of students that take the NYS 3-8 ELA/math assessments*
- School-, program-, district-, or BOCES-wide SLOs based on either a building State-provided growth score or NYS 3-8 ELA/math assessments
 - *could include any teacher that does not teach only grades 3-8 or Regents courses or any principal of grades K, 1, and/or 2 **only***

* Please note: for LEAs with an approved APPR Variance or Removal of AIMSWEB supplemental form, the measures/assessments included in the approved variance/form will replace any corresponding measures in the approved APPR plan/Supplemental Form for Alternate SLOs.

Reporting Scores and Ratings for plans approved under Education Law §3012-d, as amended in 2019 (after to 01/01/2020)

- All evaluations will be based on the measures/assessments included in Task 2/7 of the APPR plan*.
 - These scores and ratings will include those based on NYS assessments, as applicable, per the approved plan.
- The following codes should be used for all scores and ratings: REQSP, OPTSP, REQOB, OPTOB, OCR; transition codes (TREQSP, TOPTSP, TREQOB, TOPTOB, TOCR) have been eliminated.

Important to Note: ALL educators should have only ONE SET of scores and ratings



**For LEAs with an approved APPR Variance or Removal of AIMSWEB supplemental form, the measures/assessments included in the approved variance/form will replace any corresponding measures in the approved APPR plan/Supplemental Form for Alternate SLOs.*

APPR Variance or AIMSWEB Removal form approved in conjunction with an existing APPR plan

- Measures in the APPR plan should be replaced by any corresponding measures/evidence/assessments included in the approved variance or form.
- This is applicable to plans approved under Education Law §3012-d and Education Law §3012-d, as amended in 2019.
- The following codes should be used for all scores and ratings: REQSP, OPTSP, REQOB, OPTOB, OCR; transition codes (TREQSP, TOPTSP, TREQOB, TOPTOB, TOCR) have been eliminated.

Important to Note: ALL educators should have only ONE SET of scores and ratings

Reporting Evaluation Scores and Ratings under Education Law §3012-d, as amended in 2019

Measures/assessments included in the approved APPR plan include NYS Grades 3-8 ELA/Math assessments or State-provided growth scores:
Submit student performance scores based on ALTERNATE SLOs (ex. grade four teacher)

Measures/assessments included in the approved APPR plan DO NOT include NYS Grades 3-8 ELA/Math assessments or State-provided growth scores:
Submit student performance scores based on ORIGINAL SLOs (ex. grade one teacher not using NYS 3-8 ELA/Math assessments or building State-provided growth score)

Required Student Performance subcomponent

- 0-20 score based on Alternate SLO (Task 2 Transition in the approved APPR plan or the process outlined on the approved Supplemental Form for Alternate SLOs*)

Required Student Performance subcomponent

- 0-20 score based on SLO (Task 2 of the approved APPR plan*)

Optional Student Performance subcomponent

- Optional subcomponent not used; do not submit

Optional Student Performance subcomponent

- 0-20 score based on supplemental assessment

Required Teacher Observation/Principal School Visit subcomponent

- Score from 1-4 or 0 based on rubric

Required Teacher Observation/Principal School Visit subcomponent

- Score from 1-4 or 0 based on rubric

Optional Teacher Observation/Principal School Visit subcomponent

- Score from 1-4 based on rubric

Optional Teacher Observation/Principal School Visit subcomponent

- Score from 1-4 based on rubric

Overall Rating

- HEDI rating (based on the Evaluation Matrix)

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When receiving their APPR scores and ratings, these educators should also receive:

- An Overall Student Performance category rating based on the Alternate SLO.
- An Overall Teacher Observation / Principal School Visit category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- PLEASE NOTE, THE OVERALL CATEGORY RATINGS SHOULD NOT BE SUBMITTED WITH THE ABOVE-NAMED STAFF EVALUATION DATA

When receiving their APPR scores and ratings, these educators should also receive:

- An Overall Student Performance category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- An Overall Teacher Observation / Principal School Visit category rating based on the combined subcomponents, per the weighting indicated in the approved APPR plan.
- PLEASE NOTE, THE OVERALL CATEGORY RATINGS SHOULD NOT BE SUBMITTED WITH THE ABOVE-NAMED STAFF EVALUATION DATA



* Please note: for LEAs with an approved APPR Variance or Removal of AIMSWEB supplemental form, the measures/assessments included in the approved variance/form will replace any corresponding measures in the approved APPR plan/Supplemental Form for Alternate SLOs.