

2020-21 APPR Closeout

Staff Evaluation Status, data collection, and reporting

Important Dates

Please see the table below for dates related to the 2020-21 Staff Evaluation Status form staff evaluation data for 2020-21.

On June 7, 2021, Governor Andrew Cuomo signed Chapter 112 of the Laws of 2021, which was subsequently amended on June 11, 2021 by Chapter 147 of the Laws of 2021, which, in part, provides that for the 2020-21 school year, no school districts or BOCES shall be required to complete an annual teacher or principal evaluation for any classroom teacher or building principal due to concerns related to the ongoing response to the COVID-19 pandemic.

In effect, the bill excuses school districts and BOCES from the requirement to complete a teacher's or principal's evaluation under Education Law §3012-d and Regents Rules 30-3 for the 2020-21 school year. The bill also eliminates any state aid penalties for school districts that fail to implement any component of their approved APPR plans.

The amendments to the law eliminate the requirement for LEAs to complete teacher and principal evaluations for the 2020-21 school year, but do not prohibit evaluations from being completed where an LEA is able to do so.

To the extent that LEAs are able to complete all or part of an educator's evaluation, the Department expects that such scores and ratings will be reported. To reduce the burden on LEAs, the Department will not be requiring LEAs to certify data or complete an APPR implementation certification for the 2020-21 school year.

Date	Event	Description/Information
June 28, 2021 – September 30, 2021 (extended from August 27)	2020-21 Staff Evaluation Status form submission	LEAs should submit the 2020-21 Staff Evaluation Status form by September 30, 2021 . The form contains a question regarding the status of an LEAs APPR for the 2020-21 school year and should be signed by the superintendent/district superintendent. The form will be available and should be submitted through the NYSED Application Business Portal .
July 1, 2021 – October 22, 2021 (Target Submission Date: August 20, 2021 Final Data Due: October 22, 2021)	Submission of 2020-21 Evaluation Ratings and Subcomponent Scores	LEAs that implemented APPR in the 2020-21 school year should report 2020-21 staff evaluation data to the Commissioner through the Student Information Repository System (SIRS) for all applicable teachers and principals. These data should be submitted by October 22, 2021. All final and complete data, including scores for the Required and Optional (as applicable) Student Performance subcomponents, scores for the Required and Optional (as applicable) Teacher Observation/Principal School Visit subcomponents and the Overall rating.

August 20, 2021 (Final Data Due: October 22, 2021)	Target submission date for 2020-21 Staff Evaluation Ratings & Subcomponent Scores	Because State-provided growth scores are not required for 2020-21 staff evaluation, LEAs are encouraged to finalize their staff evaluation data and submit it to the Department as soon as possible. The final submission date is October 22, 2021.
September 1, 2021	APPR evaluation results from the 2020-21 school year should be provided to all applicable educators.	2020-21 APPR evaluations should be completed and provided to all applicable teachers and principals <u>as soon as practicable, but in no case later than September 1, 2021.</u>
October 22, 2021	Final submission of 2020-21 Evaluation Ratings and Subcomponent Scores	All 2020-21 staff evaluation data must be reported through the Student Information Repository System (SIRS). <i>Please note: Regional Information Centers may have an earlier deadline in place to facilitate the Department's October 22, 2021 deadline.</i>

2020-21 Staff Evaluation Status Form

To determine the status of APPR in each LEA for the 2020-21 school year, the Department has created the 2020-21 Staff Evaluation Status form, which should be completed by **August 27, 2021** by the superintendent. The 2020-21 Staff Evaluation Status form is now available and should be submitted through the [NYSED Application Business Portal](#). After logging on, please click on “SED Monitoring and Vendor Performance System,” select “View” for the “2020-21 Staff Evaluation Status,” and follow the instructions on the page to submit the form.

Data Collection and Reporting

Reporting Requirements Related to Education Law §3012-d

All LEAs that complete APPR in the 2020-21 school year should submit 2020-21 school year data to SIRS that include staff evaluation ratings used for APPR. Please share this information with personnel in your organization who have responsibility for data collection and reporting.

For APPR plans under Education Law §3012-d approved prior to 2020, scores in each subcomponent and an overall rating should be reported for all applicable educators subject to evaluation under Education Law §3012-d. Original SLOs must be the basis of the required student performance subcomponent for all educators whose evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. Alternate SLOs must be the basis of the required student performance subcomponent for all educators whose original evaluation measures per the LEA’s approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores¹. *Please note, for educators who have required a transition evaluation in prior years, this evaluation will now replace the original evaluation and so the original, advisory evaluations no longer need to be provided.*

For APPR plans under Education Law §3012-d approved prior to January 1, 2020 with an accompanying approved Supplemental Removal form, scores in each subcomponent and an overall rating should be reported for all applicable educators subject to evaluation under Education Law §3012-d. Original SLOs must be the basis of the required student performance subcomponent for all educators whose evaluation measures per the LEA’s

¹ In LEAs where the optional student performance subcomponent is used with a supplemental assessment, these educators do not require an alternate SLO; the basis of the student performance category is the optional measure.

approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. Alternate SLOs must be the basis of the required student performance subcomponent for all educators whose original evaluation measures per the LEA’s approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. The measures and assessments included in the approved Supplemental Removal form shall replace original or alternate SLOs, as applicable. *Please note, for educators who have required a transition evaluation in prior years, this evaluation will now replace the original evaluation and so the original, advisory evaluations no longer need to be provided.*

For APPR plans under Education Law §3012-d, as amended in 2019, scores in each subcomponent and an overall rating based on the contents of the approved APPR plan should be reported for all applicable educators subject to evaluation under Education Law §3012-d.

