

Staff Evaluation Template Examples

Example A: Grade 4 Teacher

(Transition scores/rating only, Student Performance based on Alternate SLO, Optional Observation)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score cannot be included in transition, therefore the **Alternate SLO¹** should be used. The Alternate SLO based on a district-wide measure using all applicable Regents exams results in a score of 16, which should be submitted for the **Transition Required Student Performance subcomponent** (TREQSP=16).

** The teacher should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

Since the district chose not to use the Optional subcomponent, a **Transition Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO TOPTSP).

** The teacher should not receive a Transition Optional Student Performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the teacher should receive a rating of Effective, based on only the Transition Required subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Transition Required Teacher Observation subcomponent** (TREQOB=3.40).

** The teacher should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Teacher Observation subcomponent.*

OPTIONAL

Receives a score of 3.3, based on peer observation using the selected rubric, which should be submitted for the **Original Transition Teacher Observation subcomponent** (TOPTOB=3.30).

** The teacher should receive both a score of 3.30, and the corresponding rating of Effective for his or her Transition Optional Teacher Observation subcomponent.*

** The Transition Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, based on the combination of the Required and Optional subcomponents, per the weighting indicated in the approved APPR plan.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Teacher Observation (Effective) category ratings, per the matrix (TOCR03).

** The teacher should receive a rating of Effective for his or her Transition Overall rating.*

¹ For APPR plans under Education Law §3012-d approved after March 10, 2016, Alternate SLOs are included in Tasks 2 and 7 Transition. For plans under Education Law §3012-d approved on or before March 10, 2016, Alternate SLOs are included on the approved Supplemental Form for Alternate SLOs.

Example B: High School Algebra Teacher

(Transition scores/rating only, SLO, Optional Student Performance based on additional State-provided growth score excluded)

TRANSITION ONLY: The *original* optional measure per Task 8 of the approved APPR plan is based on an additional State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

Receives an SLO score of 18 based on the Algebra 1 Regents, per Task 2 of the approved APPR plan (an Alternate SLO is not needed) which should be submitted for the **Transition Required Student Performance subcomponent** (TREQSP=18).

** The teacher should receive both a score of 18, and the corresponding rating of Highly Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

The optional measure, a second State-provided growth score, cannot be included in the transition calculation; therefore, the **Transition Optional Student Performance subcomponent** should not be submitted. (NO TOPTSP)

** The teacher should not receive a transition optional student performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the teacher should receive a rating of Highly Effective, based on only the Transition Required subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Transition Required Teacher Observation subcomponent** (TREQOB=3.40).

** The teacher should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Teacher Observation subcomponent.*

OPTIONAL

District chooses not to use the Optional subcomponent; therefore, a **Transition Optional Teacher Observation subcomponent** is not required, and nothing should be submitted (NO TOPTOB).

** The teacher should not receive a Transition Optional Teacher Observation subcomponent score or rating.*

** The Transition Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, which is the same as the Original category.*

OVERALL RATING

Receives a **Transition Overall Rating** of Highly Effective based on the combination of the Transition Student Performance (Highly Effective) and Transition Teacher Observation (Effective) category ratings, per the matrix (TOCR04).

** The teacher should receive a rating of Highly Effective for his or her Transition Overall rating.*

Example C: K-5 Art Teacher

(Transition scores/rating only, Student Performance based on Alternate SLO)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a school-wide growth measure based on the 4-5 NYS ELA/Math Assessments, which means *original* scores/rating should be excluded and **ONLY transition scores/rating** should be provided.

STUDENT PERFORMANCE

REQUIRED

The school-wide measure based on State assessments cannot be included in transition, therefore the **Alternate SLO²** should be used. The Alternate SLO based on a school-wide measure using a third party assessment results in a score of 16, which should be submitted for the **Transition Required Student Performance subcomponent** (TREQSP=16).

** The teacher should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

The district chooses not to use the optional subcomponent; therefore, **Transition Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO TOPTSP).

** The teacher should not receive a Transition Optional Student Performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the teacher should receive a rating of Effective, based on only the Transition Required subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.44, which should be submitted for the **Transition Required Teacher Observation subcomponent** (TREQOB=3.44).

** The teacher should receive both a score of 3.44, and the corresponding rating of Effective for his or her Transition Required Teacher Observation subcomponent.*

OPTIONAL

District chooses not to use the Optional subcomponent; therefore, a **Transition Optional Teacher Observation subcomponent** is not required, and nothing should be submitted (NO TOPTOB).

** The teacher should not receive a Transition Optional Teacher Observation subcomponent score or rating.*

** The Transition Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, which is the same as the Original category.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Teacher Observation (Effective) category ratings, per the matrix (TOCR03).

** The teacher should receive a rating of Effective for his or her Transition Overall rating.*

² For APPR plans under Education Law §3012-d approved after March 10, 2016, Alternate SLOs are included in Tasks 2 and 7 Transition. For plans under Education Law §3012-d approved on or before March 10, 2016, Alternate SLOs are included on the approved Supplemental Form for Alternate SLOs.

Example D: Grade 5 Teacher

(Transition scores/rating only, Missing State-provided growth score, Student Performance based on Alternate SLO, Optional Observation)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means (even though an SPG score was not received) *original* scores/rating should be excluded and **ONLY transition scores/rating** should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score would be excluded from transition, therefore the **Alternate SLO³** should be used. The Alternate SLO based on a district-wide measure using all applicable Regents exams results in a score of 16, which should be submitted for the **Transition Required Student Performance subcomponent**. (TREQSP=16)

** The teacher should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

The district chooses not to use the optional subcomponent; therefore, **Transition Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO TOPTSP).

** The teacher should not receive a Transition Optional Student Performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the teacher should receive a rating of Effective, based on only the Transition Required subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.4, which should be submitted for the **Transition Required Teacher Observation subcomponent** (TREQOB=3.40).

** The teacher should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Teacher Observation subcomponent.*

OPTIONAL

Receives a score of 3.3, based on peer observation using the selected rubric, which should be submitted for the **Transition Optional Teacher Observation subcomponent** (TOPTOB=3.30).

** The teacher should receive both a score of 3.30, and the corresponding rating of Effective for his or her Transition Optional Teacher Observation subcomponent.*

** The Transition Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, which is the same as the Original category.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Teacher Observation (Effective) category ratings, per the matrix. (TOCR03)⁴

** The teacher should receive a rating of Effective for his or her Transition Overall rating.*

³ For APPR plans under Education Law §3012-d approved after March 10, 2016, Alternate SLOs are included in Tasks 2 and 7 Transition. For plans under Education Law §3012-d approved on or before March 10, 2016, Alternate SLOs are included on the approved Supplemental Form for Alternate SLOs.

Example E: Grade 7 Math Teacher

(Transition scores/rating only, Optional Student Performance with a Supplemental assessment, Optional Observation)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score cannot be included in transition; however, since the Optional Student Performance subcomponent is based on a Supplemental assessment, there is a remaining student performance measure and an Alternate SLO is not needed. A **Transition Required Student Performance subcomponent** score is not submitted (NO TREQSP).

** The teacher should not receive a Transition Required Student Performance subcomponent score or rating.*

OPTIONAL

Receives a **Transition Optional Student Performance subcomponent** score of 16, based on a Supplemental assessment with corresponding growth model (TOPTSP=16).

** The teacher should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Optional Student Performance subcomponent.*

** The Transition Student Performance category rating should not be submitted; however, the teacher should receive a rating of Effective, based on only the Transition Optional subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.4, which should be submitted for the **Transition Required Teacher Observation subcomponent** (TREQOB=3.40).

** The teacher should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Teacher Observation subcomponent.*

OPTIONAL

Receives a score of 3.3, based on peer observation using the selected rubric, which should be submitted for the **Original Transition Teacher Observation subcomponent** (TOPTOB=3.30).

** The teacher should receive both a score of 3.30, and the corresponding rating of Effective for his or her Transition Optional Teacher Observation subcomponent.*

** The Transition Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, based on the combination of the Required and Optional subcomponents, per the weighting indicated in the approved APPR plan.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Teacher Observation (Effective) category ratings, per the matrix (TOCR03).