The table below summarizes the data elements that are required to be submitted for staff evaluation under Education Law §3012-d via the Staff Evaluation Rating Template for the 2020-21 school year, exported from your local data systems for each teacher and principal. *Please note, if an LEA is unable to complete one of the subcomponents of APPR, the complete subcomponent may be submitted with no overall rating for applicable educators.*

APPR PLANS APPROVED UNDER EDUCATION LAW §3012-D PRIOR TO 2020	
Evaluation Category	Description
Student Performance Category	<p>Required Student Performance subcomponent scores reported as a whole number from 0-20, using the following code: REQSP.</p> <p><i>If the evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments, State-provided growth scores, and/or the aimsweb assessment, these measures are the basis of the required student performance subcomponent score.</i></p> <p><i>If the evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores, but DO incorporate the aimsweb assessment, the measures included in the Removal of aimsweb supplemental form are the basis of the required student performance subcomponent score.</i></p> <p><i>If the original evaluation measures per the LEA’s approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores, Alternate SLOs are the basis of the required student performance subcomponent score.</i></p> <p>Optional Student Performance subcomponent scores, as applicable, reported as a whole number from 0-20, using the following code: OPTSP.</p> <p><i>Please note, any optional student performance subcomponent based on a State-provided growth score should be excluded from an educator’s staff evaluation.</i></p>
Teacher Observation/ Principal School Visit Category	<p>Required Teacher Observation/Principal School Visit subcomponent scores reported as a number from 1.00-4.00 or 0.00, using the following code: REQOB.</p> <p>Optional Teacher Observation/Principal School Visit subcomponent scores, as applicable, reported as a number from 1.00-4.00, using the following code: OPTOB.</p>
Overall Evaluation Rating Category	<p>Overall ratings reported using the following codes: OCR04 = highly effective, OCR03 = effective, OCR02 = developing, OCR01 = ineffective based on the matrix prescribed in Education Law §3012-d(5).</p>

APPR PLANS APPROVED UNDER EDUCATION LAW §3012-D AS AMENDED IN 2019	
Evaluation Category	Description
Student Performance Category	Required Student Performance subcomponent scores reported as a whole number from 0-20, using the following code: REQSP. Optional Student Performance subcomponent scores, as applicable, reported as a whole number from 0-20, using the following code: OPTSP.
Teacher Observation/Principal School Visit Category	Required Teacher Observation/Principal School Visit subcomponent scores reported as a number from 1.00-4.00 or 0.00, using the following code: REQOB. Optional Teacher Observation/Principal School Visit subcomponent scores, as applicable, reported as a number from 1.00-4.00, using the following code: OPTOB.
Overall Evaluation Rating Category	Overall ratings reported using the following codes: OCR04 = highly effective, OCR03 = effective, OCR02 = developing, OCR01 = ineffective based on the matrix prescribed by Education Law §3012-d(5).

APPR Summaries for LEAs

To assist LEAs with the calculation, distribution, and data submission of staff evaluation scores and ratings, the Office of Educator Quality and Professional Development has prepared **updated** APPR summaries that reflect the new reporting requirements for 2020-21 staff evaluation data, which will be distributed through the [IRS Portal](#) to all LEAs with approved APPR plans for the 2020-21 school year. Regional Information Centers will also receive an updated APPR summary for all LEAs in their area. This APPR summary provides information from the approved APPR plan on measures and assessments, HEDI scoring ranges for observations and principal school visits, and subcomponent weighting, as applicable. The APPR summary is broken into groups of educators based on the selected measures. Each summary also provides a description of the items that should be distributed to educators as their final staff evaluation and those that should be submitted to the Department for the October 22, 2021 staff evaluation data submission deadline. Detailed information and examples of the APPR summaries can be found on the [Resources for Staff Evaluation Data Collection and Submission](#) page. Questions regarding the APPR summaries can be directed to EducatorEval@nysed.gov.

Additional Questions and Information

If you have any questions about the submission of data to SIRS, please contact your [RIC or Big 5 City School District data center](#) or the [Office of Information and Reporting Services](#).

If you have any questions or require technical assistance with your APPR plan, please contact EducatorEval@nysed.gov. Please also see the posted [resources related to Education Law §3012-d](#) for further information on evaluation ratings resulting from APPR plans approved pursuant to Education Law §3012-d. [Resources for Staff Evaluation Data Collection and Submission](#) are also posted on the NYSED website.