** The teacher should receive a rating of Effective for his or her Transition Overall rating.*

Example F: Grade 2 Teacher

(Original scores/rating only, Student Performance based on SLO)

ORIGINAL ONLY: Since neither Student Performance subcomponent is based on a NYS grade 3-8 ELA or math assessment or a State-provided growth score, a transition record is not needed.

STUDENT PERFORMANCE

REQUIRED

Receives an SLO score of 18 based on a locally-developed assessment, which should be submitted for the **Original Required Student Performance subcomponent** (REQSP=18).

** The teacher should receive both a score of 18, and the corresponding rating of Highly Effective for his or her Original Required Student Performance subcomponent.*

OPTIONAL

The district chooses not to use the optional subcomponent; therefore, **Original Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO OPTSP).

** The teacher should not receive an Original Optional Student Performance subcomponent score or rating.*

** The Original Student Performance category rating should not be submitted; however, the teacher should receive a rating of Highly Effective, based on only the Original Required subcomponent.*

TEACHER OBSERVATION

REQUIRED

The combination of the required principal and independent evaluator observations, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Original Required Teacher Observation subcomponent** (REQOB=3.40).

** The teacher should receive both a score of 3.40, and the corresponding rating of Effective for his or her Original Required Teacher Observation subcomponent.*

OPTIONAL

District chooses not to use the Optional subcomponent; therefore, an **Original Optional Teacher Observation subcomponent** is not required, and nothing should be submitted (NO OPTOB).

** The teacher should not receive an Original Optional Teacher Observation subcomponent score or rating.*

** The Original Teacher Observation category rating should not be submitted; however, the teacher should receive a rating of Effective, based on only the Original Required subcomponent.*

OVERALL RATING

Receives an **Original Overall Rating** of Highly Effective based on the combination of the Student Performance (Highly Effective) and Teacher Observation (Effective) category ratings, per the matrix (OCR04).

** The teacher should receive a rating of Highly Effective for his or her Original Overall rating.*

Example G: K-5 Principal

(Transition scores/rating only, Student Performance based on Alternate SLO)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score cannot be included in transition, therefore the **Alternate SLO⁵** should be used. The Alternate SLO based on a district-wide measure using all applicable Regents exams results in a score of 16, which should be submitted for the **Transition Required Student Performance subcomponent** (TREQSP=16).

** The principal should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

The district chooses not to use the optional subcomponent; therefore, **Transition Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO TOPTSP).

** The principal should not receive a Transition Optional Student Performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the principal should receive a rating of Effective, based on only the Transition Required subcomponent.*

PRINCIPAL SCHOOL VISITS

REQUIRED

The combination of the required supervisor and independent evaluator school visits, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Transition Required Principal School Visit subcomponent** (TREQOB=3.40).

** The principal should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Principal School Visit subcomponent.*

OPTIONAL

District chooses not to use the Optional subcomponent; therefore, a **Transition Optional Principal School Visit subcomponent** is not required, and nothing should be submitted (NO TOPTOB).

** The principal should not receive a Transition Optional Principal School Visit subcomponent score or rating.*

** The Transition Principal School Visit category rating should not be submitted; however, the Principal should receive a rating of Effective, which is the same as the Original category.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Principal School Visit (Effective) category ratings, per the matrix (TOCR03).

** The principal should receive a rating of Effective for his or her Transition Overall rating.*

⁵ For APPR plans under Education Law §3012-d approved after March 10, 2016, Alternate SLOs are included in Tasks 2 and 7 Transition. For plans under Education Law §3012-d approved on or before March 10, 2016, Alternate SLOs are included on the approved Supplemental Form for Alternate SLOs.

Example H: 6-8 Principal

(Transition scores/rating only, Optional Student Performance with a Supplemental assessment, Optional Observation)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score cannot be included in transition; however, since the Optional Student Performance subcomponent is based on a Supplemental assessment, there is a remaining student performance measure and an Alternate SLO is not needed. A **Transition Required Student Performance subcomponent** score is not submitted (NO TREQSP).

** The principal should not receive a Transition Required Student Performance subcomponent.*

OPTIONAL

Receives a **Transition Optional Student Performance subcomponent** score of 16, based on a Supplemental assessment with corresponding growth model (TOPTSP=16).

** The principal should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Optional Student Performance subcomponent.*

** The Transition Student Performance category rating should not be submitted; however, the principal should receive a rating of Effective, based on only the Transition Optional subcomponent.*

PRINCIPAL SCHOOL VISITS

REQUIRED

The combination of the required supervisor and independent evaluator school visits, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Transition Required Principal School Visit subcomponent** (REQOB=3.40).

** The principal should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Principal School Visit subcomponent.*

OPTIONAL

Receives a score of 3.3, based on peer observation using the selected rubric, which should be submitted for the **Transition Optional Principal School Visit subcomponent** (OPTOB=3.30).

** The principal should receive both a score of 3.30, and the corresponding rating of Effective for his or her Transition Optional Principal School Visit subcomponent.*

** The Transition Principal School Visit category rating should not be submitted; however, the principal should receive a rating of Effective, which is the same as the Original category.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Principal School Visit (Effective) category ratings, per the matrix (TOCR03).

** The principal should receive a rating of Effective for his or her Transition Overall rating.*

Example I: 9-12 Principal

(Transition scores/rating only, Student Performance based on Back-up SLO)

TRANSITION ONLY: The *original* measure per Task 2 of the approved APPR plan is a State-provided growth score, which means *original* scores/rating should be excluded and **ONLY transition** scores/rating should be provided.

STUDENT PERFORMANCE

REQUIRED

The State-provided growth score cannot be included in the transition calculation, therefore the **Back-up SLO⁶** should be used. The Back-up SLO based on all applicable Regents exams results in a score of 16, which should be submitted for the **Transition Required Student Performance subcomponent** (TREQSP=16).

** The principal should receive both a score of 16, and the corresponding rating of Effective for his or her Transition Required Student Performance subcomponent.*

OPTIONAL

The district chooses not to use the optional subcomponent; therefore, **Transition Optional Student Performance subcomponent** score is not required, and nothing should be submitted (NO TOPTSP).

** The principal should not receive a Transition Optional Student Performance subcomponent score or rating.*

** The Transition Student Performance category rating should not be submitted; however, the principal should receive a rating of Effective, based on only the Transition Required subcomponent.*

PRINCIPAL SCHOOL VISITS

REQUIRED

The combination of the required principal and independent evaluator school visits, based on the selected rubric, per the weighting indicated in the approved APPR plan, results in a score of 3.40, which should be submitted for the **Transition Required Principal School Visit subcomponent** (REQOB=3.40).

** The principal should receive both a score of 3.40, and the corresponding rating of Effective for his or her Transition Required Principal School Visit subcomponent.*

OPTIONAL

District chooses not to use the Optional subcomponent; therefore, a **Transition Optional Principal School Visit subcomponent** is not required, and nothing should be submitted (NO TOPTOB).

** The principal should not receive a Transition Optional Principal School Visit subcomponent score or rating.*

** The Transition Principal School Visit category rating should not be submitted; however, the Principal should receive a rating of Effective, based on only the Transition Required subcomponent.*

OVERALL RATING

Receives a **Transition Overall Rating** of Effective based on the combination of the Transition Student Performance (Effective) and Transition Principal School Visit (Effective) category ratings, per the matrix (TOCR03).

** The principal should receive a rating of Effective for his or her Transition Overall rating.*

⁶ Grades 9-12 principals are required to have back-up SLOs based on all applicable Regents Exams in lieu of Alternate SLOs.

Example A Grade 4 Teacher (Transition scores/rating only, Student Performance based on Alternate SLO, Optional Observation)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Rating					
Original measure per Task 2 of approved APPR plan					Based on State-provided growth score, which means original scores/rating are excluded																			
Measure (Transition)					Alternate SLO*				Optional subcomponent not used				Combined Principal and Independent Evaluator observations per weighting indicated in plan					Peer observation					Based on the matrix	
Score/Rating (Transition)					16*				---				3.40					3.30					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOPTOB	2019-06-30	2019-06-30		NA	3.30							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator's Transition Required Student Performance subcomponent score is based on the Alternate SLO included in the APPR plan approved after March 10, 2016 or the approved Supplemental Form for Alternate SLOs (for 3012-d plans approved prior to March 11, 2016).

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example B High School Algebra Teacher (Transition scores/rating only, SLO, Optional Student Performance based on additional State-provided growth score excluded)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Rating					
Original optional measure per Task 3 of approved APPR plan					Additional State-provided growth score (any of Option A), which means original scores/rating are excluded																			
Measure (Transition)					Regents Exam				No Measure (excluded)*				Combined Principal and Independent Evaluator observations per weighting indicated in plan					Optional subcomponent not used					Based on the matrix	
Score/Rating (Transition)					18				No subcomponent score*				3.40					---					Highly Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	18							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOCR04	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator should not have a Transition Optional Student Performance subcomponent score

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example C K-5 Art Teacher (Transition scores/rating only, Student Performance based on Alternate SLO)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Composite					
Original measure per Task 2 of approved APPR plan					School-wide measure based on the 3-5 NYS ELA/Math Assessments, which means original scores/rating are excluded																			
Measure (Transition)					Alternate SLO*				Optional subcomponent not used				Combined Principal and Independent Evaluator observations per weighting indicated in plan					Optional subcomponent not used					Based on the matrix	
Score/Rating (Transition)					16*				---				3.44					---					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.44							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator's Transition Required Student Performance subcomponent score is based on the Alternate SLO included in the APPR plan approved after March 10, 2016 or the approved Supplemental Form for Alternate SLOs (for 3012-d plans approved prior to March 11, 2016).

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example D Grade 5 Teacher (Transition scores/rating only, Missing State-provided growth score, Student Performance based on Alternate SLO, Optional Observation)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Composite					
Original measure per Task 2 of approved APPR plan					State-provided growth score not received due to opt-out; however, since one would have been received, original scores/rating are excluded																			
Measure (Transition)					Alternate SLO*				Optional subcomponent not used				Combined Principal and Independent Evaluator observations per weighting indicated in plan					Peer observation					Based on the matrix	
Score/Rating (Transition)					16*				---				3.40					3.30					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOPTOB	2019-06-30	2019-06-30		NA	3.30							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*** The only instance where a complete transition record can be submitted with an incomplete original record is the circumstance outlined in Example D, where a State-provided growth score is not received when it should be, resulting in no Original Required Student Performance subcomponent, no Original Student Performance category and no Original Overall Rating.

*This educator's Transition Required Student Performance subcomponent score is based on the Alternate SLO included in the APPR plan approved after March 10, 2016 or the approved Supplemental Form for Alternate SLOs (for 3012-d plans approved prior to March 11, 2016).

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example E Grade 7 Math Teacher (Transition scores/rating only, Optional Student Performance with a Supplemental assessment, Optional Observation)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Composite					
Original measure per Task 2 of approved APPR plan					Based on State-provided growth score, which means original scores/rating are excluded																			
Measure (Transition)					No Measure*				Supplemental assessment with corresponding growth model				Combined Principal and Independent Evaluator observations per weighting indicated in plan					Peer observation					Based on the matrix	
Score/Rating (Transition)					---				16				3.40					3.30					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TOPTSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOPTOB	2019-06-30	2019-06-30		NA	3.30							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator does not need a Transition Required Student Performance subcomponent score or rating, since the Optional subcomponent is based on a Supplemental assessment, and, therefore, provides the Student Performance measure.

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example F Grade 2 Teacher (Original scores/rating only, Student Performance based on SLO)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Teacher Observation subcomponent					Optional Teacher Observation subcomponent					Overall Composite						
Original measure (Original)					SLO Based on locally-developed assessment				Optional subcomponent not used					Combined Principal and Independent Evaluator observations per weighting indicated in plan					Optional subcomponent not used					Based on the matrix	
Score/Rating (Original)					18				---				3.40					---					Highly Effective		
Original scores/rating only					Transition not needed*																				
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE				
NYVENDOR	001234567	REQSP	2019-06-30	2019-06-30		NA	18							3012d											
NYVENDOR	001234567	REQOB	2019-06-30	2019-06-30		NA	3.40							3012d											
NYVENDOR	001234567	OCR04	2019-06-30	2019-06-30		NA	Leave blank							3012d											

*Since neither Student Performance subcomponent is based on a NYS grade 3-8 ELA or math assessment or a State-provided growth score, a transition record is not needed

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example G K-5 Principal (Transition scores/rating only, Student Performance based on Alternate SLO)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Principal School Visit subcomponent					Optional Principal School Visit subcomponent					Overall Composite					
Original measure per Task 7 of approved APPR plan					Based on State-provided growth score, which means original scores/rating are excluded																			
Measure (Transition)					Alternate SLO*				Optional subcomponent not used				Combined Supervisor and Independent Evaluator school visits per weighting indicated in plan					Optional subcomponent not used					Based on the matrix	
Score/Rating (Transition)					16				---				3.40					---					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator's Transition Required Student Performance subcomponent score is based on the Alternate SLO included in the APPR plan approved after March 10, 2016 or the approved Supplemental Form for Alternate SLOs (for 3012-d plans approved prior to March 11, 2016).

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example H 6-8 Principal (Transition scores/rating only, Optional Student Performance with a Supplemental assessment, Optional Observation)

Required Student Performance subcomponent					Optional Student Performance subcomponent				Required Principal School Visit subcomponent					Optional Principal School Visit subcomponent					Overall Composite					
Original measure per Task 7 of approved APPR plan					Based on State-provided growth score, which means original scores/rating are excluded																			
Measure (Transition)					No Measure*				Supplemental assessment with corresponding growth model				Combined Supervisor and Independent Evaluator school visits per weighting indicated in plan					Peer observation					Based on the matrix	
Score/Rating (Transition)					---				16				3.40					3.30					Effective	
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE			
NYVENDOR	001234567	TOPTSP	2019-06-30	2019-06-30		NA	16							3012d										
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d										
NYVENDOR	001234567	TOPTOB	2019-06-30	2019-06-30		NA	3.30							3012d										
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d										

*This educator does not need a Transition Required Student Performance subcomponent score or rating, since the Optional subcomponent is based on a Supplemental assessment, and, therefore, provides the Student Performance measure.

PLEASE NOTE: All greyed out fields are to be left blank per the template instructions

Example I 9-12 Principal (Transition scores/rating only, Student Performance based on Back-up SLO)

	Required Student Performance subcomponent				Optional Student Performance subcomponent				Required Principal School Visit subcomponent				Optional Principal School Visit subcomponent				Overall Composite				
Original measure per Task 7 of approved APPR plan	Based on State-provided growth score, which means original scores/rating are excluded																				
Measure (Transition)	Back-up SLO*				Optional subcomponent not used				Combined Supervisor and Independent Evaluator school visits per weighting indicated in plan				Optional subcomponent not used				Based on the matrix				
Score/Rating (Transition)	16				---				3.40				---				Effective				
STAFF DISTRICT CODE	STAFF ID	EVALUATION CRITERIA CODE	SCHOOL YEAR DATE	REPORTING DATE	EVALUATION REVIEW DATE	EVALUATION CRITERIA RATING CODE	EVALUATION CRITERIA RATING POINTS	EVALUATION PERIOD START DATE	EVALUATION PERIOD END DATE	EVALUATOR STAFF ID	EVALUATOR DISTRICT CODE	EVALUATOR COMMENT	ALTERNATE STAFF ID	EVALUATION GROUP CODE	COURSE DISTRICT CODE	COURSE LOCATION CODE	COURSE CODE	SUPPLEMENTARY COURSE DIFFERENTIATOR	SECTION CODE	EVALUATION REPORTING DISTRICT CODE	EVALUATION REPORTING LOCATION CODE
NYVENDOR	001234567	TREQSP	2019-06-30	2019-06-30		NA	16							3012d							
NYVENDOR	001234567	TREQOB	2019-06-30	2019-06-30		NA	3.40							3012d							
NYVENDOR	001234567	TOCR03	2019-06-30	2019-06-30		NA	Leave blank							3012d							

*Grades 9-12 principals are required to have back-up SLOs based on all applicable Regents Exams in lieu of Alternate SLOs.
PLEASE NOTE: All greyed out fields are to be left blank per the template instructions